

AL ROSEN

Dear Mr. Hoover,

I want to thank
you very much for your most
thoughtful note congratulating
me on my Twenty-fourth
Anniversary in the Bureau.

It is typical of your kindness
and thoughtfulness to remem-
ber this occasion, and I am
most grateful.

With kindest regards and
best wishes,

sincerely,
Al Rosen.

RECORDED - 144

(L-1)
OCT 23 1957

67-27 215-401	
Searched	_____
Numbered	69
7 OCT 21 1957	
FEDERAL BUREAU OF INVESTIGATION	

FILED

OFFICE OF DIRECTOR
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

Dear Mr. Hoover,

I want to thank you very much for your most thoughtful note congratulating me on my twenty-fourth anniversary in the Bureau. It is typical of your kindness and thoughtfulness to remember this occasion, and I am most grateful.

With kindest regards and best wishes.

Sincerely,

/s/ Al Rosen

COPY:hbb

Mr. Tolson ✓
Mr. Nichols _____
Mr. Boardman _____
Mr. Belmont _____
Mr. Mohr _____
Mr. Parsons _____
Mr. Rosen _____
Mr. Tamm _____
Mr. Trotter _____
Mr. Jones _____
Mr. Nease _____
Tele. Room _____
Mr. Holloman _____
Miss Holmes _____
Miss Gandy _____

October 16, 1957

PERSONAL

Dear Al:

I am very happy indeed to join your many friends and associates in the Federal Bureau of Investigation who today are extending to you warmest congratulations on your Twenty-fourth Anniversary. Your devotion to duty and efforts over the years have made a material contribution to the over-all accomplishments of the Bureau and the knowledge of this should bring to you deep satisfaction and pride on this occasion.

With kind personal regards,

Sincerely,

J. Edgar Hoover

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

RECORDED - 147

JEH:tlc

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

SENT FROM D. O.	
TIME	8:30 AM
DATE	10/16/57
BY	JS

December 3, 1957

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have reviewed the investigation of the Bail Jumper case involving [redacted] and I want to point out your failure to assure that this matter received appropriate investigative attention. Even though the need was recognized to concentrate investigative efforts on the subject's wife, there was a failure to promptly obtain all pertinent information in her possession, and as a result the apprehension of the subject was delayed.

b6

There was no acceptable excuse for a delinquency such as this and I desire you to be more alert to such matters in the future so that a similar delinquency will not occur.

Very truly yours,

John Edgar Hoover
Director

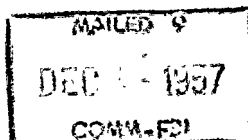
1 - Investigative Division Personnel File

TJN:jlj
(4)

Based on memo from Mr. Hargett to Mr. Rosen, 11/29/57, EEH:jbf

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Nease _____
Tele. Room _____
Holloman _____
Gandy _____

MAIL ROOM ☐



REC'D-RECORDING ROOM
FBI
DEC 3 3 15 PM '57

October 31, 1957

PERSONAL

Mr. Alex Rosen
Georgetown University Hospital
38th and Reservoir Road, Northwest
Washington, D. C.

Dear Al:

I am sorry to hear you are in the hospital,
and want you to know I am thinking of you. I trust you
will forget the cares of the office while you are gone,
and that your recovery will be rapid.

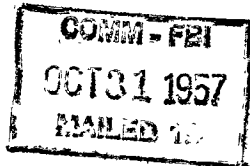
If there is anything I can do for you in the
meantime, please call upon me.

Sincerely,
J. Edgar Hoover

✓

WEC:MAH
(3)

Mr. Rosen was hospitalized yesterday for a few days to
have 2 sebaceous cysts removed.



Mr. Tolson _____
Mr. Nichols _____
Mr. Boardman _____
Mr. Belmont _____
Mr. Mohr _____
Mr. Parsons _____
Mr. Rosen _____
Mr. Tamm _____
Mr. Trotter _____
Tele. Room _____
Mr. Holloman _____
Miss Gandy _____

OCT 31 11 47 AM '57
REC'D-RECORDS ROOM
FBI

RECEIVED
JAN 1 1958
J. Edgar Hoover

AM-11 10:11 PM

April 18, 1958

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am concerned by the failure of you and your subordinates in the Investigative Division in the Fraud Against the Government - Conspiracy case involving the Nicaro Nickel Plant to precisely define the scope of the Bureau's inquiry in official communications disseminating the results of investigation. Particularly, in view of the significance of this case the extent of the investigation should have been clearly set forth so that no possible misunderstanding might arise. The failure to handle this matter properly reflects poor judgment by you and your associates.

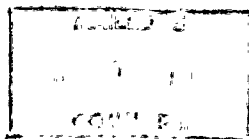
In the future I shall expect you to demonstrate a higher degree of foresight and afford more effective guidance and supervision to cases of this type so that similar weaknesses will not occur.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

TJN:pmd
(4)



Tolson _____
Boardman _____
Belmont _____
Mohr _____
Nease _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Clayton _____
Tele. Room _____
Holloman _____
Gandy _____

1 - Investigative Division Personnel File

Based on memo C. A. Evans to Mr. Rosen 4-15-58 CAE:iw.

MAIL ROOM ☐

404
MAY 15 1958
FBI
RECEIVED
MAY 15 1958
FBI
RECEIVED

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON

DATE: 3/18/58

FROM :

b6

SUBJECT:

FUGITIVE MATTERS*Investigative Div.**ROSEN, ALEX*

Tolson ✓
Boardman ✓
Belmont ✓
Mohr ✓
Parsons ✓
Rosen ✓
Tamm ✓
Trotter ✓
Tele. Room ✓
Holloman ✓
Gandy ✓

All Criminal Fugitive matters, with the exception of Selective Service and Deserter matters, are handled in the General Fugitive Unit of the Criminal Section of the Investigative Division.

Alfred B. Eddy is Supervisor in Charge of the General Fugitive Unit.

Mr. Eddy has four Supervisors assisting him in supervising Fugitive matters. They are: J. B. Engelstad, J. W. Steele, and .

The chain of command in connection with Fugitive matters is as follows: Supervisor to Supervisor in Charge Eddy to Section Chief F. L. Price to Inspector E. E. Hargett to Mr. Rosen, and then to Mr. Boardman.

Fugitive cases, including the top ten, have been sadly neglected

67-80 005-175

Searched
NumberedEnclosure
ERC:DMG

(3)

*Print to Rosen
memo and
SAC letter
3-26-58*

PERMANENT BRIEF OF PERSONNEL FILE OF SA ALFRED B. EDDY
ATTACHED HERETO

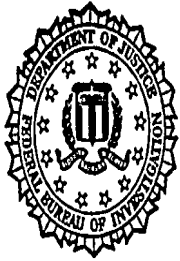
*Print to
Rosen memo
3-26-58*

*Ref'd to Pers. Records
3-19-58*

APR 11 1958

FBI

APR 3 1958



FD-253 (11-22-54)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

In Reply, Please Refer to
File No.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA Alex Rosen
(type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name Mrs. Lena Rosen Relationship Mother Date 2/20/58
Address 2859 Hampton Road, SE, Shaker Heights, Ohio

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name Mrs. Lena Rosen Relationship Mother Date 2/20/58
Address 2859 Hampton Road, S.E., Shaker Heights, Ohio

Very truly yours,

Special Agent Assistant Director

TO : Mr. Rosen
FROM : E. E. Hargett

COPY 142
DATE: 11/29/57

SUBJECT: [REDACTED]
FUGITIVE, IDENTIFICATION ORDER 2993
BAIL JUMPER

b6

This memorandum is being submitted in response to the Director's request for a run-down of all persons having any responsibility for failure to press [REDACTED] investigation.

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Investigation was undertaken by the Washington Field Office on October 31, 1956. Instructions were given the Washington Field Office to take immediate and extensive efforts to apprehend [REDACTED]. At the outset of the investigation it was apparent that [REDACTED] wife, [REDACTED] might be the key to the location of the subject, and the Washington Field Office was instructed by the Bureau to afford close coverage of her. The Washington Field Office investigation developed that [REDACTED] had [REDACTED] with [REDACTED] and extensive efforts were directed to develop [REDACTED] as an informant.

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b7D

When [REDACTED] temporarily left Washington on September 17, 1957, the Miami Office was instructed to establish informant coverage of [REDACTED] in Florida. The receipt of the letter by [REDACTED] on November 5, 1957, at Miami, which we were able to obtain on November 11, 1957, indicated that we were pursuing the investigation along the proper channel, namely concentration on [REDACTED] and [REDACTED] and the relatives of [REDACTED] in Chicago. The extensive and complete coverage afforded [REDACTED] and [REDACTED] ascertained that [REDACTED] advised [REDACTED] of the receipt of the letter from the fugitive. Upon the return of [REDACTED] and [REDACTED] from a week end trip to Caledonia, New York, [REDACTED] was intensively interrogated and on November 20, 1957, he called the Washington Field Office and advised that [REDACTED] was ready to talk. SA Robert M. Fauntleroy, who had the case almost from its inception in the Washington Field Office, obtained from [REDACTED], who was in a drunken condition, the alias used by subject. The Washington Field Office immediately set out a lead to have motor vehicle records checked throughout the country with the result that the subject was apprehended.

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This case was supervised at the Bureau by SA Alfred B. Eddy who prepared an Identification Order on December 31, 1956, and also recommended that a feature article be placed in the law enforcement bulletin with [REDACTED] photograph which was approved and appeared in the March, 1957 issue. The significant break in Letters of Censure prepared 12-3-57 TJN: / mes/ mjs and jlj and hef/jcl

b6

1 - Mr. Mohr

ORIGINAL FILED IN 67-55 580-324

EEH: jbf

b6

Memorandum to Mr. Rosen

RE: [REDACTED]
FUGITIVE, IDENTIFICATION ORDER 2993
BAIL JUMPER

b6
b7D

this case came with the receipt of a letter by [REDACTED] on November 5, 1957, from [REDACTED] which we were able to obtain on November 11, 1957. It is apparent that [REDACTED] had received this letter through her family in Chicago. At the same time information was received from [REDACTED] that he contemplated spending the week end with [REDACTED]. During the course of this week end, which was given coverage by Agents, it was determined that [REDACTED] read subject's letter to [REDACTED]. Subsequently [REDACTED] was interviewed on November 20, 1957, at which time she advised SA Robert M. Fauntleroy that [REDACTED] was using the name [REDACTED]. A check was immediately made of all motor vehicle bureaus with the results that Little Rock effected [REDACTED] apprehension within 24 hours.

Although the investigation had been pursued along logical lines and it had been recognized that at the outset [REDACTED] was probably the key to [REDACTED] apprehension, the Washington Field Office failed to take sufficient aggressive action which might have effected [REDACTED] apprehension at an earlier date. The Washington Field Office was repeatedly told of the importance of this investigation and there is no question but what [REDACTED] knew that her husband was using the alias [REDACTED] prior to the time she broke down and furnished it to the Agents. At the outset, this case was supervised at the Washington Field Office by SA K. T. Delavigne and was assigned by SA George R. Tucker from October 31, 1956, until December 28, 1956, at which time it was reassigned to Robert M. Fauntleroy who continued to handle the case up to and including his apprehension. He is the Agent who obtained the information from [REDACTED] which resulted in successful conclusion of the matter. *ASAC H. B. Fletcher and SAC L. L. Laughlin had over-all responsibility for the supervision of this case. ASAC Gerald C. Gearty succeeded ASAC Fletcher and had over-all responsibility for supervision until October 28, 1957. SA K. T. Delavigne directly supervised the case until April 11, 1957, at which time George R. Tucker supervised it until August 19, 1957, and [REDACTED] has supervised it since that date. SAC Whelan was assigned as SAC, Washington Field Office on September 23, 1957, and ASAC T. J. McAndrews was assigned to the Washington Field Office October 28, 1957. This case was supervised at the Bureau by SA Alfred B. Eddy since its inception and is the over-all responsibility of Section Chief, F. L. Price and Number One Man, E. E. Hargett.

b6
b7D

*Had responsibility only from 10-31-56 until 11-26-56.

Memorandum to Mr. Rosen

RE: [REDACTED]
FUGITIVE, IDENTIFICATION ORDER 2993
BAIL JUMPER

b6

RECOMMENDATIONS:

1. In view of the fact that [REDACTED] was not apprehended until the Director sent Mr. Boardman to the Washington Field Office in order to vigorously pursue this investigation and inasmuch as aggressive investigation might have resulted in [REDACTED]'s earlier apprehension, it is recommended that former SAC L. L. Laughlin, former ASAC Gerald C. Gearty, SAs Ken T. Delavigne, George R. Tucker and [REDACTED], and ASAC T. J. McAndrews receive letters of censure for failure to press this investigation. No action is recommended as to former ASAC H. B. Fletcher inasmuch he had responsibility for this investigation for only a very short period of time. Even though W. M. Whelan received a letter of censure for allowing SA Fauntleroy to go on annual leave during the course of this investigation it is recommended that he again be censured for failure to vigorously press this investigation, particularly after the Director had personally spoken to him about it. Yes. J. Edgar Hoover.

2. It is recommended that SA Alfred B. Eddy, who had the direct responsibility for supervision of this case at the Seat of Government, be censured for failure to vigorously supervise this case to bring about an earlier apprehension. It is also recommended that Section Chief F. L. Price and Number One Man E. E. Hargett receive letters of censure for their failure to see to it that vigorous supervision was given to this matter. Yes. J. Edgar Hoover.

ADDENDUM: Responsibility for vigorous investigation stems from the Assistant to the Director to the Assistant Director thence to the appropriate subordinates; for this reason, Messrs. Rosen and Boardman are recommended for censure. Yes. J. Edgar Hoover.

COPY 142
DATE: 12/6/57

TO : Mr. Mohr
FROM : H. L. Edwards

b6

SUBJECT: SUPERVISION OF
[REDACTED]
BAIL JUMPER INVESTIGATION:
PERSONNEL MATTER

The following disciplinary action has been taken in connection with the [REDACTED] case: b6

b6

SAC Whelan, WFO, was first censured in this case on 11/7/57 for permitting the WFO agent to whom the case was assigned to go on extended annual leave and leave the city. The SAC was also criticized in this letter for his failure to give this investigation proper attention even after the Director had specifically discussed the case with him at the time he assumed charge of the WFO. SAC Whelan was again censured in the [REDACTED] case on 12/3/57, at which time 11 other employees were also censured. They were, ASAC T. J. McAndrews, WFO, SAC Leo L. Laughlin and former ASAC Gerald C. Gearty, SA Kenneth T. Delavigne, SA George R. Tucker and SA [REDACTED] all of the WFO.

At the Seat of Government letters of censure were directed to Mr. Boardman, Mr. ~~Rosen~~, SAs Edward E. Hargett, Frank L. Price and Alfred B. Eddy of the Investigative Division.

The [REDACTED] case was under the immediate supervision of SA Eddy in the Investigative Division and in the Washington Field Office it was under the immediate supervision of SA Delavigne from 10/31/56 to 4/11/57, under the supervision of SA Tucker from 4/11/57 to 8/19/57 and under the supervision of SA [REDACTED] since 8/19/57. b6

In addition to the letter of censure to Supervisor Eddy, mentioned above, there is attached the memorandum of the Investigative Division dated 12/6/57 recommending censure and probation for SA Eddy.

Enclosures (5)
JIC:j1j
(2)

ORIGINAL FILED IN 67-286-2876

PERMANENT BRIEFS OF PERSONNEL FILES OF SAs DELAVIGNE, TUCKER, [REDACTED] AND EDDY ARE ALSO ATTACHED. b6

DEC 30 1957

**FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE**

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: Investigative Division
(Division) (Section, Unit)

Official Position Title: Assistant Director

Rating Period: from April 1, 1957 to March 31, 1958

ADJECTIVE RATING: SATISFACTORY Employee's
Outstanding, Excellent, Satisfactory, Unsatisfactory Initials

Rated by: Clyde A. Tolson Associate Director 3/31/58
Signature Title Date

Reviewed by: _____
Signature Title Date

Rating Approved by: _____
Signature Title Date

TYPE OF REPORT

(X) Official

(X) Annual

RECORDED - (1) Administrative

() 60-Day

() Transfer

() Separation from Service

() Special

*Copy sent by
1/5 4/10/58
ML*

APR 29 1958

3-1-58

Back of page

NARRATIVE COMMENTS

Note: The regulations require that OUTSTANDING ratings be supported by a statement in writing setting forth IN DETAIL the performance IN EVERY ASPECT and the REASONS for considering each worthy of SPECIAL COMMENDATION. UNSATISFACTORY ratings must be supported by a statement in writing stating (1) WHEREIN the performance is unsatisfactory, (2) the facts of the (90 day) PRIOR WARNING, and (3) the efforts made AFTER THE WARNING TO HELP the employee bring his performance up to a satisfactory level.

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME (Type or print) Rosen, Alex		2. GRADE AND COMPONENT OR POSITION Assistant Dir.	3. IDENTIFICATION NO.
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)		5. PURPOSE OF EXAMINATION Annual	6. DATE OF EXAMINATION Apr. 21, 1958
7. SEX M	8. RACE W	9. TOTAL YRS. GOVT. SERVICE MILITARY CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE
11. ORGANIZATION UNIT			
12. DATE OF BIRTH 9-14-05	13. PLACE OF BIRTH New York	14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN	
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS N.N.M.C.		16. OTHER INFORMATION	

17. RATING OR SPECIALTY		TIME IN THIS CAPACITY: TOTAL	LAST SIX MONTHS
CLINICAL EVALUATION (Check each item in appropriate column: enter "N. E." if not evaluated)		NOTES.—Describe every abnormality in detail. (Enter pertinent item number before each comment: continue in item 73 and use additional sheets if necessary.)	
NORMAL	ABNORMAL		
		18. HEAD, FACE, NECK, AND SCALP	
		19. NOSE	
		20. SINUSES	
		21. MOUTH AND THROAT	
		22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
		23. DRUMS (Perforation)	
		24. EYES—GENERAL (Visual acuity and refraction under items 59, 60, and 61)	
NE		25. OPHTHALMOSCOPIC	
		26. PUPILS (Equality and reaction)	
		27. OCULAR MOTILITY (Associated parallel movements, nystagmus)	
		28. LUNGS AND CHEST (Include breasts)	
		29. HEART (Thrust, size, rhythm, sounds)	
		30. VASCULAR SYSTEM (Varicosities, etc.)	
		31. ABDOMEN AND VISCERA (Include hernia)	
		32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate if indicated)	
		33. ENDOCRINE SYSTEM	
		34. G-U SYSTEM	
		35. UPPER EXTREMITIES (Strength, range of motion)	
		36. FEET	
		37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)	
		38. SPINE, OTHER MUSCULOSKELETAL	
		39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	
		40. SKIN, LYMPHATICS	
		41. NEUROLOGIC (Equilibrium tests under item 72)	
		42. PSYCHIATRIC (Specify any personality deviation)	
Females only		(Check how done)	
		43. PELVIC <input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL	

prostate soft + NCD

14

60-10017

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively)	REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES
<div>o.—Restorable teeth /.—Nonrestorable teeth</div> <div>X.—Missing teeth XXX.—Replaced by dentures</div> <div>(6 X 8).—Fixed bridge, brackets to include abutments</div>	Meets dental standards

R	X	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	
I	X																																

27 406

45. URINALYSIS: SP. GR. 1.010		46. CHEST X-RAY (Place, date, film number, result)	47. SEROLOGY (Specify test used and result)
ALBUMIN	SUGAR	MICROSCOPIC	
neg.	neg.	neg.	
48. EKG	49. BLOOD TYPE AND RH FACTOR		50. OTHER TESTS
within normal limits			9237-58 neg. see report
			Kahn & VDRL neg.

R

MEASUREMENTS AND OTHER FINDINGS											
51. HEIGHT <div style="text-align: right;">71</div>		52. WEIGHT <div style="text-align: right;">180</div>		53. COLOR HAIR <div style="text-align: right;">Gray</div>		54. COLOR EYES <div style="text-align: right;">Hazel</div>		55. BUILD: SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE <input type="checkbox"/>		56. TEMP. <div style="text-align: right;">98.</div>	
57. BLOOD PRESSURE (Arm at heart level)						58. PULSE (Arm at heart level)					
SITTING		SYS. 132		RECUM. BENT		SYS.		STANDING (3 min.)		SYS.	
		DIAS. 82				DIAS.				DIAS.	
						78					
59. DISTANT VISION						60. REFRACTION			61. .62m NEAR VISION		
RIGHT 20/		20		CORR. TO 20/		BY		S.		CX	
LEFT 20/		20		CORR. TO 20/		BY		S.		CX	
									20-15 CORR. TO 20-10 BY Lenses		
62. HETEROPHORIA: (Specify distance) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. PC PD											
63. ACCOMMODATION				64. COLOR VISION (Test used and result)				65. DEPTH PERCEPTION (Test used and score)			
RIGHT LEFT				1946 AOC 18 X 18				UNCORRECTED			
								CORRECTED			
66. FIELD OF VISION				67. NIGHT VISION (Test used and score)				68. RED LENS		69. INTRAOCULAR TENSION	
70. HEARING		71. AUDIOMETER								72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)	
		250		500		1000		2000		3000	
		250		512		1024		2048		4096	
RIGHT WV 15 /15 SV 15 /15		RIGHT									
LEFT WV 15 /15 SV 15 /15		LEFT									
73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY											

(Use additional sheets of plain paper if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)						76. PHYSICAL PROFILE					
						P	U	L	H	E	S
77. EXAMINEE (Check) <input checked="" type="checkbox"/> IS QUALIFIED FOR Strenuous Physical Exertion and use of Firearms. <input type="checkbox"/> IS NOT						PHYSICAL CATEGORY					
						A	B	C	E		
78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER											
79. TYPED OR PRINTED NAME OF PHYSICIAN G. R. JOHNSTON, CAPT, MC, USN						SIGNATURE S/ G. R. Johnston					
80. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE					
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)						SIGNATURE S/ J. E. O'Malley, LCDR DC USN					
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY						SIGNATURE				NUMBER OF ATTACHED SHEETS	

PATIENT'S NAME—FIRST NAME—MIDDLE NAME

ROSEN, ALEX FBI

REGISTER NO.

WARD NO.

Staff Clinic

AGE

SEX

(Check one)

☐ BEDSIDE, WHEELCHAIR,
OR STRETCHER☐ BED
PATIENT☐ AMBULATORY

EXAMINATION REQUESTED

REQUESTED BY

DATE OF REQUEST

Dr. Johnston

(Allow space for mechanical imprinting, if used)

PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS, AND PROVISIONAL DIAGNOSIS

FILM NO.

9237-58

DATE OF REPORT

RADIOGRAPHIC REPORT

4/21/58 CHEST: Several calcified nodules are seen in the left lung field, the largest being in the 4th left anterior interspace area. This nodule measures about 8 mm. in diameter. There is considerable calcium deposited within the nodes of the left hilum. Other than these findings the lung fields are clear. The cardiac outline is within normal limits. JCO/hcb

DEPARTMENT OF RADIOLOGY

U. S. NAVAL HOSPITAL

NATIONAL NAVAL MEDICAL CENTER

BETHESDA 14, MARYLAND

S/ JCO

J. C. O'LEARY

LCDR MC USNR

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

GPO c9-16-56906-57

Standard Form 519A (Rev. Aug. 1954)

Promulgated by Bureau of the Budget

(Circular A-32 (Rev.))

RADIOGRAPHIC REPORT

NAME OF HOSPITAL OR OTHER MEDICAL FACILITY

ENCLOSURE

R

Rosen, Alex

ATTACHMENT TO STANDARD FORM 88
(Revised December 5, 1955)

Report of Medical Examination

FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER:

The following portions of the attached examination report form need not be completed:

2	67
3	68
11	69
14	71 (Item 71, audiometer examinations,
17	should be afforded whenever possible.)
62	
65	72

Item 48, the electrocardiogram, is not required unless the examinee is over 35 years of age or unless other examination indicates such is desirable.

If the examinee is an applicant, the Chest X-ray and blood type and Rh factor (Items 46 and 49) are not necessary unless the facilities for affording same are readily available to the examiner.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee is qualified for strenuous physical exertion. (Designate which)
(is or is not)

FOR ALL MALE EMPLOYEES OR APPLICANTS:

The medical examiner is requested to answer the following:

Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms? Does examinee have any defects prohibiting safe operation of motor vehicles?

No
If answer is "yes" please specify.

IT IS ESSENTIAL THAT ALL STATEMENTS IN ITEMS 59, 61, 64 AND 70 PERTAINING TO VISUAL ACUITY, COLOR VISION AND HEARING BE COMPLETED IN DETAIL.

G. R. Johnston
(Signature of Medical Examiner)

MAY 20 1958

(Date)

R

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Rosen

DATE: 4-15-58

FROM : C. A. Evans

SUBJECT: NICARO NICKEL PLANT
 NICARO, CUBA
 FRAUD AGAINST THE GOVERNMENT - CONSPIRACY;
 PERJURY; ELECTION LAWS

Tolson ✓
 Nichols ✓
 Rosen ✓
 Tamm ✓
 Trotter ✓
 Nease ✓
 Tele. Room ✓
 Holloman ✓
 Gandy ✓

This is submitted in connection with the Director's inquiry as to the basis for not using the phrase "restricted investigation" in transmitting reports to the Department in this case in 1955 and 1956.

This case initially arose on 10-4-55 when then Deputy Attorney General Rogers requested that we interview [redacted] of General Services Administration (GSA) who had indicated to Rogers he had information concerning the awarding of a Government contract to produce nickel in Cuba. We conducted the requested interview and secured voluminous documentary material from [redacted]. Since a review of the information supplied by [redacted] and the documents did not indicate the violation of any Federal Statute within the Bureau's jurisdiction, but rather that there were political considerations in the award of this contract, we wrote the Department pointing out we had completed the investigation requested and asking legal advice as to whether there was any violation within our jurisdiction which would warrant investigation.

Thereafter there were a series of detailed requests from the Department for specific interviews, many of which were with individuals prominent in Republican Party circles. In no instance did the Department indicate there was a Federal violation within our jurisdiction involved and consequently because of this we conducted only the interviews specifically requested. At the completion of the various phases of investigation requested by the Department we wrote the Department furnishing the results of the investigation conducted, advising that this completed the investigation requested and asking whether any additional investigation was desired.

During the course of the various phases of this investigation the Department on some occasions wrote asking that specific interviews previously requested be deferred or not conducted and this too was predicated upon the Department's review of voluminous

46-24201

CAE:iw

(6) *iw*

Str of C.A. Evans to C. A. Evans
W. J. Hurley, Mr. Rosen
+ Mr. [unclear] TJN:jmd
4/18/58

100-10-10-10

Memorandum to Mr. Rosen

information concerning this matter which it had received from other sources, including Congressional and GSA hearings.

From a review of the matter as a whole because of the political ramifications and the fact the Department at no time indicated there was an actual violation under Bureau jurisdiction, the Bureau was careful to cover only those interviews specifically requested by the Department. Actually the Department did not ask for restricted investigation; rather, the Bureau was endeavoring not to become any more involved than was essential.

It is realized, however, for the protection of the record it would have been better to make it clear in writing that the investigation conducted was only that actually requested by the Department. We have been most careful to include in our letters to the Department appropriate phraseology showing the restricted nature of investigations since 7-31-57, at which time this same issue arose in a Civil Rights case and Division-wide instructions were issued. Despite the fact that instant case was handled in 1955 and 1956, it was an error in judgment not to have used more specific language because this would have made the record clear beyond any doubt.

This case was supervised by SA W. J. Hurley, who prepared the various memoranda of transmittal to the Department. Hurley fully realizes that his memoranda failed to inform the Department unequivocally that we did only what had been asked for and he sincerely regrets this omission on his part.

RECOMMENDATIONS: (2)

1. Although Hurley did not at the time disregard existing instructions and although he did advise the Department that its individual requests had been completed, he did not clearly point up that we did only what had been asked for. Accordingly, it is recommended he receive a letter of censure for not having made more positive statements in this regard in the communications to the Department.

2. As Chief of the Accounting and Fraud Section I am responsible for the over-all supervision of this case. I was the only one approving mail who had a sufficiently detailed knowledge of the case to have caught this omission. I recommend that I also receive a letter of censure in this regard.

July 14, 1958

MEMORANDUM FOR MR. TOLSON

On July 10, 1958, I saw Assistant Director Rosen.

Mr. Rosen called to express to me his regrets over the recent deplorable situation which had arisen in the Investigative Division in the Fugitive Section and stated that he would certainly endeavor to see there was not a recurrence of this type of situation.

I told Mr. Rosen that I was thoroughly disgusted at the manner in which he has been supervising the Investigative Division and that the recent incident in the Fugitive Section where it was found there was little coordination and little system for checking field reports was merely a culmination of a series of incidents which show he had not exercised the proper supervisory direction of that Division.

I called his attention to the investigation made of former Commissioner Richard Mack when he was under consideration for appointment and the failure to determine certain salient facts at that time about Mr. Mack's financial activities.

I also called his attention to the failure of his Division to have taken any positive steps to determine the activities of the Mafia, resulting in my having to transfer this assignment to Inspector Sullivan of the Domestic Intelligence Division.

I also called his attention to the fact he had not taken any positive steps toward implementing coverage in the criminal field until after the Apalachin meeting. I called Mr. Rosen's attention also to the deplorable situation as to the supervision of jewel robberies and to the fact that in the Miami Office no steps had been taken in the early part of this year to have proper coverage as well as proper coverage of the homes of known jewel thieves in that field division.

Tolson _____
Boardman _____
Belmont _____
Mohr _____
Nease _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Holloman _____

JEH:EDM (5)

MAIL ROOM ☐

407

SENT FROM D. O.	
TIME	_____
DATE	_____
BY	_____

Memorandum for Mr. Tolson

July 14, 1958

b6

I also called Mr. Rosen's attention to the improper handling of Identification Orders and mentioned particularly the failure of the Investigative Division to issue an I. O. in the [] Case. I pointed out that [] in the country; that we had an opportunity to apprehend him for over a year but had not issued an I. O. upon him and did not do so until I insisted upon it in connection with the jewel robbery at the Kenilworth Hotel in Miami.

I stated that Supervisor F. W. Doerner, who had been in charge of the Fugitive Desk, had informed Inspector H. L. Edwards he did not understand it was the duty of the Supervisors to check out such matters as the lead which had not been followed in the Cincinnati Field Division and when followed resulted in the apprehension of a fugitive. I stated this indicated a lack of leadership upon the part of Mr. Rosen and failure to properly indoctrinate and instruct Supervisors. I told Mr. Rosen that in my estimation there were entirely too many Supervisors in his Division and he had not exercised proper leadership of them and he had procrastinated in reaching decisions as to the fitness of the Supervisors, citing the cases of Supervisor [] and Supervisor Dougherty.

b6

I told Mr. Rosen that I should immediately remove him as Assistant Director, but I intended to give him just one more chance and I wanted him to know I had ordered a prompt inspection of all sections of the Investigative Division and if the conditions were not found to be satisfactory, it would be necessary for me then to replace him.

Mr. Rosen indicated he would certainly do everything within his power to have his Division in proper condition when the inspection is made.

Very truly yours,

J. E. H.

John Edgar Hoover
Director

PERSONAL

MAIL ROOM ☐ TELETYPE UNIT ☐

UNITED STATES GOVERNMENT

DATE
2-8-59

FROM :

J. P. Mohr

SUBJECT: PROBATION REPORT

Following is a list of SACs, SOG officials and
Legal Attaches on probation.

NAME	PROBATION DATE	DATE LAST INSPECTION	DATE LAST RECHECK	REASON
C. W. Brown	8-5-57	9-24-58		Because of delinquencies detected during inspection. Continued 12-19 in view of letter of censure received on 12-18. Continued 2-28 in view of administrative weaknesses detected during inspection of the Detroit Office. Continued 6-6 in view of administrative action taken against him in February and March. Continued 10-31 as a result of inspection.
Savannah Insp.	12-19-57	Continued		
	2-28-58	" "		
	6-6-58	" "		
	10-31-58	" "		
F. E. Crosby	1-15-59	12-9-58		For exercising poor judgment in trying to jest with Congressmen Lipscombs and Rhodes.
Honolulu				
E. J. McCabe	4-18-58	8-22-58		Because of delinquencies found in his division during inspection of the New York Office. Continued 6-27 as result of recheck inspection of New York Office. Continued 9-16 inasmuch as he has only been in the New Haven Division since 7-21-58. Continued 1-6-59 in view of the letter of censure directed to him on 9-24-58 and will be reconsidered for removal in March, 1959.
New Haven Insp.	6-27-58	Continued		
	9-16-58	" "		
	1-6-59	" "		

RECORDED - 148

CC - Mr. Tolson
Mr. Mohr
Mr. Tamm
Mr. Ingram
Mr. Tavel

EJI:mbp

87-141	837-399
Searched	Numbered
9 FEB 9 1959	

THREE

Mr. Tolson	
Mr. Belmont	
Mr. DeLoach	
Mr. McGuire	
Mr. Mohr	
Mr. Parsons	
Mr. Rosen	
Mr. Tamm	
Mr. Trotter	
Mr. W.C. Sullivan	
Tele. Room	
Mr. Holloman	
Miss Gandy	

. E. ~~X~~ Edwards 4-18-58 2-20-58
Buffalo ~~INS~~ 6-27-58 Continued

Because of accumulation of errors relating to physical condition and maintenance, and his over-all responsibility regarding improper assignment of correlation employees. Continued 6-27 as a result of the recheck inspection of the New York Office.

. J. ~~X~~ Powers 3-28-58 2-2-58
Indianapolis ~~INS~~ 4-18-58 Continued
6-27-58 " "
10-17-58 " "

Because of the atrocious handling by him and his supervisory staff of the Espionage investigation on 3-12-58. Continued 4-18 in view of results of inspection. Continued 6-27 in view of results of recheck inspection of the New York Office. Continued per memo 10-17-58.

. E. ~~X~~ Hargett 6-26-58
Jacksonville ~~INS~~ 11-26-58 Continued

Failure to detect and correct inexcusable weaknesses found during special inspection of the Deserter Fugitive Unit under his supervision while assigned to SOG. Per memo 11-26 recommend continued and reconsidered for removal after the results of next inspection.

. R. ~~X~~ Johnson 10-13-58 10-9-58
Atlanta ~~INS~~ 11-3-58 Continued

Because of the ~~denelictions~~ in his supervision of the investigation into the bombing of a place of religious worship on 10-12-58. Continued 11-3 as a result of inspection.

. D. ~~X~~ Mason 1-8-59 1-30-59
Cincinnati

Because of the enept way in which he handled the personnel situation involving Special Agent William J. Fitzpatrick.

Dallas	2-1-59	11-6-58	Because of the mishandling by the Dallas Office of the Interstate Transportation of Motor Vehicle Cases involving [REDACTED].
O. Tamm Training and Inspection Division	6-26-58 11-6-58 Continued	6-12-58	Superficial and inadequate inquiry in the Deserter Fugitive Unit by an Inspector under his supervision. Continued 11-6-58 until after results of next inspection.
A. X Rosen Investigative Division INSPECTION	6-26-58 Began 10-29-58 11-6-58 Continued		Failure to detect and eliminate weaknesses found during special inspection of Deserter Fugitive Unit in his division and failure to emphasize old pending Deserter Fugitive Cases. Continued 11-6-58 until after results of present inspection.
A. H. X Belmont Domestic Intelligence Division INSPECTION	9-16-58 11-12-58 Continued	10-31-58	Because Special Agent Supervisors were engaging in improper activities after signing in. Continued 11-12 as result of inspection.
J. A. X Sizoo Domestic Intelligence Division INSPECTION	9-16-58 11-12-58 Continued	10-31-58	Because Special Agent Supervisors were engaging in improper activities after signing in. Continued 11-12 as result of inspection.
D. E. X Moore Domestic Intelligence Division INSPECTION	9-16-58 11-12-58 Continued	10-31-58	Because Special Agent Supervisors were engaging in improper activities after signing in. Continued 11-12 as result of inspection.
A. A. X Cammarota Rome	1-15-59	5-23-58	Because of his dereliction in the handling of security regulations in his office.

September 10, 1958

MEMORANDUM TO MR. BELMONT
MR. CALLAHAN
MR. CONRAD
MR. EVANS
MR. MALLEY
MR. McGUIRE
MR. MOHR
MR. NEASE
MR. PARSONS
MR. ROSEN
MR. SIZOO
MR. TAMM

In order for Bureau officials to receive a complete briefing on the operations of the New York Office with a view to better coordinating and integrating the activities of that office with those of the Bureau, I desire that each of the officials mentioned in the schedule below proceed to New York on the date indicated. It is estimated that the briefing and discussion involved will require six to seven hours.

9/15/58 Q. Tamm
N. P. Callahan
9/18/58 A. H. Belmont
J. A. Sizoo
9/19/58 A. Rosen
C. A. Evans
9/22/58 G. A. Nease
9/25/58 J. J. McGuire
I. W. Conrad
9/26/58 J. R. Malley
10/3/58 D. J. Parsons
J. P. [unclear]

MAILED 9
SEP 10 1958
COMM-FBI

SEP 10 2 22 PM
REC'D-READING ROOM
FBI

67-37854-378
Searched _____ Numbered _____
1 SEP 16 1958

Tolson _____
Belmont _____
Mohr _____
Nease _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Holloman _____
Gandy _____

1 - Mr. D. G. Manning

1 - Mr. [unclear] Unit
WAT:ake (18)

MAIL ROOM ☐ TELETYPE UNIT ☐

Very truly yours, 23 64 28
JEB
John Edgar Hoover, FBI
REC'D CIVIL ROOM

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Tolson

DATE: 8/15/58

FROM : Q. Tamm

SUBJECT: EXPEDITING INVESTIGATIONS OF MATTERS REFERRED BY
INSPECTION - LEGAL ATTACHE'S OFFICE
OTTAWA, CANADA, 7/14 - 18/58

b7D

Tolson
 Boardman
 Belmont
 Mohr
 Parsons
 Rosen
 Tamm
 Trotter
 Nease
 Tele. Room
 Holloman
 Gandy

W. C. Sullivan

H. B. Fletcher

b7D

SYNOPSIS:

On 7/10/58, Legal Attache, Ottawa, requested authority to carry pending cases inactive when investigation pending in the United States (U.S.). Request made because in some cases results of investigation in U. S. not received in time to avoid carrying cases delinquent on administrative reports.

Case in point is "Unknown Subjects (3); Seattle First National Bank.

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b7D

Wood Branch, 3/12/54; Bank Robbery." This case wherein [redacted] Vancouver, furnished alibi for a suspect; Bureau questioned accuracy [redacted] notes. [redacted] after investigation, advised [redacted] report accurate. Details of investigation refused but furnished after Director protested failure to furnish details. On 1/27/58, [redacted] furnished photographs of [redacted] as a suspect noting he was in custody Sheriff, Salem, Oregon, 1/20/54. Legal Attache on 1/29/58, asked that photographs be exhibited; did not specifically ask that check be made at Salem, Oregon. Seattle and Portland furnished information by Seat of Government (SOG) on 3/10/58. Portland did not open case until Seattle report of 4/8/58, was received setting out lead at Salem to check disposition. Seattle exhibited photographs on 4/11/58, with negative results. Portland check of Sheriff's Office, Salem, on 5/23/58, determined suspect released to Immigration and Naturalization Service (INS), Portland, 1/26/54, for deportation. INS record check Portland on 5/27/58 reflected that INS records Seattle would have INS disposition. Portland set out this lead on 5/27/58. On 6/23/58, Seattle checked INS records which reflected suspect deported 2/26/54. Seattle set out lead for Resident Agency, Bellingham, Washington, to check [redacted]

[redacted] to ascertain suspects whereabouts on 3/12/54. This lead covered 7/28/58, negatively and Seattle by letter to Bureau 7/30/58, requested [redacted] be asked to check out through their sources suspects whereabouts as of 3/12/54.

Enclosures

Mr. Belmont (Attention Foreign Liaison Unit)

Mr. Mohr

Mr. Rosen

Personnel File of SA Robert W. Evans

Personnel File of SAC Donald S. Hostetter

Red/dps

67-388 351-1-24-19-58
 Searched _____ Indexed _____
 1 - Personnel File of Chester C. Crismon
 3 - Personnel File of SAC William H. Williams

* See to send out after Rosen & Hostetter signed & filed.

Memorandum for Mr. Tolson
Re: **EXPEDITING INVESTIGATIONS OF
MATTERS REFERRED BY**

b7D

In spite of fact photographs of suspect exhibited with negative results and deadline matter not involved, unnecessary delay in handling investigation of this suspect has occurred. SOG Supervisor Robert W. Evans, SAC Donald S. Hostetter, Supervisor of case at Seattle, SA Chester C. Crisman, Seattle, to whom case was assigned and SAC William H. Williams, Supervisor at Portland, share responsibility for the delay.

RECOMMENDATIONS:

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(1) Censure is recommended for Supervisor Robert W. Evans for failure to follow-up on the inquiry as to suspect to insure against possible embarrassment by reason of delay. Investigative Division concurs. If approved, to be handled by Administrative Division.

Agree
8/18

Yes.
✓

I agree
8/18

b6

(2) Censure is recommended for SAC Donald S. Hostetter, who advises case is supervised by him, for his failure to direct the inquiry as to suspect to insure it was handled without unnecessary delay to insure against possible embarrassment. Investigative Division concurs. If approved, to be handled by Administrative Division.

Agree
8/18

Yes. I agree
8/18

(3) Censure is recommended for SA Chester C. Crisman for his failure to give prompt investigative attention to the initial lead and those subsequently assigned to him by reason of developments at Portland. Investigative Division concurs. If approved, to be handled by Administrative Division.

Agree
8/18

Yes.
✓

I agree
8/18

OVER

PERMANENT BRIEFS OF SAs EVANS AND CRISMAN ARE ATTACHED.

Detached 8-19-58
-2-

Memorandum for Mr. Tolson

RECOMMENDATIONS: (continued)

(4) Censure is recommended for SAC William H. Williams, who supervises bank robbery cases at Portland, since he did not open case at Portland upon receipt of copy of Legal Attache's letter of 1/29/58. This should have been done even though this letter did not specifically set out the lead for Portland. The fact that a copy was furnished to Portland by SOG in itself should have been sufficient notification of the necessity for making the check at Salem, Oregon, as to the disposition of the suspect by the Sheriff's Office there.

Investigative Division concurs. It approved, to be handled by Administrative Division.

Agree
JH
8/18

Agree
W. H. Williams
8/18

Yes.
H.

b7D

Mr. Fletcher
advised and is
handling.

(5) That requests for information or investigation made by with which the Bureau maintains liaison be handled on a 30-day deadline basis in absence of circumstances dictating that inquiry or research be made and reported on a more urgent basis. In keeping with deadline procedures, the Foreign Liaison Desk will expedite processing of incoming requests, and substantive supervisor will follow field to insure deadline is met.

TJN

8-25

Agree
JH
8/18

Agree
W. H. Williams
8/18

Yes.
H.

Also Rosen is a lie
ensured for he is
in charge of Evans

Memorandum for Mr. Tolson
Re: **EXPEDITING INVESTIGATION OF**
MATTERS REFERRED BY []

b7D

DETAILS:

On 7/10/58, Legal Attache, Ottawa, requested Bureau authority to carry pending cases in an inactive status when there was no investigation pending in Canada and leads were outstanding at Bureau headquarters since no further action could be taken by the Legal Attache until the results of the investigation in the U.S. were furnished to him. Inspector H. B. Fletcher determined that this request was motivated in part by the fact that cases of this character often were delinquent in that results of investigation were not received from Bureau headquarters within 45 days, resulting in cases being carried delinquent on the monthly administrative report. The Legal Attache advised that [] instructs that reports on Bureau requests for investigation be submitted within 30 days on routine matters. Legal Attache was orally instructed not to place cases in inactive status where cases were pending in the U.S. and that it would be proper for him to follow pending investigation in the U.S. with Bureau headquarters.

In the letter addressed to the Legal Attache dated 7/30/58, concerning the results of the inspection, he was specifically instructed to follow with Bureau headquarters on matters pending investigation in the U.S.

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A case in point is entitled "Unknown Subjects (3); Seattle First National Bank, Greenwood Branch, Seattle, Washington, 3/12/54; Bank Robbery." Bureau file 91-7509. This is the case wherein [] Vancouver, furnished alibi for suspect reporting he had interviewed suspect on date of robbery. Bureau raised question: Could [] have been mistaken as to date? [] by letter 10/11/55, stated investigation made and [] had interviewed suspect as reported. Details of investigation were refused; Director expressed Bureau's disappointment to [] then furnished details in letter dated 11/4/55. File at Ottawa reflected that by letter 1/27/58, [] furnished the name of [] FBI No. [] as a suspect in this case. Three photographs of suspect were furnished. By letter 1/29/58, Legal Attache asked that the photographs of this suspect be exhibited to the witnesses and pointed out that since this suspect was arrested 1/20/54, at Salem, Oregon, there was a possibility he was in custody at the time of the robbery. On 4/11/58, Legal Attache followed up with the Bureau. On 5/12/58, Legal Attache received copy of memorandum prepared at Seattle reflecting that photographs had been exhibited with negative results and investigation was pending at Salem. Legal Attache advised [] of this status on 5/12/58. On 7/8/58, Legal Attache again followed up with Bureau.

Memorandum for Mr. Tolson
Re: EXPEDITING INVESTIGATIONS OF
MATTERS REFERRED BY []

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b7D

SOG file reflects Legal Attache's letter of 1/29/58, was received on 2/4/58. On 2/10/58, by form 0-25 (routing slip) one copy of this letter was sent to Portland and one copy to Seattle with added enclosures to Seattle of three photographs of the suspect and a copy of the [] letter of 1/27/58. By letter 2/19/58, Seattle advised Bureau it did not receive copy of [] memorandum dated 1/27/58, so copy sent 2/28/58. Legal Attache follow-up of 4/11/58, was received 4/14/58. SOG followed up with Seattle on 4/18/58. On 4/28/58, Seattle reported to SOG that photographs of suspect [] had been shown to witnesses with negative results and investigation was pending to determine disposition of the suspect following his arrest at Salem, Oregon, 1/20/54. Lead to Portland in this regard was set out in a Seattle report dated 4/8/58. Legal Attache's follow-up of 7/8/58, was received 7/10/58, and follow-up to Seattle was sent on 7/15/58. By letter 7/25/58, Seattle forwarded a memorandum suitable for dissemination to [] reporting suspect had been arrested at Salem, Oregon, on 1/20/54, and released 1/26/54, to INS at Portland for deportation. Seattle INS records reflected that on 2/26/54, he was delivered to Canadian Army officials at Canadian border, Blaine, Washington. Investigation was pending at Seattle to conduct inquiry at [] Vancouver, British Columbia, to determine suspect's whereabouts on the date of the bank robbery. By letter 7/30/58, Seattle advised inquiry at Vancouver negative and requested [] through its sources determine whereabouts of suspect on date of robbery.

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Portland Office advises Seattle report of 4/8/58, was received on 4/10/58. Lead for Salem, Oregon, contained in this report was assigned that date to SA J. Donald Reed, Resident Agent, Salem. Reed covered lead on 5/23/58, 42 days after the lead was received at Salem Resident Agency. He furnished his information to Portland and on 5/27/58, SA [] contacted INS Portland and determined INS disposition would be available at INS Seattle. RUC letter Portland to Seattle was dated 5/27/58. SAC William H. Williams, Portland, advises that SA Reed during the period 4/11/58 to 5/23/58, was handling other cases of expedite nature and was of the opinion that the lead at Salem in this case was not of similar urgency, and recommended no administrative action.

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SAC Donald S. Hostetter, Seattle, advises this case is supervised by him and is assigned to SA Chester C. 'Erisman. Erisman was absent from Seattle 3/14/58, to 4/3/58, attending In-Service and under subpoena in Chicago. SA Erisman set out lead for Portland in report of 4/8/58, and exhibited photographs of suspect [] on 4/11/58. Portland lead to contact INS at Seattle set forth in Portland RUC letter of 5/27/58, was covered on 6/23/58. A memorandum recording the contact with INS was prepared and leads set out for Resident Agent, Bellingham, Washington, to check records at Vancouver to determine if suspect was in custody on the day of the bank robbery. SAC Hostetter recommended no administrative action.

Memorandum for Mr. Tolson
Re: **EXPEDITING INVESTIGATIONS OF
MATTERS REFERRED BY** []

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This case has been supervised at SOG by Supervisor Robert W. Evans, Investigative Division. He advises that having in mind that the photograph of the suspect was exhibited on 4/11/58, with negative results, the importance of determining whether the suspect [] was actually in custody at the time of the robbery was minimized. Even though photographs of suspect [] had been exhibited with negative results and this did not involve a deadline matter, no urgency having been indicated by the Legal Attache, closer supervision should have been afforded to the inquiry initiated by the Legal Attache. The field should have been followed without request from the Legal Attache to insure against possible embarrassment by reason of delay.

The desirability of setting up deadlines for the handling of investigations referred by foreign police agencies, particularly those with whom liaison is maintained, has been discussed and reviewed with the Domestic Intelligence Division and the Investigative Division. These divisions and the Training and Inspection Division concur in the suggestion that deadlines of 30 days be set for coverage of leads requested by such foreign agencies in absence of information from the Legal Attache indicating greater urgency. This will compensate for some of the delay arising from the processing of communications occasioned by the fact that all requests from Legal Attaches are channeled through Bureau headquarters and are channeled back through Bureau headquarters to Legal Attaches. It will give Bureau headquarters better control and prevent possible embarrassment.

Unnecessary delay in the conduct of the investigation concerning the suspect [] has occurred in connection with the 3/12/54, bank robbery of the Seattle First National Bank, Greenwood Branch. SOG Supervisor Robert W. Evans, since inquiry originated through Bureau headquarters, should have followed up with investigating offices without follow-up from Legal Attache. SAC Hostetter, Seattle, field supervisor, should have taken steps to insure [] suggestion handled promptly, particularly in view of unsatisfactory situation which arose in this case affecting relations with [] SA Chester C. Crisman should have handled necessary investigation at Seattle without undue delay. Portland Office handled and reported investigation on the suspect in 47 days after opening the inquiry which is in keeping with the 45-day delinquency rule; however, SAC William H. Williams, supervisor of bank robbery matters at Portland, should have caused investigation of the suspect to be opened upon receipt of copy of Legal Attache's letter dated 1/29/58, transmitted to Portland by SOG on 2/10/58, rather than wait for Seattle report of 4/8/58, which set out the lead to open this inquiry. Over-all, it should not have taken almost six months to conclude investigation of this suspect in the U.S. Responsibility for the delay must be shared by SOG Supervisor Robert W. Evans, SAC Hostetter, SAC Williams and SA Crisman since each contributed to the delay.

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b7D

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Mohr

DATE: 6/23/58

FROM : H. L. Edwards

SUBJECT: SPECIAL INSPECTION OF DESERTER FUGITIVE UNIT;
Investigative Division, [redacted] Fugitive-Deserter

SYNOPSIS SUMMARY. DETAILS ATTACHED

Recommendations
begin on Page 8

BACKGROUND: Director ordered recheck of Deserter Fugitive Unit 6/9/58 for details concerning "selective supervision" at Seat of Government, whether continuation justified or change warranted, and whether SOG responsibility existed for failure to detect missed deadline in captioned case. Discovered during inspection of Cincinnati lead in [redacted] case to interview fugitive's mother unhandled from 3/13 to 5/22/58: covering lead resulted in subject's apprehension 5/23/58. Director noted: "This shows little or no supervision in fugitive cases - a delay of over 2 months in following up a lead." Mr. Tolson inquired re procedures in effect permitting such delinquency, also re additional supervisors required for detailed rather than selective supervision as presently exists in Deserter matters. Inspector Seymour F. Phillips made inquiry, submitted memorandum approved and initialed by Mr. Tamm dated 6/6/58 concluding no dereliction at SOG since selective supervision presumes supervisory responsibility rests on field and SOG not responsible for following leads such as [redacted] case; also changing selective supervision on Deserter Desk would require considerable increase in personnel or sacrificing other more important matters. Director commented on Phillips' memo: "There is no use of any supervision unless it's effective and certainly our present system is ineffective." Also, "I don't agree that there is no responsibility at SOG. We have a so-called 'selective supervision' and it is a complete waste of money and might as well be abolished so far as its effectiveness is concerned. Both Mr. Tolson and Director concluded that Inspector Phillips' memorandum completely unsatisfactory, no details given concerning selective supervision at SOG nor any facts to justify continuation or change."

ORGANIZATION OF UNIT AND DIVISION: Unit of COMMAND. Since 11-13-56 Deserter Fugitive Unit headed by SA Fred W. Decker, Jr., Veteran, GS 13, other agent, [redacted] Veteran, GS 13, assigned since 10-7-57; one Super Clerk, GS 7; and 8 clerks, GS 2 - GS 5. Unit in Criminal Section under Frank L. Price, GS 15, Price under No. 1 Man, Inspector Edward E. Hargitt, GS 16, of under Assistant Director Rosen, GS 17.

FACTORS OF INSPECTOR H. L. EDWARDS:

1. Despite some beneficial supervisory procedures, checks and programs

Inspector H. L. Edwards' periodic spot checking all cases to detect

2. Division had program analyzing field delinquency and statistical accomplishments in all classifications for discussions with SACs and ASACs when at SOG: Deserter Fugitive Unit had no system for regular periodic spot checks of individual offices to evaluate handling cases. Such check would supplement index check for delinquency reporting and permit spotting cases where field submits reports to prevent "technical" delinquency but doesn't cover all outstanding leads. Necessary to detect, correct and prevent weaknesses and obtain "feel" of field efficiency not possible through existing procedures. No need to "wet nurse" or guide field in each individual case but SOG must give broad direction, control and guidance to guarantee cases handled promptly, efficiently and prevent weaknesses. Inspector recommended (Mr. Rosen agreed and has instituted) supervisor make quarterly review of pending cases in each office for spot checks and corrective action as warranted. Review to be absorbed by present staff through adjustments involving realignment of duties to strip agents of less essential volume-type tasks and assign to existing Super Clerk to justify her present grade and carry out intent of Super Clerk program. Mr. Rosen agrees and after 90-day trial (ending 9/30/58) will report possible release of one agent. Classification Unit to recheck grade of Super Clerk then.

3. Under "selective supervision," supervisor responsible for recognizing cases needing "close" supervision" (i. e., maintain ticklers, review case file, follow field, etc.). Unit was giving "close" supervision to only 63 (less than 4%) of 1999-2200 pending cases. Of these, 19 because of the occasional interest, inspection write-ups, prominent individuals. Remaining 44 were old cases pending from 1945 through 1948, and reported to "close" supervision only after Director asked for number of old first-class cases pending. Unit had 4,685 pending prior to 1-1-58. After Director's request, unit was directed to follow up on 4,685 cases.

recommends (Mr. Rosen agrees) review be accelerated on remainder pending prior to 1-1-57 (431) by utilizing agents throughout Division. Quarterly office spot check previously recommended will catch 420 cases pending since 1957. As reviews completed cases warranting it should be placed under "close" intensive supervision.

4. ANALYSIS AND EVALUATION OF "SELECTIVE SUPERVISION" "Selective supervision" system recommended by Executives Conference 1-3-45, approved by Director, as outgrowth of 1944 Investigative Division inspection showing heavy backlog of reports for review, ticklers to be followed, too much calling and reviewing case files. Purpose was to have SOG supervisor acquire knack of reviewing reports to handle volume and yet have time for important cases with emphasis on being "coordinator," not "report supervisor." Supervisor to be fully responsible for reports initialed. "Selective supervision" covers certain large volume and less important classifications (authorized in 21 out of 36 classifications in Criminal Section and 3 in Accounting and Fraud Section; in 1957 applied to 298,984 investigative reports out of total 377,176, remaining 78,192 given "full" supervision requiring word by word review of reports and double check of every phase of field handling.) "Selective supervision" meant supervisor to use judgment reviewing reports and if closer supervision not indicated, need not call file; limits report review to such items as title, designation of copies, reasonableness check of stated leads, check on date of report and dates of investigation for delinquencies and review of synopsis. Items detected may warrant detailed review of report or even case file.

"Selective supervision" evaluated during several inspections since 1945 (latest 1957). Continuation justified as only alternative said to be "full" supervision which Division stated would require great increase in agent supervisors (estimated one supervisor per 200 cases would require increase of 118 agents over 3-1-58 staff of 70, or 188 agents for Criminal and Accounting and Fraud Sections). It is unrealistic to estimate agent needs based on "full" supervision. For example, Investigative Division estimated "full" supervision in Deserter Fugitive Unit would require one supervisor per 200-250 cases or 8 supervisors (1900-2000 pending cases). Present survey indicates ample supervision for these cases possible after completing corrective action recommended by Inspector, and may permit releasing one of two agents. Unit average per supervisor (including Super Clerk) was 1200 cases early 1953, 1000 on 6-30-53, and 650 since March, 1955. Releasing agent will mean 950-975 cases per supervisor which seems reasonable.

Weaknesses in Deserter Fugitive Unit show misconception of responsibility under "selective supervision," raises question whether similar misconception exists on other desks (under either "selective" or "full" supervision). Inspector feels these terms are too false "double standard" with risk of supervisors giving "too little" supervision at one extreme or "too much" at other. Inspector recommends (Mr. Rosen agrees) that terms "selective supervision" and "full supervision" be abolished; that SOG supervisor's responsibility be defined and apply uniformly to all cases. Restatement of SOG supervisor's responsibility, to be defined and apply uniformly to all cases; (2) SOG

not expected to double check field handling in each case; (3) SOG supervision must give centralized policy direction, coordination and control to field, use sensible judgment supervising cases to insure necessary administrative devices for regular spot checks and evaluations to spot weaknesses, have them corrected and responsibility fixed; provide leadership and maintain constant pressure on field to insure compliance and investigative results; (4) annual field inspections help check whether field discharging primary responsibility but must be supplemented by more frequent regular SOG checks and controls; (5) written guides and instructions for field must be followed up by spot checks to insure compliance; (6) Division heads and other executive staff must also spot check SOG supervisors to insure proper understanding and compliance, and achieve maximum effectiveness, efficiency and economy in SOG operations; (7) Inspections, Supervisors' Manual, and training courses at SOG should give guidance; (8) all phases of SOG functioning to be checked in SOG inspections.

Abolishing "double standard" and allowing 90 days for Division to adjust to proper supervisory concept should thereafter enable accurate determination of minimum agent needs. Although knowledge of actual case load is not sole factor in evaluating needs it would help. Investigative Division does not maintain pending case load figures for all desks and monthly administrative report from each field office shows only "pending investigative matters" and does not break down total pending cases for each classification by (a) office of origin cases, and (b) auxiliary office cases (i. e., only one or more leads to cover). Field could easily furnish this breakdown (per spot inquiry in WFO. Inspector recommending (Mr. Rosen agrees) future monthly administrative reports show this breakdown.

Unit indicate possible reduction of
Division manpower
). Division personnel

Division totalled 309 (250 agents including, one, to become 124 following pending transfer; 31 supervisory clerks, 153 clerks). During next 90 days Inspector recommends (Mr. Rosen agrees) to maintain Division at no increase in current strength, no agent replacements to be requested for resignations, other separations or transfers without complete justification and proof work can't be absorbed by remaining personnel. Mr. Rosen also to: (1) insure every supervisor understands basic supervisory responsibilities, and is handling same with maximum efficiency, no unnecessary detailed individual case or report reviews, is using proper administrative devices to spot check delinquencies and otherwise follow field; (2) insure supervisors adequately pressuring field into discharging primary investigative responsibilities, and where field fails, that responsibility fixed and severe administrative action recommended; (3) insure Division is taking all logical action to achieve economy and streamlining such as equitable sharing of work loads and combining desks or units. Mr. Rosen to submit report by 9-30-58 containing complete analysis and evaluation of Division's work load and operations with specific facts and recommendations concerning any agent (and clerical) personnel able to be released from Division. Report to be checked carefully by Inspector even to extent of full divisional inspection if warranted to insure all possible reductions in personnel have been

INSPECTOR PHILLIPS' INADEQUATE INQUIRY AND UNSATISFACTORY REPORT: Inspector Seymour F. Phillips on 6-22-58 admitted inquiry concerning Deserter Fugitive Unit inadequate and memo of 6-6-58 superficial; failed to grasp what Mr. Tolson wanted. Assigned to Deserter Fugitive Unit 4-12-54 to December, 1955, but made no current checks of operations and procedures as he was "generally familiar with them." Assisted in 1957 inspection of Division when "selective supervision" examined but made no current inquiries regarding functioning of "selective supervision" in Deserter Fugitive Unit because "it did not appear there were any material deviations in what was intended by 'selective supervision.'" Mr. Tamm reviewed and approved Phillips' memorandum, states he erred in placing a certain amount of reliance on his inspection experience and past assignment to Deserter Fugitive Unit. Recommends his removal as Inspector.

CONCLUSIONS:

2 pages.

b6

1. Deserter Fugitive Unit certainly failed to discharge its basic responsibilities covering the [] case. Corrective action recommended by Inspector which Mr. Rosen has now instituted will provide simple, efficient means for following delinquencies and spot checking field. Although partially misled by favorable statistical accomplishments and generally low field delinquency in these matters, Supervisor Doerner cannot deny administrative weaknesses. Much of Doerner's difficulty lies in improper basic concept of supervisor's responsibility under the so-called "selective supervision" and "full supervision." This means a substantial part of blame lies in the system and part also is admittedly traceable to Messrs. Rosen, Hargett and Price for "assuming" operations on individual desks and units can be properly evaluated by such remote means as mail crossing their desks or by favorable statistical accomplishment and low delinquency picture, or by directives and conferences without personal periodic spot checks to insure compliance.

Bring handled H.R.
Set a schedule to be followed by Rosen Hargett and Price - in writing.
Doerner is in GS 14, has been agent since 4-20-42, a supervisor in the Investigative Division since 5-23-49, and headed up the Deserter Fugitive Unit since 11-13-56. File excellent. Commended 7-17-57 for exceptional statistical accomplishments in fiscal year 1957 on Deserter Fugitive Desk, and prior commendations for accomplishments on Theft From Interstate Shipment Desk. During inspection he was forthright, extremely cooperative, sincerely interested in learning weaknesses, most anxious for chance to correct them and prove he can function as Director desires. To effectively straighten out unit, Inspector feels (Mr. Rosen agrees) Bureau's best interests warrant retaining Doerner because of attitude, experience, and otherwise excellent record. He is veteran, entitled to 30 days' advance written notice and right to appeal in event of demotion, separation or more than 30 days' suspension. Inspector recommending severe censure, including clearcut instruction that unit must be in completely satisfactory shape by the recommended adjustment period of 9-30-58 or he will be removed as supervisor and demoted. *Edwards show checks and report upon this on 9-30*

ret'd
Assistant Director Rosen, No. 1 Man Hargett and Section Chief Price must share definite responsibility for not detecting weaknesses which they agree could
See Report 1958

and should have been discovered. All guilty of improperly "assuming" unit was functioning properly whereas they now realize personal frequent spot checks to insure compliance are a basic "must." Mr. Rosen agrees releasing one of two agents from unit may be possible after 90-day readjustment period. Mr. Rosen will vigorously carry out recommended checks of entire Division and report by 9-30-58 re compliance and possible release of additional agent (and clerical) personnel; in meantime agrees to maintain division at no higher than present agent strength, not request any agent replacements resulting from vacancies without full current justification and proof work load can't be absorbed by remaining personnel.

I hope Rosen really does this. One is to be released now. H
 No specific weakness chargeable to [redacted], other agent supervisor in Deserter Fugitive Unit. [redacted] has only eight months' SOG experience. *H. H. W.*

2. Inquiries bear out the Director's feelings that as a "system" "selective supervision" is ineffective and should be abolished. It has tended to create undesirable misconception that there can be only two extremes: "full" supervision at one end, or "selective" supervision at the other end. To correct this, Inspector feels we must first "clear the air" by telling supervisors these "labels" are abolished. We should then advise them there is no "double standard"; that basic responsibility is same in all types of cases, any variation being matter of proper judgment as to what degree of attention supervisor must give case to insure supervisory responsibility properly discharged. Only after the Division is restored to a proper concept of supervisory responsibility and is operating on that basis can be make a factual determination of how many supervisors the Division needs. Inspector feels responsibility for insuring entire Investigative Division completely operating on that basis should be Mr. Rosen's. After maximum of 90 days he shall submit factual report as basis for realistically determining Division's total agent needs; if other units have weaknesses like Deserter Fugitive Desk, reductions in agent staff may be possible; also Division may need less supervisors through more judicious handling of cases heretofore given "full" supervision. *The trouble is Rosen has never really assumed it. H*

3. Concerning the Training and Inspection Division's responsibility for the inadequate inquiry of Inspector Phillips and his unsatisfactory report, approved and initialed by Mr. Tamm, Edwards agrees Phillips' superficial inquiry and report are inexcusable evidencing unwarranted assumptions based on his previous assignment to the unit and his having assisted as an aide in a 1957 inspection in the division. The missed deadline in [redacted] case certainly should have prompted re-examination of operations and procedures. Phillips' admitted "failure to grasp" objective of inquiry is serious. Inspector Phillips is not a veteran; entered Bureau 10-28-40; agent since 3-18-46; assigned to Inspection Section of Training and Inspection Division since 12-3-56. Promoted to GS 14 as Inspector 5-18-58. Director saw Phillips 2-19-58 and 5-8-58 and favorably impressed. Annual performance rating 3-31-58 rated him Excellent, commented on his enthusiasm, thorough and searching nature of his inspections and sound and constructive

conclusions reached and objectivity. Most unfortunate that Inspector Phillips' performance on Deserter Fugitive Unit inquiry so superficial and inaccurate that it raises question as to whether Bureau warranted in retaining him in type of work where Bureau has made such an investment in training him. In view of extreme importance of inspections and very fact Director personally emphasized this to Phillips twice in interviews, the Director was certainly justified in recently commenting, "Apparently my talk with him had no effect if I can judge from his survey of handling fugitives in Investigative Division."

This is Phillips' first offense in inspections. Other than alleging "failure to grasp" the objective, he makes no excuses, openly admits he blundered and expresses a dedicated resolve to do the job right in the future. As the Director has so often said, good intentions are not what the Bureau has been built upon.

Were it not for Mr. Tamm's recommendation, I would favor censure and probation for Phillips, thus giving the Bureau the chance to benefit from its sizeable investment in Phillips' inspection training and the lesson this should have taught him. However, since Mr. Tamm is responsible for inspections and would be accountable for any future failure of Phillips, and since Mr. Tamm has clearly indicated a complete loss of confidence in Phillips as an Inspector by recommending his removal, I have no alternative but to agree with him.

Tamm is as much to blame if not more as Phillips. G.

Regarding Assistant Director Tamm, he admittedly erred in placing "a certain amount of reliance on the Inspector based both upon his inspection experience and the fact that he had in the past been assigned to this particular desk in the Investigative Division." Mr. Tamm himself evaluates the seriousness of his error in recommending Inspector Phillips' removal as an Inspector. Mr. Tamm states that he had Phillips conduct supplemental inquiries for additional facts which indicates he did try to insure a complete investigation and report. The fact remains that Phillips failed and Mr. Tamm must share responsibility for approving Phillips' work product. It is therefore recommended that he be censured on that basis.

Tamm should not have routinely initialed and forwarded the report. Anyone reading it

SEE RECOMMENDATIONS NEXT PAGE

could readily see that it was incomplete and a "brush off".

I certainly agree. It is like the "brush off" given Ident Div last fall. It is deplorable we can't depend on Training & Inspection Dept. & properly perform its own functions.

RECOMMENDATIONS:

1. Special Agent Supervisor Fred W. Doerner, Jr.: severe censure and that he be placed on notice all weaknesses must be completely eliminated and unit brought to state of excellence no later than 9/30/58, otherwise will be removed from supervisory assignment and demoted accordingly.

W. H. Edwards

Letter 6/1/58
censure & notice
to be 6/1/58

He is also to be
transferred to the
Field.

I agree
6/1/58

I recommend
censure and
probation

yes

Transferred to
Field 6/1/58

2. Criminal Section Chief Frank L. Price: severe censure for failure to detect weaknesses in unit under his coordination.

W. H. Edwards

I agree

Letter 6/1/58
HLE/MAK

Yes. Also to be placed on
probation & I want a re-
placement recommended to me.

3. Number One Man Edward E. Hargett: severe censure for failure to detect weaknesses in Deserter Fugitive Unit which was part of his Number One Man command.

W. H. Edwards

I agree

Letter
6/1/58
SIC/pmd/jgm

Yes. Also to be placed on probation
& I want a re-
placement recommended to me.

OVER

4. Assistant Director Rosen: severe censure for failure to detect weaknesses in Deserter Fugitive Unit, that he be instructed to completely correct weaknesses and report compliance no later than 9/30/58, with emphasis on agreed possibility of reducing unit by one agent.

Letter to Rosen
HHC/line

Agree
HHC/line
Yes. Also to be placed
in probation. His final
disposition I am considering.

5. That Mr. Rosen be furnished copy of inspection summary and instructed to immediately take all action outlined therein, to check on divisional operations and submit complete report no later than 9/30/58 indicating compliance with emphasis on specific recommendations for possible release of agent (and clerical) personnel resulting from these measures. During compliance period division to operate with no increase in present agent staff and any vacancies occurring to be filled only after Mr. Rosen submits adequate factual justification and evidence work cannot be absorbed by remaining personnel.

Letter to Rosen
HHC/line

Agree
HHC/line
OK. but as indicated
the deadline is Aug. 29.

6. That approval be given to abolish terms "selective" and "full" supervision; that Mr. Rosen be instructed to so advise all supervisors in division and enumerate their new basic concept of responsibility as Seat of Government supervisors as recommended by Inspector, agreed to by Mr. Rosen, and outlined in inspection report; that after insuring all division supervisors are operating properly in line with new statement of their basic responsibilities Mr. Rosen submit report no later than 9/30/58 concerning incorporating this guide in Seat of Government Supervisors' Manual and using it in training course for new supervisors.

Letter to Rosen
HHC/line

Yes.

Agree
HHC/line
Agree
HHC/line
Aug. 29.

7. Inspector Seymour F. Phillips, nonveteran: removal as Inspector, demotion from GS 14 to GS 13, transfer to field and not to be used on future inspection assignments as aide or otherwise.

I recommend censure and probation. He engaged & placed on probation.

I recommend censure and probation - He certainly received little guidance from Tamm.

8. Assistant Director Quinn Tamm: censure for approving Phillips' inadequate, unsatisfactory inspection report.

Let's see. Yes. Also to be placed on probation. His final disposition I am considering.

9. That approval be given to instructing field offices to hereafter submit a breakdown in monthly administrative report showing total pending cases by (a) office of origin and (b) auxiliary office.

Personnel department handled

5-24-58

Agree

10/1/58

Yes.

Mr Edwards should recheck this operation on 9-30

2. To be done on Sept 2, 1958.

PERMANENT BRIEFS OF THE FILES OF MESSRS. DOERNER, PRICE, HARGETT & PHILLIPS ATTACHED.

June 26, 1958

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

Enclosed is a copy of the special inspection summary covering the Deserter Fugitive Unit. I have approved all of the corrective action recommended so far as concerns weaknesses in the Deserter Fugitive Unit and a complete, immediate and vigorous housecleaning of the entire division by you. I want you to know, however, that I have changed the deadline for the completion of all this action to August 20, 1958, and instructed a complete recheck beginning September 2, 1958. You will separately have routed to you the original of the synopsized summary of this special inspection after action on other recommendations has been taken. You will be able to see thereon the personal concern I have indicated over the conditions reported.

I cannot express too strongly my feelings concerning the deplorable weaknesses which existed in the Deserter Fugitive Unit. It is equally significant that you admitted these weaknesses when confronted with them. Yet they were permitted to exist unnoticed for an indeterminate time simply because you and your executive staff to whom I entrusted responsibility for properly managing that work were guilty of 'assuming' the unit was operating satisfactorily without personally concerning yourselves to insure that things were what they seemed. It is elementary that we cannot supervise by remote control and that we must make it our business to personally make such regular periodic spot checks as are necessary to see for ourselves that the job is being done properly. I am having a schedule drawn up to be followed by you, Messrs. Hargett and

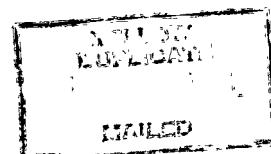
HLE:bak (7)

1-Movement 1- Personnel Actions Unit

1- Miss Usilton

(1) Investigative Division Personnel File

Based on memo H. L. Edwards to Mr. Mohr, 6/23/58, HLE:MAH



Mr. Alex Rosen
Washington, D. C.

Price for personally making minimum spot checks and after same has been approved and sent to you there is to be no deviation from it without personal approval of Mr. Tolson or me. The schedule will, of course, call for periodic compliance reports.

Substantial evidence was developed by the Inspector to convince me that if I had not expressed some concern over fugitive cases in general you might not now be engaging in some of the special programs which you have. Despite this it is apparent you have not sufficiently intensified the emphasis on old pending Deserter-Fugitive cases and I want the tempo on these stepped up as the Inspector instructed and to which you agreed. Obviously I cannot be expected to detect or pinpoint all situations in your division warranting concentrated action; but I certainly have the right to expect that executives whom I have placed in charge of such matters are exercising the imagination, initiative and vigor to guarantee that all matters under their direction are being given constant proper attention.

I want you to immediately advise your entire supervisory staff that the so-called systems of "selective" and "full" supervision are hereby and henceforth abolished. At the same time advise them clearly of their proper basic responsibility as Seat of Government supervisors over field investigations as outlined in the inspection summary. If any question occurs about any phase of this you should immediately present the facts and your recommendations for clarification. I expect by August 29 at the latest you will have insured beyond doubt that all division supervisors are operating in line with their correct basic responsibilities. Also report by that date concerning incorporating this into the Seat Of Government Supervisors' Manual as a supervisory guide as well as using it in the training course for new supervisors.

You must understand that in abolishing a term such as "selective supervision" which has existed for thirteen years you

Mr. Alex Rosen
Washington, D. C.

cannot assume that the readjustment will be automatic. Intensive indoctrination and guidance are necessary. Special emphasis must be placed on eliminating all unnecessary double checking of functions for which the field should be accountable. Time thus saved should be devoted to spot checking compliance and strengthening the field through imaginative guidance, firm insistence on proper performance, and prodding to get results. In this way, I am confident the Seat of Government supervisory staff can be substantially reduced and yet improvement achieved in all essential functions remaining. Your report will be expected to specifically cover the manpower situation throughout the division and include recommendations for any reduction possible.

Because of the situation existing in the Deserter Fugitive Unit I have instructed that one of the two agent supervisors there is to be immediately released which means you will be expected to operate that unit with only one agent supervisor. Your report by August 29, 1958, should confirm the completion of all corrective action there and the fact that the unit is in topnotch condition.

In view of the serious situation existing, I am hereby placing you on probation. I want to emphasize that the deadline of August 29, 1958, is the maximum time I am permitting for the Investigative Division to be completely brought into line. At the same time I wish to equally stress the fact that I want all corrective steps instituted immediately and pressed without letup so that this objective can be accomplished at the earliest possible date.

Very truly yours,

John Edgar Hoover
Director

Enclosures(6)

TO : The Director

Copy # 139
7-3-58

FROM : J. P. Mohr

SUBJECT: *INSPECTIONS GENERAL*
PROBATION REPORT

1 A. Rosen

Following is a list of SACs and SOG officials on probation. There are no Legal Attaches on probation at this time.

<u>NAME</u>	<u>PROBATION DATE</u>	<u>DATE LAST INSPECTION</u>	<u>DATE LAST RECHECK</u>	<u>REASON</u>
A. Rosen	<u>6-26-58</u>	<u>3-29-57</u>	<u> </u>	Failure to detect and eliminate weaknesses found during special inspection of Deserter Fugitive Unit in his division and failure to emphasize old pending Deserter Fugitive Cases.

CC - Mr. Tolson
Mr. Boardman
Mr. Mohr
Mr. Tamm
Mr. Calyton
Mr. Holloman
Mr. H. L. Edwards

WST:bgb

-1- Original filed in 67-030-2576

5 JUL 10 1958

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

6/9/58

I certify that I have received the following Government property for official use:

~~XXXXX~~
returned

D. C. Official Parking Permit, 6-30-59

RETURNED

✓
D. C. Official Parking Permit, 6-30-58

FILE

3-M

PER 


READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,


A. ROSEN

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

6-6-58

I certify that I have received the following Government property for official use:

~~returned~~

U.S.D.J. Garage Parking Sticker, Space 13

RETURNED

Parking Permit Space 13

(1)

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

FILE

3-M

PER

Very truly yours,

A. Rosen

A. Rosen

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON

DATE: 9-18-58

FROM : J. P. MOHR

SUBJECT: ALEX ROSEN
 Assistant Director
 Investigative Division
 ON PROBATION
 SERVICE AWARD LETTER
 25th Anniversary - 10-16-58

Tolson _____
 Belmont _____
 Mohr _____
 Nease _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Holloman _____
 Gandy _____

For the Director's information, Mr. Alex Rosen, Assistant Director, Investigative Division, celebrates his 25th Anniversary of service with the Bureau on 10-16-58.

For his further information, Mr. Rosen celebrated his 20th Anniversary on 10-16-53. Since then he has been commended on 17 occasions, censured 36 times and placed on probation 3 times. He was placed on probation 6-26-58 as a result of weaknesses which existed in the Deserter Fugitive Unit and is still continued in a probationary status. He is presently in Grade GS 17, \$16,335 and his last performance rating was Satisfactory.

The Director may desire to present Mr. Rosen's letter and Key personally. A suggested letter is attached.

[Handwritten signature]

Enclosure

1 - Mr. Holloman (direct)

CRD:cw

(3)

67- 27215-409
 Searched _____ Numbered _____
 4 SEP 22 1958

THREE
[Handwritten initials]

TO : Mr. Mohr

Copy #146
9/9/58

FROM : W. S. Tavel

SUBJECT: VISITS OF ASSISTANT DIRECTORS
AND NUMBER ONE MEN
TO THE NEW YORK OFFICE

By letter September 5, 1958, SAC Foster at New York suggested that each of the Bureau's Assistant Director's and their Number One Men visit the New York Office in the very near future at which time they would be given a complete briefing on the operation of the office in order to further integrate the activities of the office with those of the Bureau. He estimated that such a briefing would require six to seven hours. The Director approved this suggestion.

The following is a schedule for the various Assistant Directors and Number One Men:

9/15/58	Q. Tamm N. P. Callahan
9/16/58	A. H. Belmont J. A. Sizoo
9/19/58	A. Rosen C. A. Evans
9/22/58	G. A. Nease
9/25/58	J. J. McGuire I. W. Conrad
9/26/58	J. R. Malley
10/3/58	D. J. Parsons J. P. Mohr

Since the Identification Division has relatively little direct concern with the functioning and operation of the New York Office, it is not felt that it is necessary for Assistant Director Trotter or his Number One Man, Mr. A. K. Bowles, to go to New York for this briefing. Furthermore, it is not felt that Mr. Malone, the Number One Man of the Training and Inspection Division, need be scheduled for such a briefing

Memo to Mr. Belmont

Mr. Callahan etc.

WST:akc
(2)

& airtel to NY 9-10-58
WST/akc

- 1 - Original filed in 67-37651-379

Memo to Mr. Mohr
Re: Visits of Assistant Directors
and Number One Men to New York Office

since he completed an inspection of the New York Office very recently. Mr. Belmont states that he does not feel that it is necessary for Mr. D. E. Moore, who is one of his Number One Men, to go to New York since Moore served as ASAC of that office up until October, 1956.

RECOMMENDATIONS:

(1) That the above schedule be approved. If approved, the Assistant Directors and the Number One Men who are to go will be notified as well as the New York Office.

I agree JPM 9/9
OK H

(2) That approval be given for Assistant Director C. L. Trotter and Number One Men, A. K. Bowles, J. F. Malone, and D. E. Moore not be scheduled to go to New York at this time.

I agree JPM 9/9
O.K. H

Copy #146
9-10-58

airtel

TO: SAC, New York

PERSONAL ATTENTION

From: Director, FBI

Reurlet 9/5/58 in which you suggested that the various Assistant Directors and Number One Men visit the New York Office in the near future to be given a complete briefing of the operations of the New York Office. It is understood that this briefing will require six to seven hours. The following Bureau officials will be in New York on the dates indicated to receive this briefing:

9/15/58	Q. Tamm N. P. Callahan
9/16/58	A. H. Belmont J. A. Sizoo
9/19/58	A. Rosen C. A. Evans
9/22/58	G. A. Nease
9/25/58	J. J. McGuire I. W. Conrad
9/26/58	J. R. Malley
10/3/58	D. J. Parsons J. P. Mohr

WSP:akc
(4)

23 OCT 6 1958

- 1 - Original filed in 67-37651-380

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Mohr

DATE: 10-15-58

FROM : W. S. Tavel *WST*

SUBJECT: ALEX ROSEN
 Assistant Director
 Investigative Division
 EOD 10-16-33; GS-17, \$16,335
 Non-Veteran - Not in Reserve
 ON PROBATION since 6-26-58

Tolson _____
 Boardman _____
 Belmont _____
 Mohr _____
 Nease _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 Clayton _____
 Tele. Room _____
 Holloman _____
 Gandy _____

W. C. Sullivan

This is a brief and concise summary of Mr. Rosen's record for the Director's use. On 10-16-58 Mr. Rosen celebrates his 25th anniversary with the FBI.

A review of his file reflects that he was designated Assistant Director, Investigative Division on 2-13-42, he is 53 years old and is single. He has not been commended during the past year. On 3-31-58 Mr. Tolson rated him SATISFACTORY.

During the past year he was censured on two occasions, the last being on 8-26-58, for the inadequate functioning of the Investigative Division in connection with a phase of the Bank Robbery case concerning the Greenwood Branch of the Seattle First National Bank. On 6-26-58 he was censured and placed on probation for failure to detect and eliminate weaknesses found during a special inspection of the Deserter Fugitive Unit in his division and failure to emphasize old pending Deserter Fugitive Cases.

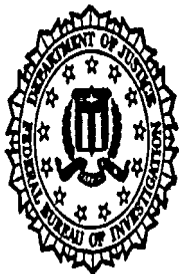
He does not list offices of preference.

On 7-10-58 the Director saw Mr. Rosen, who called to express his regrets over the deplorable situation which had arisen in the Investigative Division in the Fugitive Section and stated that he would certainly endeavor to see there was not a recurrence of that type of situation. The Director was thoroughly disgusted at the manner in which he had been supervising the Investigative Division and the incident in the Fugitive Section where it was found there was little coordination and a series of incidents which showed he had not exercised the proper supervisory direction of that Division. The Director told him that he should immediately remove him as Assistant Director, but he intended to give him just one more chance. The Director ordered a prompt inspection of all sections of the Investigative Division and if the conditions were not found to be satisfactory, it would be necessary for the Director to replace him. Mr. Rosen indicated he would certainly do everything within his power to have his Division in proper condition when the inspection was made.

His daily average overtime for April, 1958, 3 hours 17 minutes; May, 3 hours 9 minutes; June, 3 hours 49 minutes; July, 3 hours 22 minutes; August, 3 hours 25 minutes and September, 2 hours 43 minutes.

WFDH/jas
 (2)

ATTACHMENT (PERMANENT BRIEF)



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

In Reply, Please Refer to
File No.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA

ALEX ROSEN
(Type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name	Mrs. Lena Rosen	Relationship	Mother	Date	10/9/58
Address 2859 Hampton Road, S. E., Shaker Heights, Ohio					

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name	Mrs. Lena Rosen	Relationship	Mother	Date	10/9/58
Address 2859 Hampton Road, S. E., Shaker Heights, Ohio					

Very truly yours,

Special Agent Alex Rosen, Assistant Director

OCT 16 1958
OCT 18 1958

October 16, 1953

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

Please accept my heartfelt congratulations upon your completion of twenty-five years with the Federal Bureau of Investigation. It gives me real pleasure to recognize your many years of faithful service in this way. In further recognition of this anniversary, I wish to present this Twenty-five-Year Service Award Key.

During the quarter century you have been with us, your performance has been characterized by a determination to advance the best interests of the Bureau. Furthermore, during this period the Bureau has grown tremendously in the scope of its investigative jurisdiction, in the effectiveness of its operations and in its prestige. I am deeply grateful for the material contributions you have made to this growth.

I sincerely trust you will take pride in wearing this Key as a symbol of the best traditions of the Bureau.

With best wishes and kindest regards,

Sincerely,

J. Edgar Hoover

Enclosure

1 - Mr. Holloman (direct)

CRD:jfn

(4)

67-27215

MAIL ROOM ☐

SENT FROM D. O.
TIME 10:00am
DATE 10-16-53
BY J. P. Mohr

Tolson _____
Boardman _____
Belmont _____
Mohr _____
Nease _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Clayton _____
Tele. Room _____
Holloman _____
Gandy _____

SEP 22 11 54 AM '53
REC'D-READING ROOM
FBI

Based on memo from J. P. Mohr to
Mr. Tolson, dated 9-18-58. CRD:cw

Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. Nease

DATE: October 16, 1958

FROM : M. A. Jones

Tolson _____
 Boardman _____
 Belmont _____
 Mohr _____
 Nease _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Holloman _____
 Gandy _____

SUBJECT: ASSISTANT DIRECTOR ALEX ROSEN
 25-YEAR SERVICE AWARD KEY

Attached is a photograph taken in the Director's Office today when Assistant Director Rosen received his 25-Year Service Award Key. It is thought perhaps the Director might like to autograph this photograph.

Enclosure

*detailed in
 Tele Room 10/17
 PTH*

CEM:cag
 (2)

RECORDED - 62

10-27-58-412

23
 11 OCT 22 1958

10/17/58

UNCLASSIFIED FOR RELEASE

A. E. BLISSETT
N. P. CALLAHAN
L. G. CONRAD
C. A. LYMAN
J. J. MC GILL
J. R. HALLLEY
J. F. MALONE
J. B. MOHR
D. E. MOORE
C. A. NEASE
B. J. PARSONS
A. ROSEN
J. A. SIZOO
Q. TANN

RE: VISIT TO WASHINGTON FIELD OFFICE

In order for Bureau officials to receive a complete briefing on the operations of the Washington Field Office with a view to better coordinating and integrating the activities of that office with those of the Bureau, I desire that each of the officials mentioned in the schedule below visit the Washington Field Office on the date indicated. It is estimated that the briefing and discussion involved will require six to seven hours.

RECEIVED
F B I
OCT 7 3 5 1 58

MAILED 2
OCT 8 1958
COMM-FBI

10/13/58	Mr. [Name] (REPORTED)	10/16/58	Mr. [Name]
10/14/58	Mr. [Name]	10/20/58	Mr. [Name]
10/15/58	Mr. [Name]	10/21/58	Mr. [Name]
10/16/58	Mr. [Name]	10/22/58	Mr. [Name]
10/17/58	Mr. [Name]	10/23/58	Mr. [Name]
10/18/58	Mr. [Name]	10/24/58	Mr. [Name]
10/19/58	Mr. [Name]	10/25/58	Mr. [Name]
10/20/58	Mr. [Name]	10/26/58	Mr. [Name]
10/21/58	Mr. [Name]	10/27/58	Mr. [Name]
10/22/58	Mr. [Name]	10/28/58	Mr. [Name]
10/23/58	Mr. [Name]	10/29/58	Mr. [Name]
10/24/58	Mr. [Name]	10/30/58	Mr. [Name]
10/25/58	Mr. [Name]	10/31/58	Mr. [Name]
10/26/58	Mr. [Name]		
10/27/58	Mr. [Name]		
10/28/58	Mr. [Name]		
10/29/58	Mr. [Name]		
10/30/58	Mr. [Name]		
10/31/58	Mr. [Name]		

Tolson
Belmont
Mohr
Nease
Parsons
Rosen
Tamm
Trotter
Tele. Room
Holloman
Gandy

NPC: (18)
1 - [Redacted] (sent direct)
1 - D. G. Manning (sent direct)

67-
Searched
J. [Name]
[Signature]

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. MOHR

DATE: November 6, 1958

FROM : E. J. INGRAM *EJI*

SUBJECT: ALEX ROSEN
 Assistant Director
 Investigative Division
 EOD 10/16/33
 Grade GS-17, \$16,335
 Non-Veteran; Not in Reserve
 ON PROBATION SINCE 6/26/58

Tolson _____
 Boardman _____
 Belmont _____
 Mohr _____
 Nease _____
 Parsons _____
 Rosen _____
 Tamm _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Holloman _____
 Gandy _____

REMOVAL FROM PROBATION

The Director has instructed that a review be made to determine whether Mr. Rosen should be removed from probation.

Mr. Rosen was censured and placed on probation June 26, 1958, in view of findings of Inspector H. L. Edwards during the special inspection of the Deserter Fugitive Unit. On July 10, 1958, the Director saw Mr. Rosen who had called to express his regrets over the deplorable situation which had arisen in the Investigative Division. The Director told him he was thoroughly disgusted at the manner in which he had been supervising the Investigative Division and that the recent incident in the Fugitive Section was merely a culmination of a series of incidents which showed he had not exercised the proper supervisory direction of his division. The Director called Mr. Rosen's attention to numerous weaknesses which he had detected in the operations of the Investigative Division. Mr. Rosen indicated he would do everything within his power to have the Division in proper condition when the forthcoming inspection was made.

On August 26, 1958, Mr. Rosen was censured for the inadequate functioning of the Investigative Division in connection with a phase of a bank robbery case concerning the Greenwood Branch of the Seattle First National Bank. An unreasonable delay had occurred before appropriate action was taken in connection with a suspect whose name had been furnished by another law enforcement agency.

Mr. Rosen's average daily overtime for April was 3 hours and 17 minutes; May - 3 hours and 9 minutes; June - 3 hours and 49 minutes; July - 3 hours and 22 minutes; August - 3 hours and 25 minutes and September 2 hours and 48 minutes.

1 - Mr. Hereford (sent direct)
 Enclosure

EJI:gt
 3

7215-913

Memo to Mr. Mohr (continued)

Inspector Edwards began an inspection of the Investigative Division on October 29, 1958.

RECOMMENDATION

Since the Investigative Division is presently under inspection it is recommended that no action be taken to remove Mr. Rosen from probation until the results of the inspection have been submitted.

I agree
11/6

I agree
11/6

I agree
11-6

I concur.
4

PERMANENT BRIEF OF MR. ROSEN'S FILE IS ATTACHED.

November 20, 1958

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am thoroughly dissatisfied with the manner in which the investigation of the Extortion case involving Chief Justice Warren as victim was handled. Under the existing circumstances it should have been clear that the investigation should not have been carried out as it was. In this connection, I note that you were not fully advised of developments in this important case as you should have been. This situation reflects adversely upon your training and indoctrination of your subordinates.

I shall expect you to take active charge of investigations of this type in the future and to make certain that you are promptly and fully advised of all proposed investigative steps in order that you may make a decision as to their propriety.



Very truly yours,
J. Edgar Hoover

John Edgar Hoover
Director

JIC:pmd
(4)

1 - SOG Investigative Division Personnel File

Based on memo Mr. Tamm to Mr. Tolson 11-17-58 JFM:JDP:wmj.

Tolson _____
Belmont _____
Mohr _____
Nease _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Holloman _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

Office Memorandum • UNITED STATES GOVERNMENT

TO : The Director

DATE: November 15, 1958

FROM : A. Rosen *R*SUBJECT: UNKNOWN SUBJECT
CHIEF JUSTICE EARL WARREN - VICTIM
EXTORTION

Tolson	_____
Boardman	_____
Belmont	_____
Mohr	_____
Nease	_____
Parsons	_____
Rosen	_____
Tamm	_____
Trotter	_____
W.C. Sullivan	_____
Tele. Room	_____
Holloman	_____
Gandy	_____

I have examined the explanation submitted by Supervisor Dunbar as to why he approved the action taken in this case.

His answer is straightforward and honest.

I did not have this airtel called to my attention and the question has been asked whether this airtel addressed to the Attention Laboratory would have been called by me to the Director's attention to seek his approval for the covering of the leads. I am sure, upon examining the facts given to me, that I would not have bothered the Director with this airtel if it had been called to my attention.

I do, however, emphatically agree with the Director that notwithstanding the fact the Congressman is satisfied, the Director is not.

I believe it desirable to re-evaluate all leads, the purpose of which might be misconstrued, particularly in areas where tense racial situations exist. This is suggested because persons who might have been fully co-operative in the past may now in recent weeks and months have been subjected to pressures which generate antagonism against any representatives of the Federal Government. Consequently, we should alert the field to this possibility existing in any of our interviews and we should set out the urgent need for the utmost care in handling all leads.

1 - Mr. Nease
1 - Mr. Tamm

AR:ap
(4)

67-2155-415
8 1 1958

THREE
NIO

DEC 1 1958 1119

MR. TOLSON

November 17, 1958

MR. Q. TAMM

THOMAS J. McANDREWS
Asst. Special Agent in Charge
Washington Field Office
GS-14, \$12,315
EOD 4/7/41
Nonveteran, Not in Reserve
Not on Probation

NICHOLAS L. DUNBAR
Special Agent
Supervisor, Criminal Section
Investigative Division
GS-12, \$8,810
EOD 8/8/49
Veteran, Marine Corps, Retired --
Inactive Reserve
Not on Probation

[Redacted]
Special Agent
Atlanta Division
EOD 2/10/36 (SA); 3/14/55 (Clerk)
GS-10, \$6,505
Veteran - In Army stand-by
Inactive Reserve
Not on Probation

[Redacted]
Special Agent
Atlanta Division
EOD 2/4/37 (SA); 9/14/53 (Clerk)
GS-10, \$6,655
Veteran - Not in Reserve
Not on Probation

b6

UNKNOWN SUBJECT
CHIEF JUSTICE EARL WARREN - VICTIM
EXTORTION

BACKGROUND:

Chief Justice Warren received an anonymous hand-printed letter postmarked October 1, 1958, at Columbus, Georgia, which referred to integration rulings and threatened death to the Chief Justice. This matter was referred to the Bureau by the Chief Justice on October 14, 1958, and Washington Field Office was instructed to conduct an immediate investigation.

Tolson _____
Nichols _____
Boardman _____
Belmont _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
Nease _____
Tele. Room _____
Holloman _____
Gandy _____

39
JDP:wmj
(12)
cc - Personnel File of A. Rosen
cc - Personnel File of [Redacted]
cc - Personnel File of N. L. Dunbar
cc - Personnel File of L. V. Boardman
cc - Personnel File of T. J. McAndrews
cc - Personnel File of W. R. Johnson, Jr.

67-27215-416
Searched _____ Indexed _____
3 DEC 1 1958

b6

cc - Personnel File of [Redacted]

cc - Personnel File of [Redacted]

cc - Mr. Mohr

Memo for Mr. Tolson

b6

[redacted] Carrollton, Georgia, was developed as a possible suspect because of a letter he had written to Chief Justice Warren which was critical. [redacted] was interviewed by Agents of the Atlanta Office and handprinting specimens obtained for comparison with the extortion letter. [redacted] complained to Congressman John J. Flynt, Jr., of Georgia, that he was being investigated because of a critical letter he had written to the Chief Justice, whereupon the Congressman contacted the Bureau.

Memorandum from Mr. Nease to Mr. Tolson dated November 12, 1958, reflects that Mr. DeLoach talked to Congressman Flynt and explained to him that [redacted] was not interviewed simply because he wrote a letter critical of Supreme Court decisions, but he was interviewed concerning an alleged violation of a criminal statute which had been referred to the FBI for investigation. Congressman Flynt was completely satisfied and indicated he would explain this matter orally to [redacted]

b6

The Director noted on this memorandum, "Notwithstanding fact the Congressman is satisfied I am not & I want to know just why action such as occurred in this case was taken."

INSPECTOR'S FINDINGS:

Responsibility of Washington Field Office

At the outset of this investigation Washington Field Office was instructed to give this case top priority.

The extortion letter received by the Chief Justice was mailed at Columbus, Georgia; was handprinted, threatened the life of the Chief Justice, and was very critical of Supreme Court rulings regarding integration.

Washington Field Office examined approximately 2,500 critical letters made available by the Chief Justice. As a result of review, six individuals were selected for further checking and investigation. Five of these individuals resided in the Atlanta - Columbus, Georgia, area, the address of the sixth individual being unknown.

By airtel dated 10/16/58 Washington Field Office set out names of suspects for Atlanta and instructed Atlanta as follows: "The Atlanta Division is requested to review its files for any info concerning the

Memo for Mr. Tolson

above individuals to determine whether these individuals are known to the Atlanta Division. Thereafter conduct necessary background investigation on these individuals and thereafter conduct necessary interviews and obtain hand printed specimens from these individuals for submission to the FBI Laboratory for comparison with the letter postmarked 10/1/58 at Columbus, Ga. **** For the information of the Atlanta Division, these letters that are enclosed were furnished to WFO on a confidential basis by Chief Justice Warren and in conducting interviews with these individuals the possession of these letters should not be made known to the individuals interviewed. . . ."

Oral approval was given to the airtel by ASAC T. J. McAndrews of Washington Field Office.

ASAC McAndrews, in his explanation for approving the action, stated that experience has shown that the only satisfactory way to obtain handprinted specimens of suspects, as distinguished from handwritten specimens, is by direct interview and taking of specimens from the suspect. He set forth lead for direct interview of suspects to conserve time. ASAC McAndrews took position that if Bureau disagreed with leads set forth for Atlanta, there was ample time in which to counter instructions. Furthermore, ASAC McAndrews felt that the Atlanta Division had ample time prior to interviews in question to ascertain by their file review and preliminary investigation whether these interviews should be conducted and if it was felt the interviews should not be conducted, the Bureau should have been so advised.

SAC Boardman, Washington Field Office, concurred with the action taken by ASAC McAndrews and recommended no administrative action. He also indicated that Washington Field Office is fully aware of the integration problems affecting southern offices and for this reason sent airtel in question to Bureau.

Best of Government Responsibility

Washington Field Office airtel of 10/16/58 was stamped in as received in Investigative Division on 10/17/58 at 11:57 AM and again at 2:12 PM. Supervisor N. L. Dunbar, who supervised this case at Bureau, has no independent recollection of whether he handled airtel on Friday, 10/17/58, or Monday, 10/20/58 ([] was interviewed Saturday, 10/18/58). Dunbar felt action appeared proper and initialed mail.

Section Chief Wells did not learn of lead set out in Washington Field Office airtel of 10/16/58 until after interviews conducted.

Assistant Director Rosen states this airtel was not called to his attention but he states he would not have bothered the Director with this airtel if it had been called to his attention. Mr. Rosen states he believes it desirable to re-evaluate all leads, the purpose of which might be misconstrued, particularly in areas where tense racial situations exist.

Atlanta Responsibility

Upon receipt of Washington Field Office airtel, Agents [redacted] and [redacted] interviewed [redacted] and obtained handwritten specimens on Saturday, October 18. However, they ignored the instructions in Washington Field Office airtel of 10/16/68 to conduct necessary background investigation of the individuals. b6

Attached are explanations from Washington Field Office, Atlanta Office, and Investigative Division.

OBSERVATIONS AND CONCLUSIONS

On basis of explanations obtained from responsible individuals, it appears that leads to interview individuals in an extremely important case were set out routinely without any thought being given to the fact that the very basis for such leads could result in embarrassment to the Bureau. It would be most difficult to convince any individual being interviewed by the FBI after writing a critical letter to Chief Justice Warren that such interview was not in the nature of harassment and any reason to the contrary advanced for such interview would be considered as diversionary. Two of the suspects interviewed felt that they were being interviewed because of critical letters they had written to Chief Justice Warren. Because of this it would seem logical that any leads based on these letters should be brought to the attention of and passed upon by the SAC and Assistant Director in charge of the Investigative Division, especially before the writers of any such letters are interviewed.

The background of the suspects should have been thoroughly and discreetly checked and Bureau approval obtained before conducting any interviews. Even though one of these leads may eventually result in the solution of this case, it must be recognized that upon direct interview the suspect refused to give the handprinted specimens desired and it is necessary to check all available sources for desired specimens, a procedure which, under the circumstances, would have seemed more logical from the start.

Best Copy Available

Memo for Mr. Tolson

b6

SAC Johnson, Atlanta, was asked on 11/18/58 why two comparatively new Agents assigned to handle investigation concerning [redacted] SAC Johnson advised this lead came into the Atlanta Office during the first week of the Atlanta temple bombing case and that all experienced Agents, including himself and ASAC McGovern, were engaged in investigation of this bombing. The leads concerning interview of Perkins were assigned by Field Supervisor [redacted] to Special Agent [redacted] headquarters fixed at Rome, Georgia, and Special Agent [redacted] was sent along to assist him in this investigation.

SAC Johnson advised no supervisory instructions were given to Special Agents [redacted] or [redacted] in the effect that they should not conduct background investigation of [redacted]

b6

Although it is possible that a background investigation of [redacted] in a small rural area would have done more harm than a direct interview, the fact remains that the two Agents involved failed to follow instructions set forth in Washington Field Office airtel of 10/16/58. If they believed that a background investigation was not warranted, they should have contacted the SAC, ASAC or supervisor before taking it upon themselves to disregard instructions.

b6

Memo for Mr. Tolson

RECOMMENDATIONS:

1. ASAC Thomas J. McAndrews should have recognized the inherent import of the leads before approving the airtel of 10/18/68. His assumption that the Bureau had ample time to cancel the leads and that the Agents at Atlanta had ample time to advise the Bureau if it was thought the interviews should not be conducted, is not sound, especially in a case of this importance.

It is, therefore, recommended that ASAC McAndrews be censured for approving airtel of 10/18/68 authorizing interview of suspects in instant case and for his failure to bring the matter to the attention of the SAC before approval was given. If approved, to be handled by Administrative Division.

2. SAC L. V. Boardman has primary responsibility for the operation of the Washington Field Office. He should have assumed personal supervision of this case and should have been fully apprised of all developments. Accordingly, he should be censured for his failure to afford the necessary supervision and to be kept fully apprised of developments. If approved, to be handled by the Administrative Division.

Memo for Mr. Tolson

3. Assistant Director A. Rees has the primary responsibility for the supervision of this case at the Seat of Government. Even though the airtel of 10/18/53 was not called to his attention, he should have properly indoctrinated his subordinates so that he would be kept fully advised of developments in this important case. Accordingly, it is recommended that he be censured for not affording the case proper supervision. If approved, to be handled by the Administrative Division.

4. W. B. Welte as Section Chief in charge of the Criminal Section of the Investigative Division, has a secondary responsibility to closely follow all phases of this important matter. He obviously failed in this responsibility in that he was not being immediately advised of leads being set out in the case and should be censured. If approved, to be handled by the Administrative Division.

5. Nicholas L. Dunbar -- censure for failure to keep superiors advised of developments in case and failure to recognize potential embarrassment to Bureau in conducting interviews proposed by Washington Field Office. If approved, to be handled by Administrative Division.

Memo for Mr. Tolson

b6

6. It is recommended that Special Agents [] and [] be censured for their failure to conduct background investigation on [] as instructed in airtel of 10/16/58. If approved, to be handled by the Administrative Division.

7. SAC N. R. Johnson, Jr., has the primary responsibility for the operation of the Atlanta Office. He should have assumed personal supervision of this case and should have been fully apprised of all developments. Accordingly, he should be censured for his failure to afford the necessary supervision. If approved, to be handled by the Administrative Division.

PERMANENT BRIEFS OF ASAC McANDREWS, SUPERVISOR N. L. DUNBAR, SPECIAL AGENTS [] AND [] ATTACHED.

b6

FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: Investigative Division
(Division) (Section, Unit)

Official Position Title: Assistant Director

Rating Period: from April 1, 1958 to March 31, 1959

ADJECTIVE RATING: SATISFACTORY Employee's Initials
Outstanding, Excellent, Satisfactory, Unsatisfactory

Rated by: Clyde Tolson Associate Director 3/31/59
Signature Title Date

Reviewed by: J. E. Hoover Director 3/31/59
Signature Title Date

Rating Approved by: _____
Signature Title Date

TYPE OF REPORT

(X) Official
(X) Annual

() Administrative
() 60-Day
() 90-Day
() Transfer
() Separation from Service
() Special

67-

917

APR 28 1959

3-15

Copy sent
by 4/5 4/24/59
HWR

4/5

Back of Page

NARRATIVE COMMENTS

Note: The regulations require that OUTSTANDING ratings be supported by a statement in writing setting forth IN DETAIL the performance IN EVERY ASPECT and the REASONS for considering each worthy of SPECIAL COMMENDATION.
UNSATISFACTORY ratings must be supported by a statement in writing stating (1) WHEREIN the performance is unsatisfactory, (2) the facts of the (90 day) PRIOR WARNING, and (3) the efforts made AFTER THE WARNING TO HELP the employee bring his performance up to a satisfactory level.

MR. TOLSON

February 4, 1959

H. L. EDWARDS

INVESTIGATIVE DIVISION INSPECTION
INSPECTOR H. L. EDWARDS
OCTOBER 29, 1958 - JANUARY 30, 1959

SYNOPSIS SUMMARY (DETAILS ATTACHED)

OFFICIALS: Alex Rosen, Assistant Director since 11/12/40; on probation since 6/26/58 because of weaknesses discovered in special inspection of Deserter Fugitive Unit; C. A. Evans, Number 1 Man since 6/29/58, over Criminal, Accounting and Fraud Sections; J. R. Malley, Number 1 Man since 4/6/52, now over Employees Security, Special Inquiry and Fugitive Sections.

LAST GENERAL INSPECTION: March 4-29, 1957, W. M. Whelan and L. O. Teague.

LAST SPECIAL INSPECTION: Deserter Fugitive Unit, June, 1958; Inspector H. L. Edwards. Serious weaknesses disclosed in "selective supervision"; system abolished and division-wide system instituted based on good judgment, periodic spot checks, emphasis on training field to assume primary responsibility for investigating and reporting, reducing administrative Seat of Government overhead and freeing remaining Seat of Government Agents to intensify policy, direction and control, planning, coordination, accomplishments and lowering delinquency. Rosen and key executives given schedule of minimum spot checks to get closer to operations and personnel. Rosen given 60 days for complete division housecleaning and reduction of excess personnel.

FINDINGS

PHYSICAL CONDITION AND MAINTENANCE GOOD

Inspector effected realignment of division space correcting undesirable conditions (one section not in contiguous space on one floor; one unit of another section sandwiched within unrelated section; units of another section separated on 2 floors) and more equitably distributing space. Fraud and Accounting Section space now adequate but barely minimum and request is pending to improve poor lighting when General Services Administration funds allow; division should follow closely. Division housekeeping habits good; final "dressing up" of space on completing moves should bring physical condition to excellent status. Check disclosed one defective unlocked desk drawer containing confidential material (desk locked but damage during recent move prevented locking instant drawer--repair order placed after Inspector's discovery).

Enclosure

HLE:jlj
(6)

INVESTIGATIVE OPERATIONS VERY GOOD

Work load: Case load 12/31/58 was 46,004 or 69% of Bureau total; over 6,000 increase since 7/31/58. Civil Rights matters almost doubled, with potential for further increase in pending bombing legislation and intelligence-type responsibilities. Reorganization of work, streamlining, economy and better supervision enabled reducing agent staff from 125 when Deserter Fugitive Unit inspected June, 1958, to current needs of 91 (net reduction of 34 agents).

Delinquency very favorable--only 6.4% field delinquency in matters supervised by division on 12/31/58 (less than over-all field figure of 7.1%).

Accomplishments in statistical categories increased in all groups except fugitives which declined partly due to more than 30% decrease in Deserter case receipts over which Bureau has no control. First 6 months current fiscal year, July 1 through December 31, 1958, compared with same period year ago show convictions up 5% (5,477 vs. 5,175); fines, savings recoveries up 5% (\$57,110,410 vs. \$59,990,298); and fugitives down 5% (4,976 vs. 4,714). Inspector stressed need to (1) pinpoint weak spots (e.g., where an office is below last year's record or individual classification is down) needing special intensification; (2) concentrate on any classification having additional potential to make up for weak areas; (3) fully exploit all new classifications; (4) exploit need for new legislation; (5) constantly stress streamlining, fuller use of manpower, and rigorous economy. Division deserves credit for much progress along these lines.

Other Noteworthy Accomplishments since last inspection include: (1) Top Hoodlum Program opened 260 cases under Bureau jurisdiction, contributed much to increasing informants; enabled dissemination of over 1,000 items of interest to other law enforcement agencies plus 278 items of potential law violations outside FBI jurisdiction, fostering excellent liaison with local police and other Government agencies. (2) Between July, 1957, and 12/31/58 criminal informants increased 435 (from 1,735 to 2,170) and potential criminal informants 1,060 (from 5,249 to 6,309). Quality rather than quantity stressed. (3) Informant coverage during fiscal year 1958 directly resulted in 1,478 Bureau fugitive apprehensions, 1,695 local arrests, recovery of \$1,800,000 stolen property, apprehension of 15 Identification Order and 2 Top Ten fugitives. Comparable increases reflected first six months current fiscal year. (4) Top Jewel Thief Program brought arrests of 9 thieves and 2 fences by agents, 5 local arrests based on FBI dissemination, recovery of \$440,240 in jewelry. Interviews of numerous jewel thieves and fences confirm program as deterrent to crime. Activities of 60 Top Jewel Thieves currently followed. (5) Excellent publicity for Bureau in some criminal cases substantially contributed to favorable public opinion and improved police relations which will be potent counterforce against unwarranted attacks on Bureau.

Conclusion: Investigative operations strengthened and much progress made, stimulated and guided by results of inspection of Deserter Fugitive Unit. Division must concentrate on increasing fugitive statistics by exploiting and intensifying classifications having such potential (e.g., Selective Service). Much still to be done in newer fields of top criminal intelligence, development of racial informants, investigation of hate groups and bombing cases. Intensified top-level guidance by Assistant Director and No. 1 Men essential to insure uni-

formity of objectives, proper evaluation of programs and cases, adequate emphasis on intra- and inter-divisional coordination and complete attention to all dissemination responsibilities.

ADMINISTRATIVE MATTERSGOOD

Abolishment of "selective supervision" by Inspector during inspection of Deserter Fugitive Unit proved justified. Supervision now designed to place primary investigative and supervisory responsibility on field yet insure Seat of Government spot checks and close following as warranted. Executive staff spot checking at all levels and getting closer to work and personnel. System of periodic sampling reviews of cases on field office basis instituted by Inspector proved essential aid to analyze and spot-check adequacy of field offices, supervisors and agents. Greater need still evident for centralized direction and control at Division top echelon to strengthen systems on individual desks, guarantee basic guide lines, provide essential uniformity of approach, sound procedures, proper objectives, and prevent reviews from becoming routine and stereotyped. Further weaning needed to eliminate unwarranted field dependence on Seat of Government reminders and double checks. Inspector made many corrective and strengthening suggestions. Examples: (1) Guidance letters to field sometimes too general, failed to pinpoint weaknesses and require field to completely evaluate own shortcomings, explain deficiencies, plan and report corrective action, and institute essential preventive controls. (2) Several letters sent to same office from various desks (some within same section), many pointed out similar or identical deficiencies--Inspector instructed better sectional and divisional coordination to insure over-all evaluation and determine need for more drastic action against SAC. (3) Numerous letters to 7 offices past 8 months reiterated need for improving statistical accomplishments. Inspector instructed such cases be evaluated re desirability of recommending such SAC's be summoned for one-day conference to get results. (4) Inspector cut periodic case reviews from quarterly to semiannual basis where special problems don't require more frequent review. (5) Some volume desks instructed to restrict review to representative case sampling to insure adequately intensive review. (6) Some desks had reviews set for end of period; Inspector instructed staggering them. (7) Some controls installed to prevent reviews being delayed at supervisor's convenience.

Other items not limited to individual sections noted and corrected: (1) No system to insure formal evaluation of Top Jewel Thief Program and Secondary Financing Survey to insure summary for approval above division level plus record in file for future reference. Schedule set to insure evaluations at least annually in future. (2) Two instances noted where policy and procedures on major programs not in Manuals to guide field and inspectors; division agreed to correct one (Bombing matters) but opposed other (Top Hoodlum Program) and Inspector submitted separate memo to resolve issue. Division should hereafter submit pro's and con's in memo with recommendations rather than informally deciding on Manual exclusions within division in controversial cases. (3) Twelve inconsistencies with Master Form Book noted and corrected in Division Form Book; several suggestions effected re abolishing and revising forms. (4) Supervision of Bureau Defense Plans reassigned from Criminal to Special Inquiry Section to help streamline criminal work load. (5) Procedure devised for uniform filing of "yellows" of periodic case review letters to facilitate future reference. (6) One substantive and 42 form errors noted in about 100 file reviews. (7) Division instructed to reduce number of retyped communications (161 pages retyped in 1, 372, or 7.36%), most caused by changes of dictator or reviewing official. (8) Inspector secured

Mr. Tolson's approval to discontinue written report on spot checks instituted by Mr. Tolson after Deserter Fugitive Inspection to insure Rosen and key executives get close to operations. Future compliance to be noted on administrative ticklers. (9) Inspector secured Executives Conference and Director's approval for soliciting views from sampling of SAC's re feasibility of substantial streamlining and economy proposal to relieve CC from having to review, process, and file estimated 50,000 investigative reports annually from auxiliary offices. Training and Inspection Division to analyze field views and submit final recommendations.

Items handled by Inspector in individual sections included:

In Fugitive Section: Organization strengthened by reassigning Racial Informants from Fugitive Section to Civil Rights Unit for supervision on desks handling bombing matters and hate groups. Director approved Inspector's recommendation to discontinue annual field submission of list of agents with outstanding criminal investigative ability--practically unused since initiated 1953. Inspector consolidated Selective Service with Deserter Unit on 6-month trial to provide currently trained alternate for continuity of agent supervision, benefit both units from greater interplay of personnel and eventually release one Reviewer Analyst needed in Fugitive Unit. Several administrative weaknesses corrected in selective Service work. Division instructed to closely follow implementation to strengthen status and guarantee maximum results. To improve field evaluation, handling, and supervision in all fugitive cases, Inspector had Division prepare strong SAC letter based on observations and experience in several cases.

In Accounting and Fraud Section, Inspector discontinued use of agent to check all overtime computations which clerk should do; arranged release of agent from Fraud and Bribery Unit to fill need on Labor Desk by reducing time of Unit Chief on administrative duties and eliminating too detailed supervision of routine cases; instructed more aggressive supervision in Ascertaining Financial Ability cases where numerous instances of same error called to field's attention without more positive action; suggested several improvements in periodic case reviews and guidance letters based thereon.

In Employees Security Section agent and clerical conferences cut from 2 each to 1 each week or as needed; previous haphazard destruction of old assignment cards systemized; substituted spot check schedule for analyzing time phases of full field investigations in lieu of previous analysis of all cases; discontinued weekly practice of sending reminder letter to field listing certain cases where deadline past; since section also sent individual follow-ups, letter was unjustified crutch for field; Inspector effected certain streamlining in flow of outgoing mail to relieve Division No. 1 Man and fix responsibility on Section Chief who was being by-passed.

In Special Inquiry Section reviewer analyst determined surplus and reassigned to pressing need in another section; case review showed careless new employee (since resigned) caused substantial delay in ordering investigation and section placed memo in case file without identifying employee and submitting administrative action memo; corrective instruction issued. Another review disclosed where agent supervisor on own initiative decided against disseminating derogatory data from outstanding lead overlooked at time summary disseminated as complete; dissemination made; probation and censure for agent (no longer assigned to section).

In Criminal Section, General Crimes Unit reorganized from 5 to 3 desks for better manpower utilization. Top Jewel Theft Program not given periodic normal evaluation; unauthorized ticklers and obsolete policy material found; one desk not using field inspection staff as supervisory aid; all corrected. Supervisor late for lecture until reminded by Inspector. suggestion made regarding routing and filing of Bank Robbery memos re unusual investigative techniques for future reference.

In Civil Rights Unit, some weekend and after-hours mail not time-stamped into Division; suggested additional points for inclusion in pending Law Enforcement Bulletin article on Crime Scene searches in Bombing cases; arranged for Division to prepare manual provisions covering bombing matters; eliminated field duplication based on overlapping surveys on "racial situation" and "school integration"; instructed Division to intensify development of adequate quality racial informant coverage. Division responsibility emphasized for handling bombing and racial matters to eliminate need for Mr. Tolson or Director to initiate policy, training programs, or procedures for which Division has primary planning responsibility.

PERSONNEL MATTERS VERY GOOD

Activated by instructions following Deserter Fugitive Inspection and Director's aim to reduce agent personnel at seat of Government, division manpower reduced from 300 on 6/20/53 to present needs of 227, reduction of 82 or 26%. Reduction consists of 31 agents removed from seat of Government rolls plus 23 clerks (20 resignations not replaced plus 3 transfers from SOG) for annual salary savings in seat of Government administrative overhead of \$433,000 (including \$80,000 in resignations not replaced). Remaining reduction (82 less the 54 no longer at SOG, or 28) is net division loss caused by transferring Name Check work to Domestic Intelligence Division (DID), acquiring Racial Matters from LIE, plus agent loans to Congressional Committees who will not return to division unless justified need exists. Present division needs stabilized at 91 agents, 22 Reviewer Analysts, 114 clerks, typists, stenographers. Distribution by section established and any future divisional increases or permanent reassignment of surplus employees to another section must be supported by prior justification and approval.

Daily agent overtime compares favorably with seat of Government (October, November, December, 1956: Division averaged 2'26", 2'46", 2'34"; SOG was 2'35", 2'17", 2'32"). Except for one agent not equitably sharing overtime (corrected by Inspector), all overtime equitably distributed and pattern adequately irregular.

Division morale very good. Sampling interviews reflected clerks in Fugitive Unit felt agent supervisors should give opportunity for private interview when performance rating given and otherwise foster confidence of clerks to make them feel free to consult supervisor on personal problems. Corrective procedures instituted. No instances discovered of gossip or wasting time. Ample evidence exists confirming corrective measures resulting from Deserter Fugitive Inspection whereby Assistant Director Fosen and key supervisors are regularly getting closer to operations and personnel through spot checks of space, periodic interviews, etc.

CONTACTS VERY GOOD

Division, primarily through Mr. Rosen, maintains excellent working contacts with Department concerning criminal investigations which contribute substantially to retaining independent status of Bureau. In field of Civil Rights and related matters, however, Bureau able to have Department accept full responsibility for initiating investigations and not even preliminary inquiries have to be conducted without express instructions of Department. This is particularly important today in view of controversial aspects centering around allegations of unwarranted interference in States' Rights by Federal Government.

Division needs to intensify activities in field of developing special contacts to help Bureau counter unwarranted attacks by certain elements of press and public. Little can be found where any concrete accomplishments by Division in this regard have resulted. Review of contact reports submitted by Division since September reflect negligible contacts other than those made during course of business. This phase of Division's responsibilities must be intensified and shared by entire key staff.

EVALUATION OF KEY PERSONNEL

1. Assistant Director Rosen (EOD 10/16/33; GE-17, \$16,335; age 53). On probation since 6/26/58 in view of Deserter Fugitive Unit inspection. Has made progress in overcoming prior weaknesses of aloofness from operations at lower levels - he is closer to problems and employees. Additional stress needed on centralized guidance and control to encourage disclosure of problems at sectional level requiring uniformity of approach, coordination and evaluation on a divisional basis. Closer coordination with other divisions on matters of mutual interest must be developed. He must foster a greater understanding and appreciation at all agent levels regarding the relatively new concept of division's responsibility in criminal intelligence matters and its ramifications. Mr. Rosen merits credit for vigorous action after being stimulated by the shock of weaknesses found last June; but his primary responsibility as Division head is to prevent rather than cure. Similar examples of this weakness exist in phases of racial and bombing matters where someone else had to initiate ideas. These should provide vivid lessons for future guidance, particularly in the field of contacts, Civil Rights, and Criminal Intelligence. Inspector feels Mr. Rosen can be removed from probation.

2. Number One Men:

a. Inspector C. A. Evans (EOD 12/9/49; GE-16, \$14,100; age 44). Not on probation. Following his promotion to No. 1 Man after Deserter Fugitive inspection, Evans has coordinated the Fraud and Accounting Section which he used to head, plus the Criminal Section. He is alert, capable, enthusiastic. He knows what the challenges are and evidences a real desire to help Mr. Rosen meet them. One of his biggest jobs will be to insure the Civil Rights and Labor matters are handled properly. He is progressing satisfactorily and gives promise of considerable potential.

b. Inspector J. R. Malley (EOD 10/11/37; GS-16, \$14,430; age 47). Not on probation. Has been Division No. 1 Man since 4/6/52 but in reorganization following Deserter Fugitive Inspection was given responsibility for Fugitive Section in addition to Special Inquiry and Name Check Sections. New section includes Selective Service, Deserter, Top Hoodlum and Criminal Intelligence matters. Inspection disclosed need for some administrative strengthening and intensification in the Fugitive Section which Malley must make his personal, on-the-scene concern to accelerate his complete orientation with this new work. Fugitive statistics are down and no effort must be spared to recoup the loss in remainder of current fiscal year. He has the experience and ability to respond to these challenges but Mr. Rosen should see that no time is lost in getting to them.

3. Section Chiefs:

b6

G. C. Callan, (Inspector), Special Inquiry Section; L. N. Conroy, Fugitive Section; [] Accounting and Fraud Section; C. H. Stanley, Employees Security Section; [], Criminal Section.

These key men are all well qualified for their assignments by reason of long experience. Callan has been in the Division since 10/25/44; Conroy since 7/29/53 and in Domestic Intelligence Division for 6 years previously; [] last came to Division 7/21/53 from 2 years in the field, his last position being SAC at Jacksonville. Previously, he was in the Division from 3/12/52 to 7/28/56; Stanley's service in the Division dates from 7/29/53 and prior to that he had 6 years in Domestic Intelligence; [] came to the Division from ASAC at Savannah 7/23/58 after the Deserter Fugitive Inspection, but had service in Domestic Intelligence from 5/19/52 to 7/13/55. The fresh field experience of [] and [] strengthens the Division. All Section Chiefs interviewed by Inspector who discussed findings with them and emphasized gravity of their responsibilities. They are all rated as satisfactory to retain in assignments.

4. Clement L. McGowan, Jr., Supervisor in Charge, Civil Rights Unit. (EOD 9/30/40; GS-14, \$11,535; age 43; legal resident of Georgia; AB., LL.B.) Interviewed and evaluated by Inspector in view of importance of Civil Rights Unit and concern Director has expressed in past. Has headed unit since 8/17/54, assigned there since 8/18/52; almost 6 years field experience in Charlotte and Atlanta which included considerable Civil Rights investigations. He displays outstanding conversant knowledge of Civil Rights background, has multitude of specific details at fingertips and seems to have excellent grasp of policy, legislation and general social philosophy related to program.

Unit now includes racial informants, hate organizations and bombing matters and has grown to 10 agents and 7 clerks with additional potential depending on pending legislation in Congress. McGowan is rapidly assimilating the new responsibilities and realizes their magnitude. He regrets the Director and Mr. Tolson have had to initiate action which should have been proposed by the Unit. Inspector told McGowan he must plan ahead and insure his Unit is prepared to anticipate and meet any and all responsibilities

as

Civil Rights and racial matters evolve. The Bureau may have a part in essential responsibility for educating and indoctrinating the American public much the same way we have had to do in the field of communism.

Inspector was impressed with McGowan's attitude, sincerity and earnest desire to satisfy the Director, and feels he should be retained in the position.

CONCLUSIONS AND RECOMMENDATIONS

All corrective action disclosed by inspection initiated, and in most cases completed. In view of significant improvements and prospects for continuing favorable trend, Inspector feels Mr. Rosen merits removal from probation.

RECOMMENDATIONS:

1. That Assistant Director Rosen be removed from probation; that approval be given the attached letter which so advises him and encloses a copy of the inspection summary for completing all corrective action.

1-2-59

TO: The Director
FROM: J. P. Mohr
SUBJECT: PROBATION REPORT

Following is a list of SACs and SOG officials on probation. There are no Legal Attaches on probation at this time.

NAME	PROBATION DATE	DATE LAST INSPECTION	DATE LAST RECHECK	REASON
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A. Rosen	6-26-58	Began		
X Investigative Division		10-29-58		
INS. 11-6-58	Continued			

Failure to detect and eliminate weaknesses found during special inspection of Deserter Fugitive Unit in his division and failure to emphasize old pending Deserter Fugitive Cases. Continued 11-6-58 until after results of present inspection.

100-800-670-000-000

1

2 JAN 18 1959

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

12-19-58

returned

I certify that I have ~~received~~ the following Government property for official use:

~~received~~

Manual of Technical Equipment # 606
(old issue)

*destroyed
le*

FILE

3-M

PER

abe

Very truly yours,

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.
DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY.

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

9 DEC 23 1958

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME (Type or print) ROSEN, ALEX		2. GRADE AND COMPONENT OR POSITION ASSISTANT DIRECTOR		3. IDENTIFICATION NO.
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)		5. PURPOSE OF EXAMINATION ANNUAL		6. DATE OF EXAMINATION 4-28-59
7. SEX M	8. RACE W	9. TOTAL YEARS GOVERNMENT SERVICE MILITARY CIVILIAN		10. AGENCY
11. ORGANIZATION UNIT		12. DATE OF BIRTH 9-14-05		
13. PLACE OF BIRTH NEW YORK		14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN		
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS NNMC		16. OTHER INFORMATION		
17. RATING OR SPECIALTY		TIME IN THIS CAPACITY (Total)		LAST SIX MONTHS

CLINICAL EVALUATION		
NOR-MAL	(Check each item in appropriate column; enter "NE" if not evaluated.)	ABNOR-MAL
	18. HEAD, FACE, NECK, AND SCALP	
	19. NOSE	
	20. SINUSES	
	21. MOUTH AND THROAT	
	22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
	23. DRUMS (Perforation)	
	24. EYES—GENERAL (Visual acuity and refraction under items 59, 60 and 67)	
NE	25. OPHTHALMOSCOPIC	
	26. PUPILS (Equality and reaction)	
	27. OCULAR MOTILITY (Associated parallel movements, nystagmus)	
	28. LUNGS AND CHEST (Include breasts)	
	29. HEART (Thrust, size, rhythm, sounds)	
	30. VASCULAR SYSTEM (Varicosities, etc.)	
	31. ABDOMEN AND VISCERA (Include hernia)	
	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate, if indicated)	
	33. ENDOCRINE SYSTEM	
	34. G-U SYSTEM	
	35. UPPER EXTREMITIES (Strength, range of motion)	
	36. FEET	
	37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)	
	38. SPINE, OTHER MUSCULOSKELETAL	
	39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	
	40. SKIN, LYMPHATICS	
	41. NEUROLOGIC (Equilibrium tests under item 72)	
	42. PSYCHIATRIC (Specify any personality deviation)	
	43. PELVIC (Females only) (Check how done)	
	<input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL	

NOTES. (Describe every abnormality in detail. Enter pertinent item number before each comment. Continue in item 73 and use additional sheets if necessary.)

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ENCLOSURE

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively.)		REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES	
O—Restorable teeth /—Nonrestorable teeth X—Missing teeth XXX—Replaced by dentures (Fixed bridge, brackets to include abutments)		67- 418 Meets dental standards	
R I G H T	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	L E F T	

LABORATORY FINDINGS			
45. URINALYSIS: A. SPECIFIC GRAVITY 1.012		46. CHEST X-RAY (Place, date, film number and result) 4-28-59 (14 X 17) 9908-59 Normal Chest	
B. ALBUMIN neg	D. MICROSCOPIC negative		
C. SUGAR neg	47. SEROLOGY (Specify test used and result) Negative 3 1965	48. EKG Normal	49. BLOOD TYPE AND RH FACTOR
		50. OTHER TESTS Hearing - See reports and # 71	

638

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT 72		52. WEIGHT 176		53. COLOR HAIR Gray		54. COLOR EYES Hazel		55. BUILD: <input type="checkbox"/> SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE				56. TEMPERATURE 97																														
57. BLOOD PRESSURE (Arm at heart level)								58. PULSE (Arm at heart level)																																		
A. SITTING SYS. 122 DIAS. 80		B. RECUMBENT SYS. DIAS. 		C. STANDING (3 min.) SYS. DIAS. 		A. SITTING 72		B. AFTER EXERCISE		C. 2 MIN. AFTER		D. RECUMBENT		E. AFTER STANDING 3 MIN.																												
59. DISTANT VISION				60. REFRACTION				61. NEAR VISION 0.62																																		
RIGHT 20/ 20		CORR. TO 20/		BY		S.		OX		CORR. TO 20-10		BY lenses																														
LEFT 20/ 20		CORR. TO 20/		BY		S.		OX		CORR. TO 20-10		BY																														
62. HETEROPHORIA (Specify distance)																																										
ES°		EX°		R. H.		L. H.		PRISM DIV.		PRISM CONV. CT		PC		PD																												
63. ACCOMMODATION				64. COLOR VISION (Test used and result) (13)						65. DEPTH PERCEPTION (Test used and score)				UNCORRECTED																												
RIGHT LEFT				ACO-1946-18/17										CORRECTED																												
66. FIELD OF VISION				67. NIGHT VISION (Test used and score)						68. RED LENS TEST				69. INTRAOCULAR TENSION																												
70. HEARING				71. AUDIOMETER								72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)																														
RIGHT WV 15 /15 SV /15				<table border="1"> <tr> <td></td> <td>250 258</td> <td>500 512</td> <td>1000 1024</td> <td>2000 2048</td> <td>3000 2896</td> <td>4000 4096</td> <td>6000 6144</td> <td>8000 8192</td> </tr> <tr> <td>RIGHT</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td></td> <td>30</td> <td></td> <td>0</td> </tr> <tr> <td>LEFT</td> <td>0</td> <td>0</td> <td>0</td> <td>15</td> <td></td> <td>35</td> <td></td> <td>25</td> </tr> </table>									250 258	500 512	1000 1024	2000 2048	3000 2896	4000 4096	6000 6144	8000 8192	RIGHT	0	0	0	0		30		0	LEFT	0	0	0	15		35		25				
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LEFT WV 15 /15 SV /15																																										

73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY

(Use additional sheets if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)						76. A. PHYSICAL PROFILE																	
						<table border="1"> <tr> <td>P</td> <td>U</td> <td>L</td> <td>H</td> <td>E</td> <td>S</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>						P	U	L	H	E	S						
P	U	L	H	E	S																		
77. EXAMINEE (Check)						B. PHYSICAL CATEGORY																	
A. <input checked="" type="checkbox"/> IS QUALIFIED FOR B. <input type="checkbox"/> IS NOT QUALIFIED FOR																							
78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER.						<table border="1"> <tr> <td>A</td> <td>B</td> <td>C</td> <td>E</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> </tr> </table>						A	B	C	E								
A	B	C	E																				
79. TYPED OR PRINTED NAME OF PHYSICIAN G. R. JOHNSTON, CAPT MC USN						SIGNATURE S/ G. R. Johnston																	
80. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE																	
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)						SIGNATURE S/ J.B. Ferris (E.F.C.)																	
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY						SIGNATURE																	
						NUMBER OF ATTACHED SHEETS																	

PATIENT'S NAME—FIRST NAME—MIDDLE NAME

033

REGISTER NO.

WARD NO.

STAFF CLINIC

ROSEN, ALEX

FBI

AGE

SEX

(Check one)

☐ BEDSIDE, WHEELCHAIR,
OR STRETCHER☐ BED
PATIENT☐ AMBULATORY

EXAMINATION REQUESTED

REQUESTED BY

DR. JOHNSTON

DATE OF REQUEST

(Above space for mechanical imprinting, if used)

PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS, AND PROVISIONAL DIAGNOSIS

FILM NO.

9908-59

DATE OF REPORT

RADIOGRAPHIC REPORT

4-28-59: CHEST: Once again the calcified peripheral lesion associated with left hilar calcification is noted and on comparison with a film dated 4-21-58 shows no interval change. This probably represents an old primary complex and as such is of no clinical significance.

IMPRESSION: Essentially normal chest. MWO/hcb 033

M. W. OLSON

LCDR MC USN

S/GRJ

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

Standard Form 519A (Rev. Aug. 1954)

Promulgated by Bureau of the Budget

Circular A-32 (Rev.)

RADIOGRAPHIC REPORT

NAME OF HOSPITAL OR OTHER MEDICAL FACILITY

CLINICAL RECORD		CONSULTATION SHEET	
REQUEST			
TO: <i>EAR CLINIC</i>		FROM: (Requesting ward, unit, or activity) <i>STAFF CLINIC</i>	DATE OF REQUEST <i>4-28-59</i>

REASON FOR REQUEST (Complaints and findings)

This FBI Assistant Director appeared this date for his annual physical examination and it was noted he has never been afforded an audiogram. Please do audiogram for record purposes.

Thank you,

PROVISIONAL DIAGNOSIS

DOCTOR'S SIGNATURE	APPROVED	PLACE OF CONSULTATION	<input type="checkbox"/> EMERGENCY
<i>G. R. JOHNSTON, CAPT MC USN</i>		<input type="checkbox"/> BEDSIDE <input checked="" type="checkbox"/> ON CALL	<input checked="" type="checkbox"/> ROUTINE

CONSULTATION REPORT

4-28-59

Audiogram reveals bilateral high frequency loss of minor degree. Conversational tone normal.

*S/ M. C. Shea
LT MC USN*

(Continued on reverse side)

SIGNATURE AND TITLE	DATE	IDENTIFICATION NO.	ORGANIZATION
PATIENT'S IDENTIFICATION (For typed or written entries give: Name—last, first, middle; grade; date; hospital or medical facility)		REGISTER NO.	WARD NO.

ROSEN, ALEX

FBI

CONSULTATION SHEET
Standard Form 513

67-415

ATTACHMENT TO STANDARD FORM 88, REPORT OF MEDICAL EXAMINATION
FOR INFORMATION AND GUIDANCE OF MEDICAL EXAMINER

Name of Examinee: Rosen, Alex
 (Type or print) Last First Middle

The following portions of the attached examination report form need not be completed:

2	62
3	65
11	67
14	68
17	69
46	71
48	72
49	

46. Is necessary unless facilities for affording same are not readily available.
48. Not required unless examinee is over 35 years of age or examination indicates such is desirable.
49. Is necessary unless facilities for affording same are not readily available.
71. Audiometer examinations should be afforded whenever possible.

FOR ALL EXAMINEES, WHETHER CLERICAL OR SPECIAL AGENT APPLICANTS
 OR EMPLOYEES:

The medical examiner should answer the following question:

Examinee ☒ is ☐ is not qualified for strenuous physical exertion.

TO BE ANSWERED IN THE CASE OF ALL MALE EMPLOYEES AND MALE APPLICANTS:

- Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?
☒ No ☐ Yes. If "yes" please specify defects. _____
- Does examinee have any defects prohibiting safe operation of motor vehicles?
☒ No ☐ Yes. If "yes" please specify defects. _____

67 -

41

Weights for Males

Height Feet-Inches	SMALL FRAME		MEDIUM FRAME		LARGE FRAME	
	Desirable	Maximum	Desirable	Maximum	Desirable	Maximum
5 4	121-131	143	129-139	152	136-148	162
5 5	124-134	146	132-142	155	140-152	166
5 6	128-138	151	136-146	160	144-157	172
5 7	131-142	155	140-151	165	148-161	176
5 8	135-146	160	144-155	170	152-165	181
5 9	139-150	164	148-159	174	156-170	186
5 10	143-154	168	152-163	178	160-175	192
5 11	147-159	174	156-168	184	164-180	197
6 0	152-164	179	161-173	189	169-185	203
6 1	158-170	186	166-179	196	174-191	209
6 2	163-175	192	171-184	201	179-197	216
6 3	168-180	197	176-189	207	184-202	221
6 4	174-186	204	182-195	214	190-208	228
6 5	180-191	209	188-201	220	196-214	234

3. Examinee's frame is ☐ small ☐ medium ☒ large

4. Considering above weight table the examinee's frame and other individual physical characteristics, I consider his present weight ☒ Satisfactory ☐ Excessive ☐ Deficient

5. Under proper medical supervision, examinee should ☐ lose _____ pounds

☐ gain _____ pounds

Remarks: _____

G. R. Johnston

(Signature of Medical Examiner)

MAY 8 1958

(Date)

July 27, 1959

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

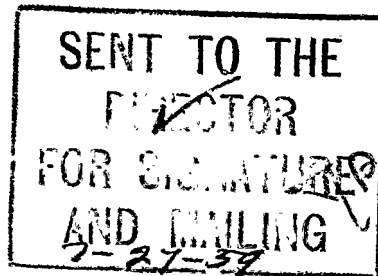
Dear Mr. Rosen:

I was most impressed with the outstanding statistical accomplishments achieved by the Bureau in matters supervised by the Investigative Division for the fiscal year, 1959, and I am writing to commend you and, through you, the personnel of your division.

Without the close and meticulous supervision which was afforded investigative matters and the interest, enthusiasm and diligence displayed by all in carrying out their responsibilities, I am sure the substantial increase in all categories would not have been realized. Please accept my sincere thanks and convey my appreciation to all for their splendid contributions.

Sincerely yours,

(S) J. Edgar Hoover
Mailed by the Director



Tolson _____
Belmont _____
DeLoach _____
McGuire _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Holloman _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

(OVER)

419

7-27-59 3:50 PM '59

Alex Rosen
Washington, D. C.

Place copy of this letter in files of following personnel:

J. Robert Boger
Robert W. Evans

b6

[REDACTED]

R. J. Gallagher

[REDACTED]

Thomas W. Curran
Edward L. Grampp

[REDACTED]

Oscar J. Keep

[REDACTED]

Lewis H. Bunker
Robert M. Murphy
J. Bernard Cook
Edward J. Hayes
Edward C. Palmer

[REDACTED]

W. B. Soyars
A. J. McGrath
Alfred B. Eddy
Julian B. Engelstad

[REDACTED]

Richard D. Rogge

[REDACTED]

Harry J. Morgan

[REDACTED]
[REDACTED]

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

6-4-59

I certify that I have received the following Government property for official use:

~~returned~~

D. C. Official Parking Permit, Expires 6-30-60

RETURNED

D. C. Official Parking Permit, Expires 6-30-59

FILE

3-M

PER 1/2

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

313

October 16, 1959

PERSONAL

Dear Al:

I note that this is your Twenty-sixth Anniversary with the Federal Bureau of Investigation, and I did want to extend to you my personal congratulations and best wishes. Loyalty and devotion to duty have marked your many years of service and it is through such examples as yours that the Bureau has been able to grow and function as efficiently as it has.

With best regards,

Sincerely,

/s/ J. E. H.

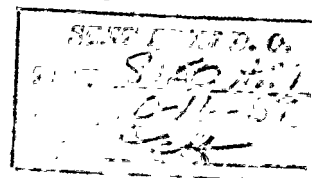
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

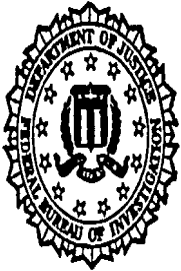
420

Tolson _____
Belmont _____
DeLoach _____
McGuire _____
Mohr _____
Parsons _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Holloman _____
Gandy _____

JEH:edm (3)
Anniversary - Oct. 16 (Fri.)

MAIL ROOM ☐ TELETYPE UNIT ☐





UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

In Reply, Please Refer to
File No.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA

Alex Rosen
(Type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name <i>Mrs. L. Rosen</i>	Relationship <i>mother</i>	Date <i>10-6-59</i>
Address <i>2859 Hampton Rd Asher Hgts Ohio</i>		

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name <i>same as above</i>	Relationship	Date
Address		

Very truly yours,

Alex Rosen

Special Agent

Assistant Director

94
OCT 6 1959

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

9-25-59

I certify that I have received the following Government property for official use:

~~returned~~

Inspectors' Manual # 713
(Issued August 17, 1959)

RETURNED:

Inspectors' Manual # 71
(Issued August 15, 1956)

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY. 167-1101

FILE

3-M

Very truly yours,

PER da

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

OCT 8 1959

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

9/9/59

I certify that I have received the following Government property for official use:

~~XXXXXXXX~~

Position Classification Manual #61
(issued 8-13-59)

RETURNED

Position Classification Manual #105 ✓
(issued 12-3-56)

FILE

3-M

PER

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY:

Very truly yours,

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

SEP 10 1959

5706
RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

9-1-59

I certify that I have received the following Government property for official use:

~~RETURNED~~

Defense Plans Manual #124

FILE

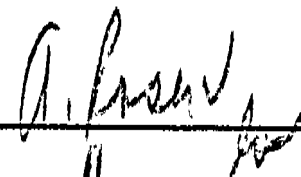
3-M

READ

Very truly yours, 

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

(Written
Signature)



(Typed
Signature)

Alex Rosen

Office Memorandum • UNITED STATES GOVERNMENT

TO : MR. TOLSON

DATE: December 9, 1959

FROM : A. ROSEN *R*

SUBJECT: ET AL.
THEFT FROM INTERSTATE SHIPMENT

✓
Tolson ☒
Belmont ☐
DeLoach ☐
McGuire ☐
Mohr ☒
Parsons ☒
Rosen ☒
Tamm ☐
Trotter ☐
W.C. Sullivan ☐
Tele. Room ☐
Holloman ☐
Gandy ☐

Mr. Evans has advised me of your call this morning wherein you noted that in a memorandum dated December 7, 1959, reflecting the date of a surveillance conducted by Special Agents of the Chicago Division, the date is incorrectly set forth as May 13, 1959, whereas the surveillance actually occurred on May 13, 1957.

I have received explanations from Mr. Evans and Supervisor who prepared the memorandum. They have advised that they deeply regret that this error was not detected before the memorandum was forwarded to you. I also wish to point out that the memorandum was initialed by me and this error should have been detected before the memorandum was forwarded. I wish to assure you that we will make every effort to avoid similar situations such as this.

Mr. Evans states that he has advised Mr. Malone in Mr. Parsons' absence of your concern, and memoranda are being prepared for Mr. Parsons and Mr. Dalbey, who also initialed the memorandum.

~~Enclosure~~

AR:hew
(3)

*It is just such carelessness
in a most important case which
gives me great concern.
Here Fenara, Evans & Rosen
all cleared on obvious
error.*

23 DEC 11 1959

THREE

March 3, 1960

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am writing to commend you and, through you, the personnel in the Investigative Division for the outstanding attitude displayed in reporting for duty today despite the extremely adverse weather conditions.

I know that those who reported did so at great personal inconvenience and I want to express my sincere appreciation to you and your associates for your exceptional loyalty and devotion to duty.

Sincerely yours,

J. Edgar Hoover

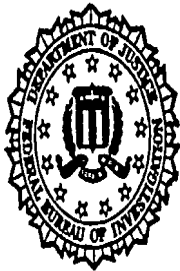
AFH:hwc
(3)

NOTE: Approximately 97% of the Bureau's normal working group in Washington, including WFO, reported for duty this morning notwithstanding the extremely adverse weather conditions.

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

Mar 3 4 22 PM '60
RECEIVED-READING ROOM
FBI



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

In Reply, Please Refer to
File No.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA Alex Rosen
(Type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name	Mrs. H. Rosen	Relationship	Mother	Date	2/17/60
------	---------------	--------------	--------	------	---------

Address
2859 Hampton Road, S. E., Shaker Heights, Ohio

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name	Mrs. H. Rosen	Relationship	Mother	Date	2/17/60
------	---------------	--------------	--------	------	---------

Address
2859 Hampton Road, S. E., Shaker Heights, Ohio

Very truly yours,

Alex Rosen
Alex Rosen

Special Agent Assistant Director

FEB 29 1960

FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: INVESTIGATIVE
(Division) (Section, Unit)

Official Position Title: ASSISTANT DIRECTOR

Rating Period: from 4-1-59 to 3-31-60

ADJECTIVE RATING: SATISFACTORY
Outstanding, Excellent, Satisfactory, Unsatisfactory

Employee's
Initials

Rated by: [Signature] Assistant to Director 3-31-60
Signature Title Date

Reviewed by: [Signature] Associate Director 3-31-60
Signature Title Date

Rating Approved by: _____
Signature Title Date

TYPE OF REPORT

(X) Official

(X) Annual

() Administrative

() 60-Day

() 90-Day

() Transfer

() Separation from Service

() Special

Copy sent
by r/s 4/8/60
[Signature]

49

100-159

423

3 [Signature]

NARRATIVE COMMENTS

Note: The regulations require that OUTSTANDING ratings be supported by a statement in writing setting forth IN DETAIL the performance IN EVERY ASPECT and the REASONS for considering each worthy of SPECIAL COMMENDATION. UNSATISFACTORY ratings must be supported by a statement in writing stating (1) WHEREIN the performance is unsatisfactory, (2) the facts of the (90 day) PRIOR WARNING, and (3) the efforts made AFTER THE WARNING TO HELP the employee bring his performance up to a satisfactory level.

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

3-23-60

I certify that I have received the following Government property for official use:

~~relocated~~

Foreign Operations Policy Manual #39

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,

FILE
3-23-60
JL

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

Memorandum

TO : Mr. Rosen

DATE: March 1, 1960

FROM : W. B. Welte

SUBJECT: COORNAP I

This is to set forth a tabulation of the regular work as well as the overtime spent by personnel of the Investigative Division in connection with the captioned case. The period covered is from February 21 through February 27, 1960.

Total regular hours by SA personnel - 98 hours 8 minutes

Total overtime by SA personnel - 107 hours 23 minutes

Included in the above totals is the overtime devoted by Assistant Director Rosen, Number One Man C. A. Evans, and Section Chief W. B. Welte.

The total regular hours spent by clerks and stenographers during the period from 2/21/60 through 2/27/60 was 103 hours 45 minutes. In addition, 40 hours 23 minutes in overtime was spent.

RECOMMENDATION

That this memorandum be forwarded to the Administrative Division.

1 - Administrative Division
RJG:ige
(6)

114
64

9/12

May 6, 1960

PERSONAL

Mr. Alex Rosen

~~Federal Bureau of Investigation~~ c/o Mrs. H. Rosen

~~Washington, D. C.~~

2859 Hampton Road, Southeast
Shaker Heights, Cleveland, Ohio

Dear Al:

I am indeed pleased to advise you
of your promotion to the position of Assistant
Director, \$17,500 per annum in Grade GS 18,
effective May 6, 1960.

For your information, this promotion
is temporary and will remain in effect only for
the duration of your present assignment.

Sincerely,

424

~~Mr. Edgar Hoover~~

1 - Miss Usilton

1 - Movement

Salutation per Reading Room.

j1
(4)

SENT FROM D. O.	
TIME	5:11
DATE	5-6-60
BY	LDH

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

AIR MAIL - SPECIAL DELIVERY

LDH

DO-6

OFFICE OF DIRECTOR
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

Mr. Tolson ☒
Mr. Mohr _____
Mr. Parsons _____
Mr. Belmont _____
Mr. Callahan _____
Mr. DeLoach _____
Mr. Malone _____
Mr. McGuire _____
Mr. Rosen _____
Mr. Tamm _____
Mr. Trotter _____
Mr. Jones _____
Mr. W.C. Sullivan _____
Tele. Room _____
Mr. Ingram _____
Miss Holmes _____
Miss Gandy _____

Dear Mr. Hoover,

I am deeply grateful for your letter of May 6th advising me of my promotion.

This means more to me than I can adequately express.

May I take this opportunity to again state I could not seek nor could I attain a greater honor and privilege than to serve under you in the F.B.I.

You may be sure I will do all in my power to be worthy of your confidence in me.

Sincerely,

/s/ Al Rosen

May 9, 1960

COPY:hbb

~~CONFIDENTIAL~~
MAY 12 1960
~~CONFIDENTIAL~~
MAY 11 1960

REC-150

3 MAY 18 1960

425

Dear Mr. Hoover,

I am deeply grateful
for your letter of May 6th advising
me of my promotion.

This means more to
me than I can adequately
express.

May I take this
opportunity to again state I could
not seek nor could I attain a
greater honor and privilege
than to serve under you in
the F.B.I.

NOTIFICATION OF PERSONNEL ACTION

50-106-13

1. NAME (LAST [CAPS]—First—Middle—Mr.—Miss—Mrs.)

ROSEN, ALEX (MR.)

2. DATE OF BIRTH

9-14-05

3. IDENTIFICATION (optional)

00009

4. THIS IS AN OFFICIAL NOTICE OF THE PERSONNEL ACTION DESCRIBED BELOW, WHICH AFFECTS YOUR EMPLOYMENT. GENERAL INFORMATION CONCERNING YOUR EMPLOYMENT APPEARS ON THE REVERSE SIDE OF THIS FORM.

5. NATURE OF ACTION (standard terminology must be used)

PROMOTION

6. EFFECTIVE
DATE OF ACTION

5-6-60

7. CIVIL SERVICE OR OTHER LEGAL AUTHORITY

EXCEPTED BY LAW

FROM—

Assistant Director

**GS 17, \$16335
per annum**

Investigative Division

8. POSITION TITLE
AND NUMBER

9. SERIES, GRADE,
SALARY

10. NAME AND
LOCATION OF
OFFICE BY
WHICH
EMPLOYED

11. DUTY
STATION

TO—

Assistant Director

**GS 18, \$17500
per annum**

Investigative Division

☐ Yes

12. APPORTIONED
POSITION

☐ Yes
STATE:

☐ Apportionment Waived
☐ Proved

13. VETERAN PREFERENCE

No	5-pt.	10-pt. Disab.	10-pt. Other
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

14. TENURE GROUP

15. POSITION OCCUPIED IS IN THE:

Competitive Service	Excepted Service
<input type="checkbox"/>	<input checked="" type="checkbox"/>

16. APPROPRIATION

From: S. & E., FBI

To: SAME

17. PAYROLL DEDUCTIONS

CSR	FICA	FEGLI
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

18. DATE OF APPOINTMENT
AFFIDAVITS (accessions only)

19. REMARKS:

☐ a. Subject to completion of 1 year probationary (or trial) period commencing _____
☐ b. Service counting toward career (or permanent) tenure from: _____
Separations: Show reasons below, as required. Check, if applicable: ☐ c. During probation ☐ d. From appointment of 6 months or less

Basis for this promotion is Public Law #94, 84th Congress.

67-NOT RECORDED

30 MAY 1960

248

Director

20. EMPLOYING DEPARTMENT OR AGENCY

**U. S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION**

22. SIGNATURE (or other authentication) AND TITLE

21. OFFICE MAINTAINING OFFICIAL PERSONNEL FOLDER (if different than item 10, above)

**FEDERAL BUREAU OF INVESTIGATION
WASHINGTON 25, D. C.**

23. DATE:

5-6-60

REPORT OF MEDICAL EXAMINATION

1. LAST NAME—FIRST NAME—MIDDLE NAME (Type or print) ROSEN, ALEX			2. GRADE AND COMPONENT OR POSITION ASSISTANT DIRECTOR		3. IDENTIFICATION NO.
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)			5. PURPOSE OF EXAMINATION ANNUAL		6. DATE OF EXAMINATION 4/27/60
7. SEX M	8. RACE W	9. TOTAL YEARS GOVERNMENT SERVICE MILITARY CIVILIAN		10. AGENCY	
11. ORGANIZATION UNIT		12. DATE OF BIRTH 1905			
13. PLACE OF BIRTH NEW YORK CITY		14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN			
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS NNMC			16. OTHER INFORMATION		
17. RATING OR SPECIALTY			TIME IN THIS CAPACITY (Total)		LAST SIX MONTHS

CLINICAL EVALUATION		
NOR-MAL	(Check each item in appropriate column; enter "NE" if not evaluated.)	ABNOR-MAL
X	18. HEAD, FACE, NECK, AND SCALP	
X	19. NOSE	
NE	20. SINUSES	
X	21. MOUTH AND THROAT	
X	22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
X	23. DRUMS (Perforation)	
X	24. EYES—GENERAL (Visual acuity and refraction under items 59, 60 and 67)	
NE	25. OPHTHALMOSCOPIC	
X	26. PUPILS (Equality and reaction)	
X	27. OCULAR MOTILITY (Associated parallel movements, nystagmus)	
X	28. LUNGS AND CHEST (Include breasts)	
X	29. HEART (Thrust, size, rhythm, sounds)	
X	30. VASCULAR SYSTEM (Varicosities, etc.)	
X	31. ABDOMEN AND VISCERA (Include hernia)	
X	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate, if indicated)	X
X	33. ENDOCRINE SYSTEM	
X	34. G-U SYSTEM	
X	35. UPPER EXTREMITIES (Strength, range of motion)	
X	36. FEET	
X	37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)	
X	38. SPINE, OTHER MUSCULOSKELETAL	
X	39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	
X	40. SKIN, LYMPHATICS	
X	41. NEUROLOGIC (Equilibrium tests under item 72)	
	42. PSYCHIATRIC (Specify any personality deviation)	
	43. PELVIC (Females only) (Check how done)	
	<input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL	

NOTES. (Describe every abnormality in detail. Enter pertinent item number before each comment. Continue in item 73 and use additional sheets if necessary.)

#32. Small external tag.

REC-143

Repeat REC-143 1515 ON 5/25/60 & 6/3/60

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively.)															REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES				
O—Restorable teeth I—Nonrestorable teeth X—Missing teeth XXX—Replaced by dentures (6 X 8)—Fixed bridge, brackets to include abutments															MEETS DENTAL STANDARDS				
R	X	2	3	4	5	6	7	8	9	10	11	12	13	14			15	X	L
I	X	31	30	29	28	27	26	25	24	23	22	21	20	19			18	X	T

45. URINALYSIS: A. SPECIFIC GRAVITY 1.008				46. CHEST X-RAY (Place, date, film number and result)			
B. ALBUMIN NEG				4/27/60			
C. SUGAR NEG				10242-60 See report			
47. SEROLOGY (Specify test used and result) NEG				48. EKG See report			
49. BLOOD TYPE AND RH FACTOR				50. OTHER TESTS			

83 JUN 1 1960

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT 72	52. WEIGHT 178	53. COLOR HAIR Gray	54. COLOR EYES Hazel	55. BUILD: <input type="checkbox"/> SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE	56. TEMPERATURE 98
57. BLOOD PRESSURE (Arm at heart level) A. SITTING SYS. 142 DIAS. 88 B. RECUMBENT SYS. 142 DIAS. 88 C. 2 MIN. AFTER SYS. 142 DIAS. 88			58. PULSE (Arm at heart level) A. SITTING 80 B. AFTER EXERCISE C. 2 MIN. AFTER D. RECUMBENT E. AFTER STANDING 3 MIN.		
59. DISTANT VISION RIGHT 20/20 CORR. TO 20/ LEFT 20/20 CORR. TO 20/			60. REFRACTION BY S. OX BY S. OX		
61. NEAR VISION 20/16 CORR. TO 20/6 BY lens 20/16 CORR. TO 20/6 BY					
62. HETEROPHORIA (Specify distance) ES° EX° R. H. L. H. PRISM DIV. PRISM CONV. CT PC PD					
63. ACCOMMODATION RIGHT LEFT		64. COLOR VISION (Test used and result) AOC 1940 18/18		65. DEPTH PERCEPTION (Test used and score) UNCORRECTED CORRECTED	
66. FIELD OF VISION		67. NIGHT VISION (Test used and score)		68. RED LENS TEST	
69. INTRAOCULAR TENSION		70. HEARING RIGHT WV /15 SV /15 LEFT WV 15 /15 SV 15 /15		71. AUDIOMETER 250 500 1000 2000 3000 4000 6000 8000 256 512 1024 2048 2896 4096 6144 8192 RIGHT LEFT	
72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)					
73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY					

(Use additional sheets if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)

76. A. PHYSICAL PROFILE

P	U	L	H	E	S

77. EXAMINEE (Check)

A. ☒ IS QUALIFIED FOR
B. ☐ IS NOT QUALIFIED FOR

B. PHYSICAL CATEGORY

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER

A	B	C	E

79. TYPED OR PRINTED NAME OF PHYSICIAN

SIGNATURE

/s/ Van C. Tipton

80. TYPED OR PRINTED NAME OF PHYSICIAN

SIGNATURE

81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)

SIGNATURE

/s/ J. B. Ferris

82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY

SIGNATURE

NUMBER OF ATTACHED SHEETS

PATIENT'S LAST NAME — FIRST NAME — MIDDLE NAME

REGISTER NO.

WARD NO.

Staff Clinic

033

AGE

SEX

(Check one)

☐ BEDSIDE, WHEELCHAIR,
OR STRETCHER☐ BED
PATIENT☐ AMBULATORY

ROSEN, ALEX FBI

EXAMINATION REQUESTED

REQUESTED BY

DATE OF REQUEST

(Above space for mechanical imprinting, if used)

PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS, AND PROVISIONAL DIAGNOSIS

FILM NO.

10242-60

DATE OF REPORT

RADIOGRAPHIC REPORT

4/27/60 CHEST: Examination and a review of previous films demonstrates no interval change when comparison is made with films of April, 1959. Calcification is noted within nodes of the left hilum and there are two well defined calcific densities in the left lateral mid-lung field which are felt to be indicative of previous inflammatory of granulomatous disease with calcification. DEM;egc 033

Department of Radiology
U. S. Naval Hospital
National Naval Medical Center
Bethesda 14, Maryland

/s/ M.

D. E. Mac carty

LT MC USN

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

Standard Form 519A (Rev. Aug. 1954)
Promulgated by Bureau of the Budget
Circular A-32 (Rev.)

RADIOGRAPHIC REPORT

NAME OF HOSPITAL OR OTHER MEDICAL FACILITY

CLINICAL RECORD						ELECTROCARDIOGRAPHIC RECORD				PREVIOUS ECG <input type="checkbox"/> YES <input type="checkbox"/> NO	
CLINICAL IMPRESSION						MEDICATION				<input type="checkbox"/> EMERGENCY <input type="checkbox"/> BEDSIDE <input type="checkbox"/> ROUTINE <input type="checkbox"/> AMBULANT	
AGE 53	SEX	RACE	HEIGHT 72"	WEIGHT 178	B. P.	SIGNATURE OF WARD PHYSICIAN				DATE 4/27/60 @1130	
RHYTHM Sinus						AXIS DEVIATION (QRS) -30				RATES AURIC. VENT. 70	
INTERVALS PR .16 QRS .08 QT						P WAVES Normal					
QRS COMPLEXES											
RS-T SEGMENT						T WAVES					
UNIPOLAR EXTREMITY LEADS (Specify)											

PRECORDIAL LEADS (Specify)

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

1. Left axis deviation
2. Otherwise within normal limits
3. No significant change since 4/28/59

(Continue on reverse)

NO. ECG 18432	SIGNATURE G. I. SHUGOLL mjc	TITLE LT MC USN	DATE 55-60
PATIENT'S IDENTIFICATION (For typed or written entries give: Name—last, first, middle; grade; date; hospital or medical facility)		REGISTER NO.	WARD NO. St Cl

ROSFN, ALEX FBI
USNH BETHESDA, MD.

ELECTROCARDIOGRAPHIC RECORD
Standard Form 520
(Attach tracings to S. F. 507)

**Attachment to Standard Form 88, Report of Medical Examination
For Information and Guidance of Medical Examiner**

Name of Examinee
(Type or print)

ROSEN
Last

ALEX
First

(NMN)
Middle

The following portions of the attached examination report form need not be completed:

2	62
3	65
4	67
9	68
11	69
14	72
17	76

46. Is necessary unless facilities for affording same are not readily available.
48. Not required unless examinee is over 35 years of age or examination indicates such is desirable.
49. Is necessary unless facilities for affording same are not readily available.
71. Audiometer examinations should be afforded whenever possible.

For All Examinees, Whether Clerical or Special Agent Applicants or Employees:

The medical examiner should answer the following question:

Examinee ☒ is ☐ is not qualified for strenuous physical exertion.

To be Answered in the Case of All Male Employees and Male Applicants:

1. Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

☒ No ☐ Yes If "yes" please specify defects. _____

2. Does examinee have any defects prohibiting safe operation of motor vehicles?

☒ No ☐ Yes If "yes" please specify defects. _____

If examinee has defective vision, should he wear corrective glasses while operating a motor vehicle? ☐ Yes ☒ No

67 - 7 - 6

Desirable Weight Ranges for Males

Height	Small Frame	Medium Frame	Large Frame
5' 4"	117 - 125	123 - 135	131 - 148
5' 5"	120 - 129	126 - 139	134 - 152
5' 6"	124 - 133	130 - 143	138 - 157
5' 7"	128 - 137	134 - 148	143 - 162
5' 8"	132 - 141	138 - 152	147 - 166
5' 9"	136 - 146	142 - 156	151 - 170
5' 10"	140 - 150	146 - 161	155 - 175
5' 11"	144 - 154	150 - 166	160 - 180
6'	148 - 158	154 - 171	164 - 185
6' 1"	152 - 163	158 - 176	169 - 190
6' 2"	156 - 167	163 - 181	174 - 195
6' 3"	160 - 171	168 - 186	178 - 200
6' 4"	169 - 180	178 - 196	188 - 210
6' 5"	174 - 185	182 - 202	192 - 216

3. Examinee's frame is ☐ small ☐ medium ☒ large

4. Considering above weight table, the examinee's frame, and other individual physical characteristics, I consider his present weight ☒ Satisfactory ☐ Excessive ☐ Deficient

5. Under proper medical supervision, examinee should ☐ lose _____ pounds
☐ gain _____ pounds

Remarks: _____

Wan C. Zipton
 (Signature of Medical Examiner)

27 April 1960
 (Date)

July 22, 1960

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am taking this opportunity to commend you and, through you, the personnel of the Investigative Division who ably assisted in connection with the investigation of a bombing matter involving [REDACTED] and others.

b6

The outstanding success achieved in this delicate case can be ascribed in no small degree to the enthusiastic, competent and devoted services of all and to your exceptional leadership and judgment in directing the entire matter. This was an extremely important case and I am very pleased with the superb manner in which it was handled. I want to express my sincere appreciation to you and ask you to convey my thanks to your associates for a job well done.

Sincerely yours,

J. Edgar Hoover

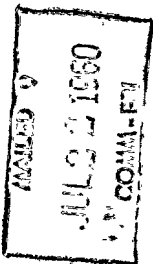
AFH:rd
(3)

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

Jul 27 11 51 AM '60

READING ROOM
B
1427



SPD
Goode

**Attachment to Standard Form 88, Report of Medical Examination
For Information and Guidance of Medical Examiner**

Name of Examinee
(Type or print)

Rasen

A.

Last

First

Middle

Division Investigative

The following portions of the attached examination report form need not be completed:

2	62
3	65
4	67
9	68
11	69
14	72
17	76

46. Is necessary unless facilities for affording same are not readily available.
48. Not required unless examinee is over 35 years of age or examination indicates such is desirable.
49. Is necessary unless facilities for affording same are not readily available.
71. Audiometer examinations should be afforded whenever possible.

For All Examinees, Whether Clerical or Special Agent Applicants or Employees:

The medical examiner should answer the following question:

Examinee ☐ is ☐ is not qualified for strenuous physical exertion.

To be Answered in the Case of All Male Employees and Male Applicants:

1. Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

☐ No ☐ Yes If "yes" please specify defects. _____

2. Does examinee have any defects prohibiting safe operation of motor vehicles?

☐ No ☐ Yes If "yes" please specify defects. _____

If examinee has defective vision, should he wear corrective glasses while operating a motor vehicle? ☐ Yes ☐ No

36
67-NO
8
CORRECTION

SPD

Desirable Weight Ranges for Males

Height	Small Frame	Medium Frame	Large Frame
5' 4"	117 - 125	123 - 135	131 - 148
5' 5"	120 - 129	126 - 139	134 - 152
5' 6"	124 - 133	130 - 143	138 - 157
5' 7"	128 - 137	134 - 148	143 - 162
5' 8"	132 - 141	138 - 152	147 - 166
5' 9"	136 - 146	142 - 156	151 - 170
5' 10"	140 - 150	146 - 161	155 - 175
5' 11"	144 - 154	150 - 166	160 - 180
6'	148 - 158	154 - 171	164 - 185
6' 1"	152 - 163	158 - 176	169 - 190
6' 2"	156 - 167	163 - 181	174 - 195
6' 3"	160 - 171	168 - 186	178 - 200
6' 4"	169 - 180	178 - 196	188 - 210
6' 5"	174 - 185	182 - 202	192 - 216

3. Examinee's frame is ☐ small ☐ medium ☐ large
4. Considering above weight table, the examinee's frame, and other individual physical characteristics, I consider his present weight ☐ Satisfactory ☐ Excessive ☐ Deficient
5. Under proper medical supervision, examinee should ☐ lose _____ pounds
☐ gain _____ pounds

Remarks: weight 177

M. Pauline Wohlfarth R.N.
 (Signature of Medical Examiner)

AUG 4 1960

(Date)

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8.2.60

I certify that I have received the following Government property for official use:

~~returned~~

TIME AND ATTENDANCE MANUAL # 281
issued July 1, 1960

RETURNED

Old TIME AND ATTENDANCE MANUAL # 276
issued July 1, 1955

FILE

3-M

PER jwm

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,

(Written
Signature)

Alex Rosen

(Typed
Signature)

ALEX ROSEN

AUG 11 1960

MEDICAL REPORTS

Personnel File No. ROSEN, ALEX -

Personnel File No. 67-27215



3/1/68

CLINICAL RECORD						ELECTROCARDIOGRAPHIC RECORD		PREVIOUS ECG	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> YES	<input type="checkbox"/> NO
								<input type="checkbox"/> EMERGENCY	<input type="checkbox"/> BEDSIDE
								<input type="checkbox"/> ROUTINE	<input type="checkbox"/> AMBULANT
AGE	SEX	RACE	HEIGHT	WEIGHT	B. P.	SIGNATURE OF WARD PHYSICIAN			DATE
53	M		72"	175		DR. JOHNSTON			4-28-59 1100
RHYTHM						AXIS DEVIATION (QRS)		RATES	
Normal sinus rhythm						-35°		AURIC. VENT 70	
INTERVALS						P WAVES			
PR .16 QRS .09 QT									
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			
UNIPOLAR EXTREMITY LEADS (Specify)									

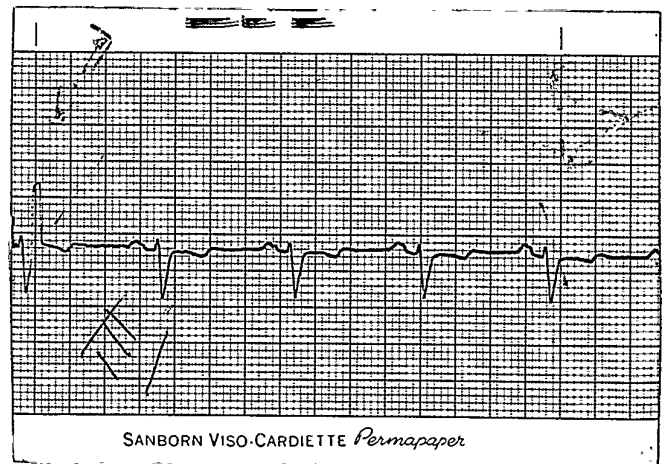
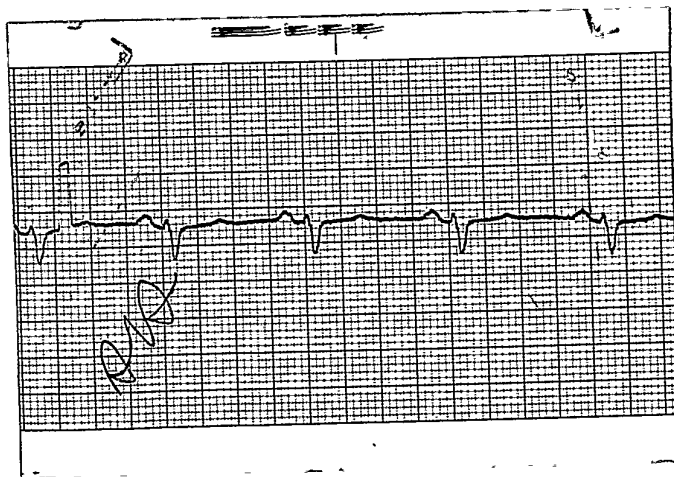
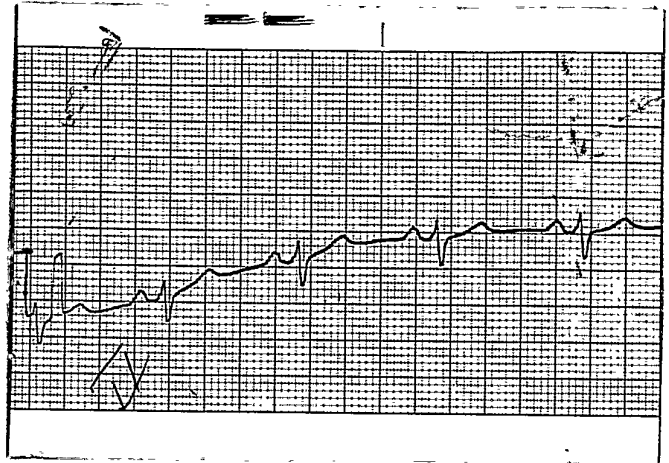
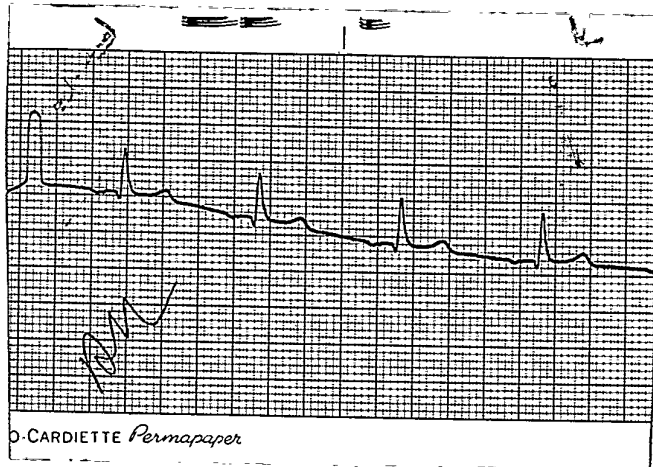
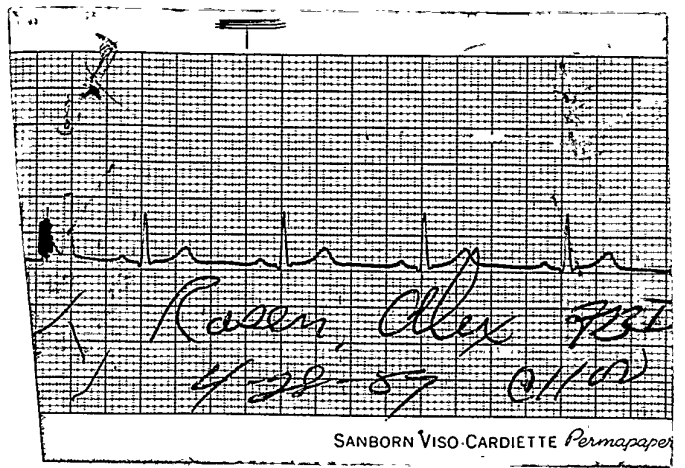
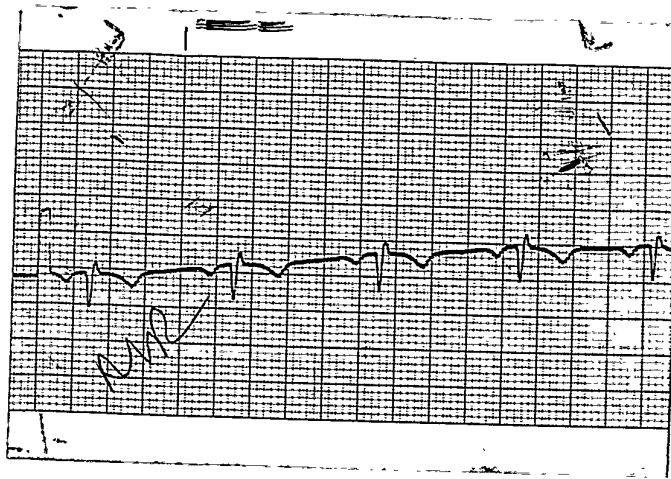
PRECORDIAL LEADS (Specify)

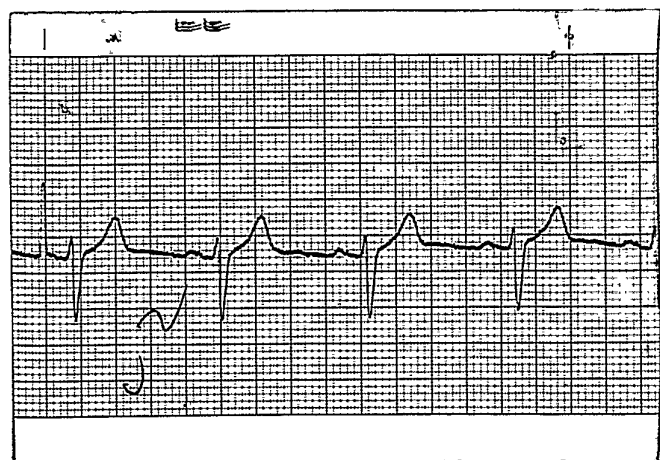
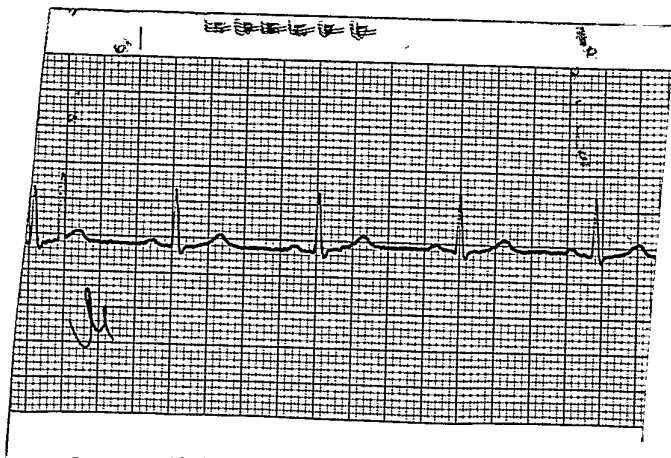
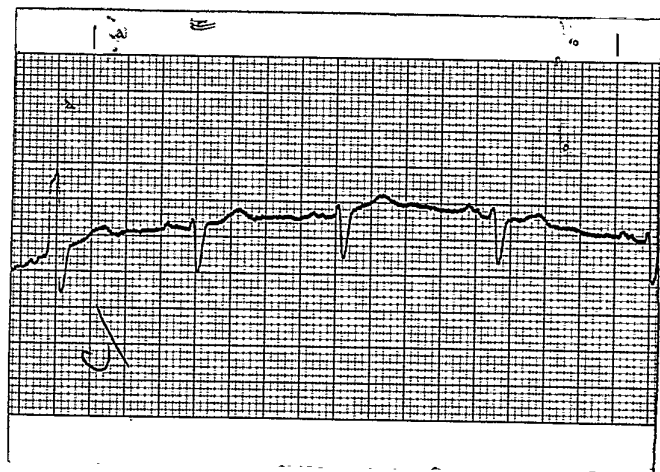
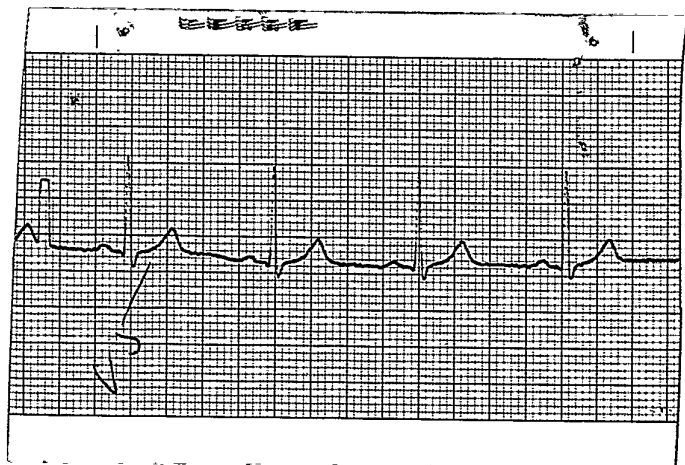
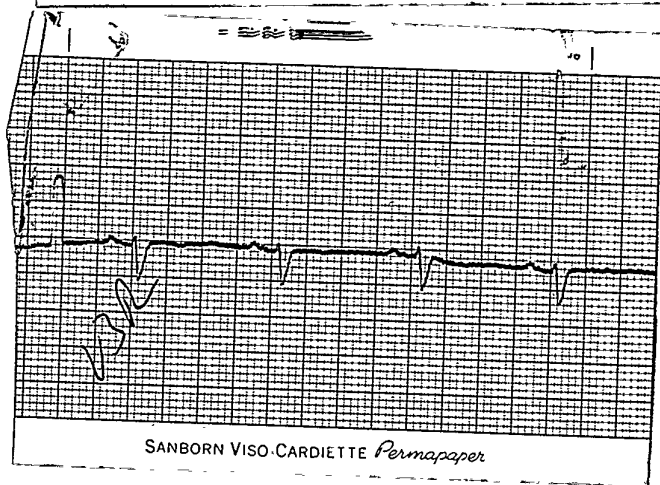
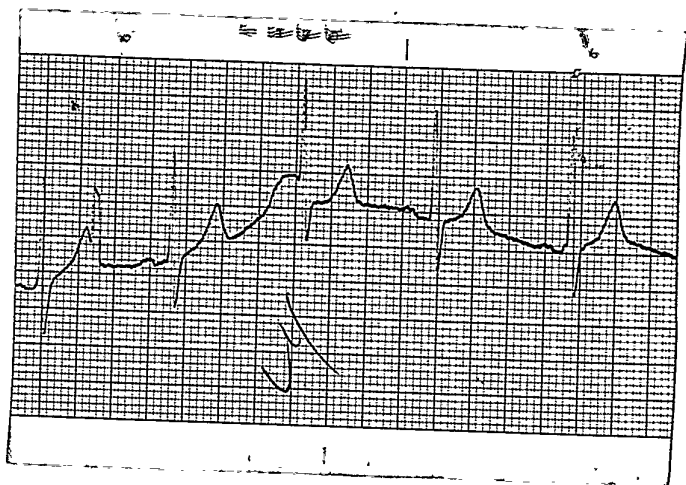
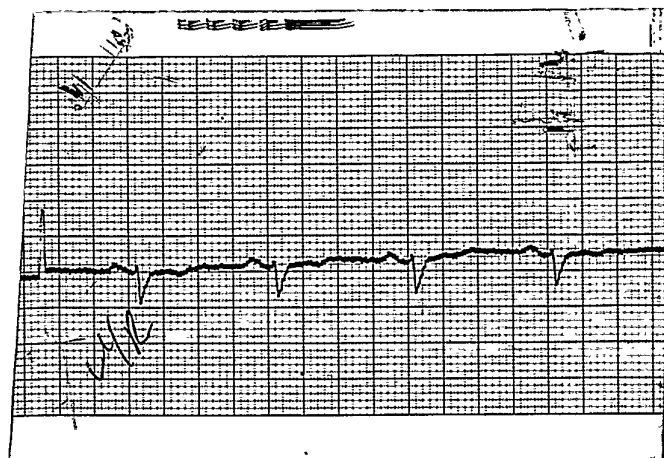
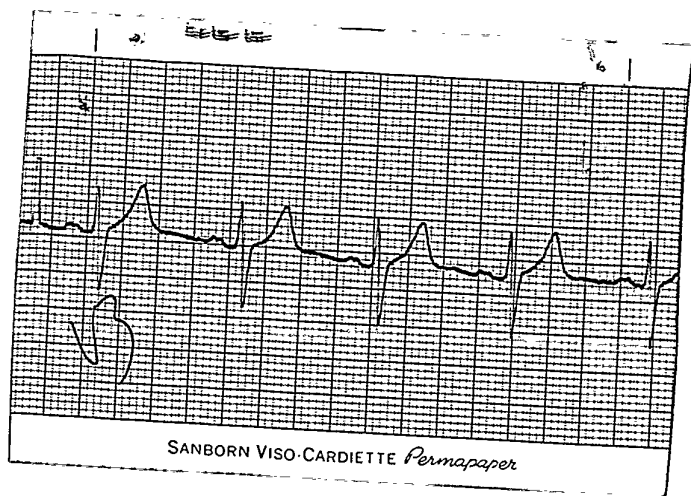
SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

1. Within normal limits.
2. No significant change since 4-24-58,

NO.		SIGNATURE		TITLE		DATE	
ECG 18432		R. MUTH		/mg LT MC USN		4-28-59	
PATIENT'S IDENTIFICATION (For typed or written entries give: Name—last, first, middle; grade; date; hospital or medical facility)						REGISTER NO.	WARD NO.
ROSEN, ALEX FBI						STAFF CLINIC	
USNH NNMC BETHESDA MD.						ELECTROCARDIOGRAPHIC RECORD	

Standard Form 520
(Attach tracings to S. F. 507)





CLINICAL RECORD				ELECTROCARDIOGRAPHIC RECORD				PREVIOUS ECG	
CLINICAL IMPRESSION				MEDICATION				<input type="checkbox"/> YES	<input type="checkbox"/> NO
								<input type="checkbox"/> EMERGENCY	<input type="checkbox"/> BEDSIDE
								<input type="checkbox"/> ROUTINE	<input type="checkbox"/> AMBULANT
AGE	SEX	RACE	HEIGHT	WEIGHT	B P	SIGNATURE OF WARD PHYSICIAN			DATE
52			72"	180		Dr. Johnston			4/24/58@1107
RHYTHM						AXIS DEVIATION (QRS)		RATES	
Normal sinus rhythm						-30°		AURIC.	VENT. 80
INTERVALS						T WAVES			
PR	.14	QRS	.08	QT	.36				
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			

UNIPOLAR EXTREMITY LEADS (Specify)

PRECORDIAL LEADS (Specify)

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

1. Within normal limits
2. No significant change since 4/24/58

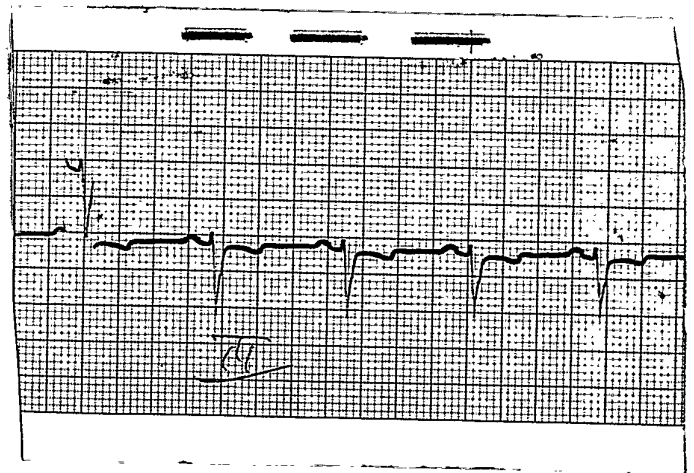
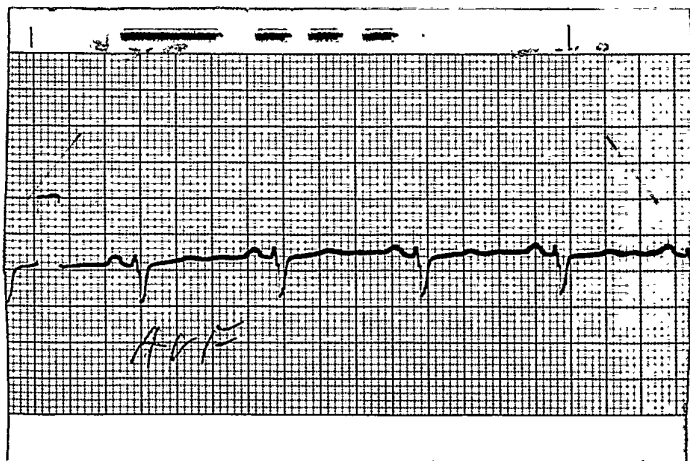
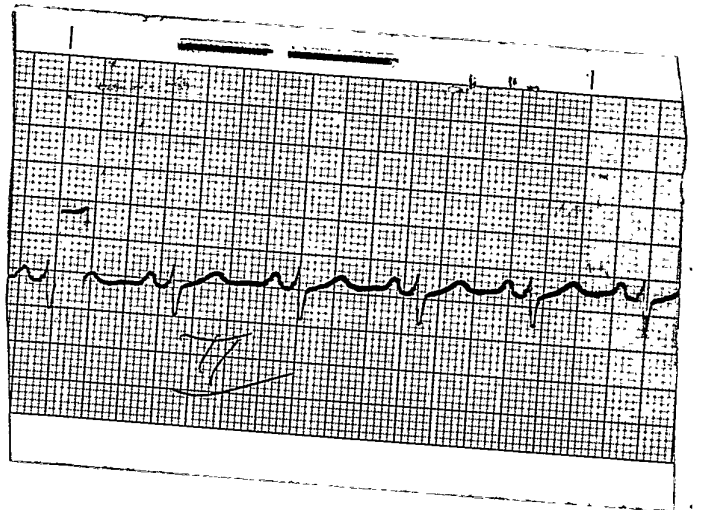
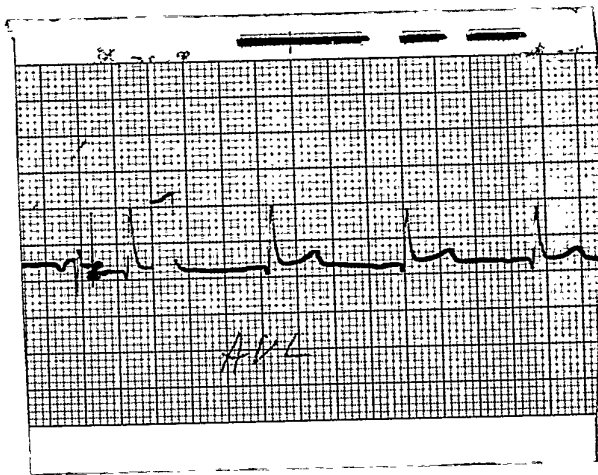
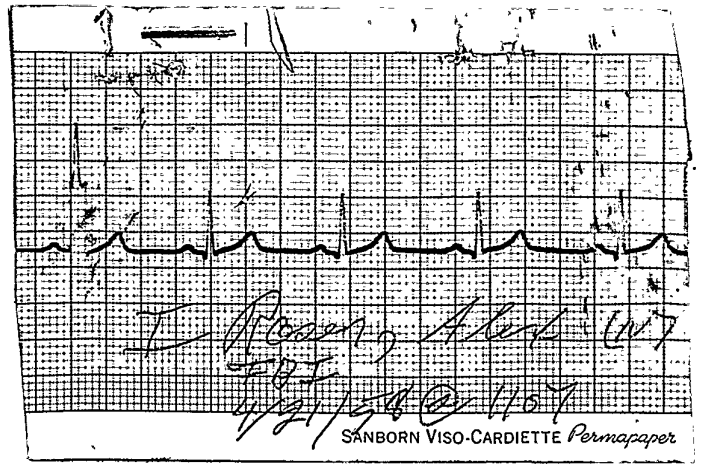
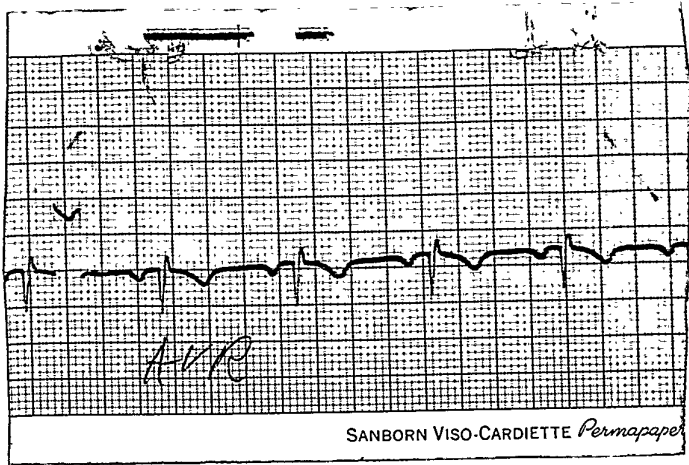
NO.		SIGNATURE		TITLE		DATE			
ECG 18432		C.U. SHILLING/jwm		LT MC USN		4/24/58			
PATIENT'S IDENTIFICATION (For typed or written ent as given. Name—last, first, middle; grade; date, hospital or medical center)						REGISTER NO.		WARD NO.	
								St. Clinic	

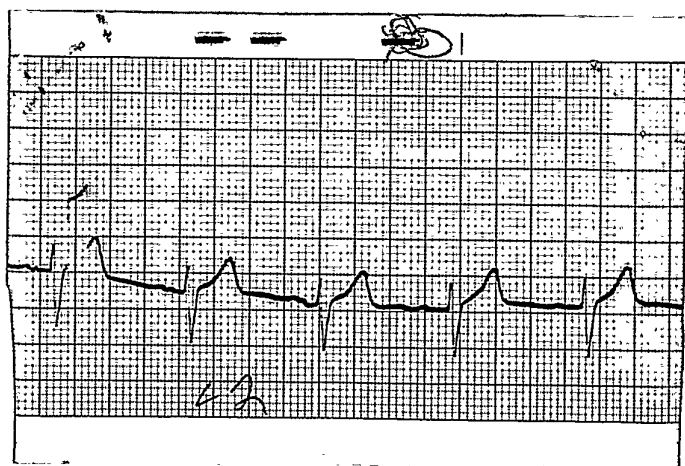
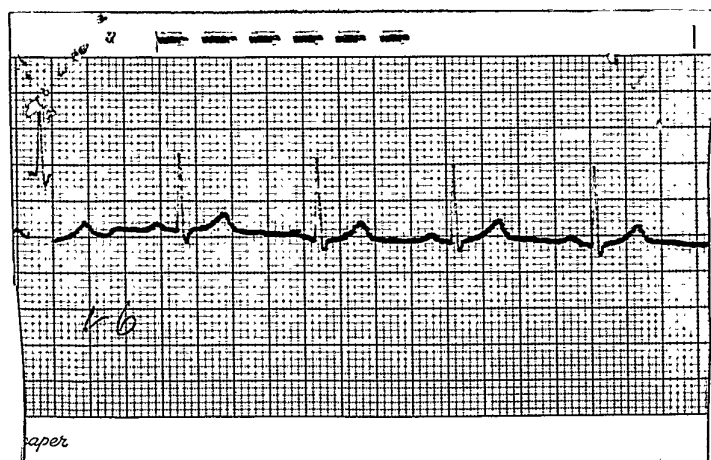
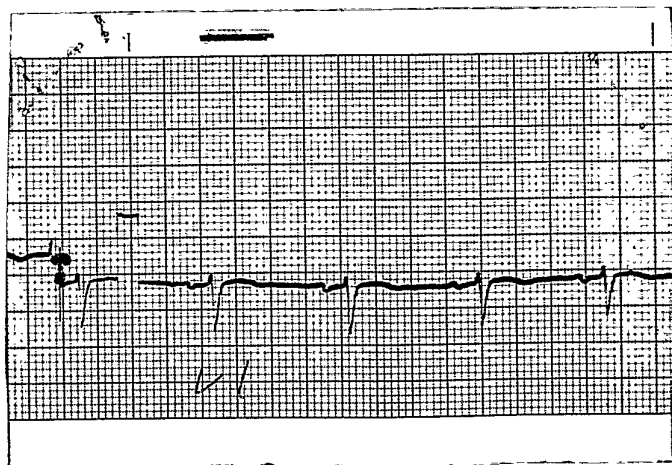
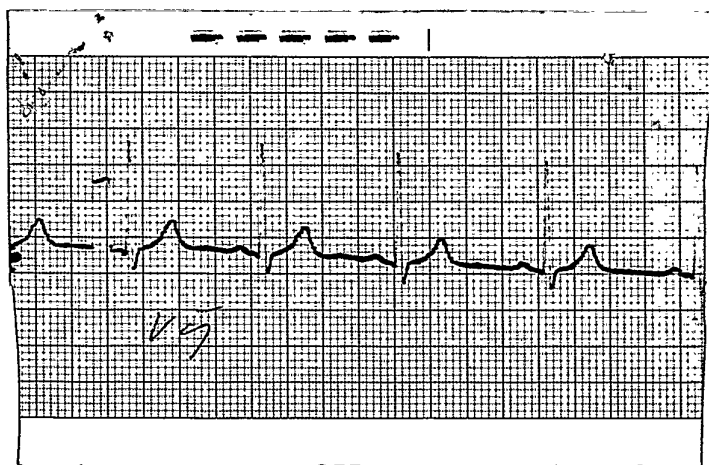
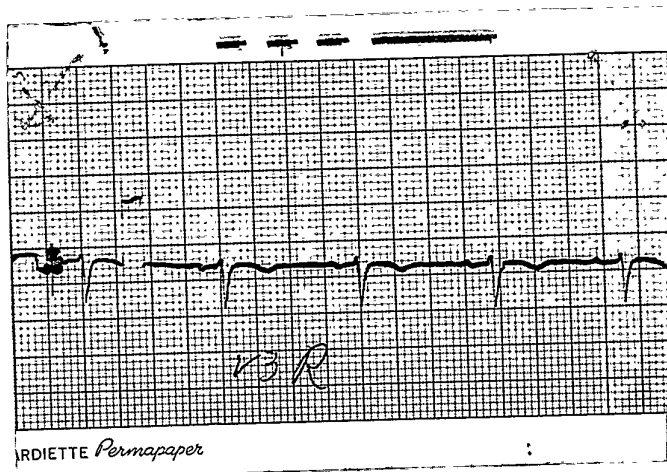
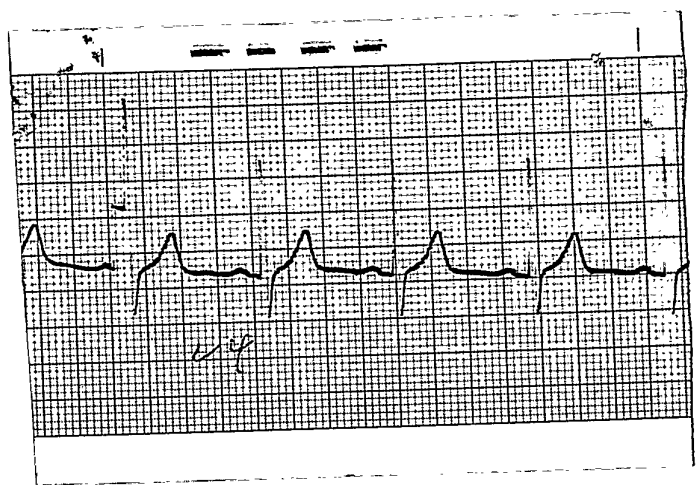
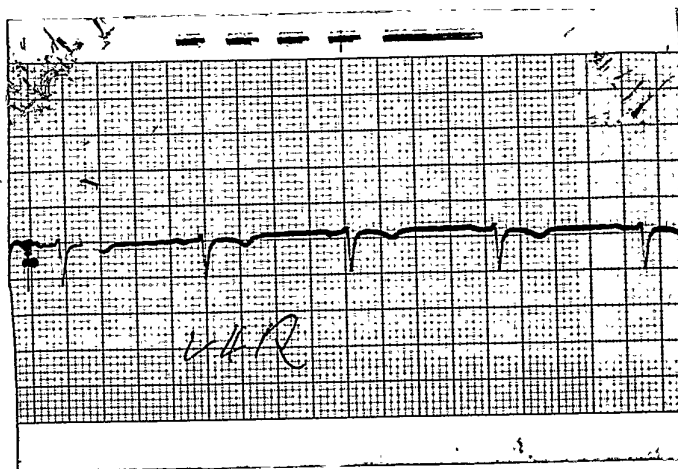
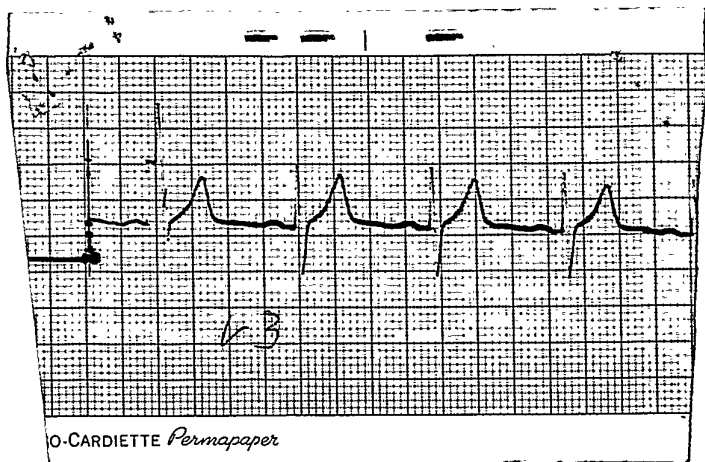
ROSEN, ALEX FBI
NNMC USNH, BETHESDA, MARYLAND

ELECTROCARDIOGRAPHIC RECORD

Standard Form 520

(Attach tracings to S F 507)





CLINICAL RECORD						ELECTROCARDIOGRAPHIC RECORD		PREVIOUS ECG	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> YES <input type="checkbox"/> NO	
								<input type="checkbox"/> EMERGENCY <input type="checkbox"/> BEDSIDE <input type="checkbox"/> ROUTINE <input type="checkbox"/> AMBULANT	
AGE 51	SEX M	RACE	HEIGHT 72"	WEIGHT 180	B. P.	SIGNATURE OF WARD PHYSICIAN Dr. Johnston			DATE 4-24-57@1045
RHYTHM Normal sinus						AXIS DEVIATION (QRS) Left - 15°		RATES AURIC. VENT. 94	
INTERVALS PR .16 QRS .08 QT .32						P WAVES			
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			
UNIPOLAR EXTREMITY LEADS (Specify)									
Empty space for unipolar extremity leads									
PRECORDIAL LEADS (Specify)									

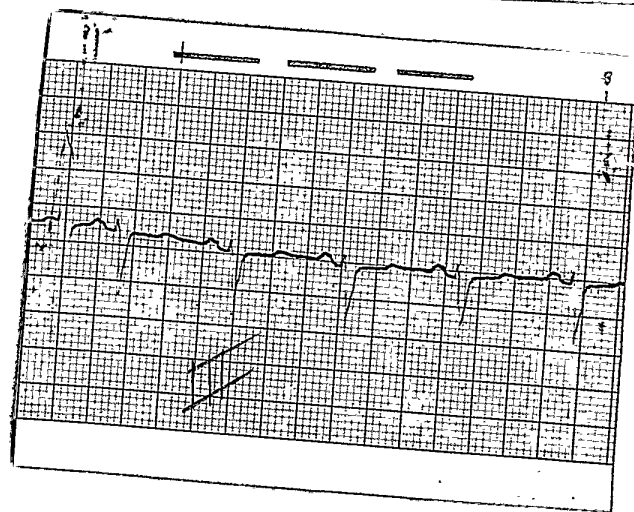
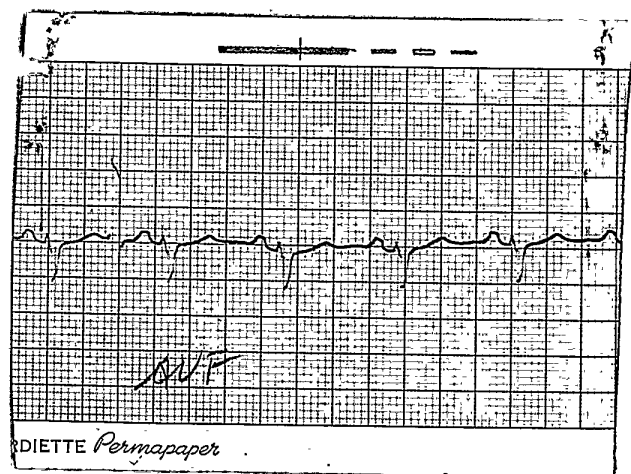
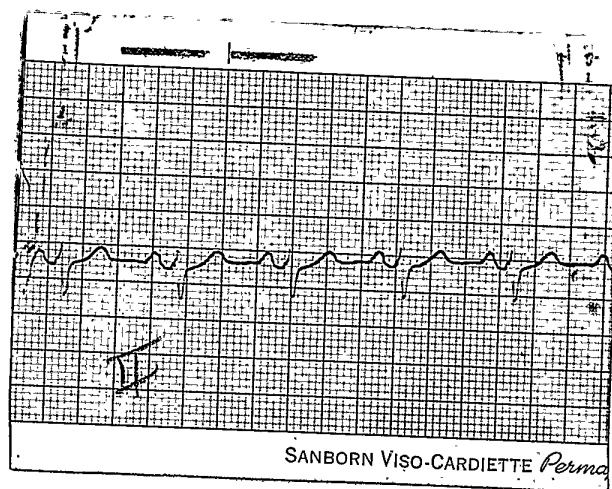
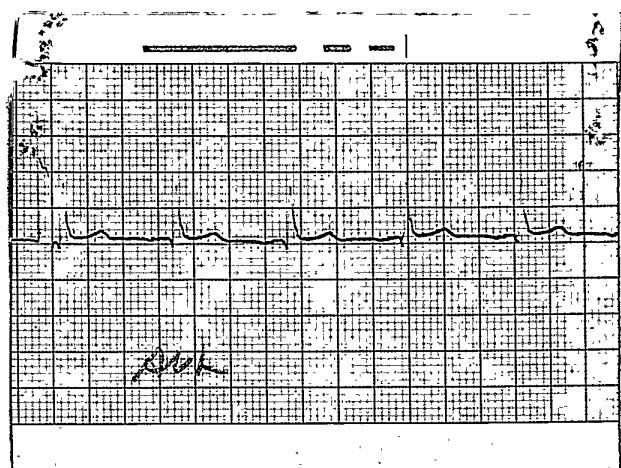
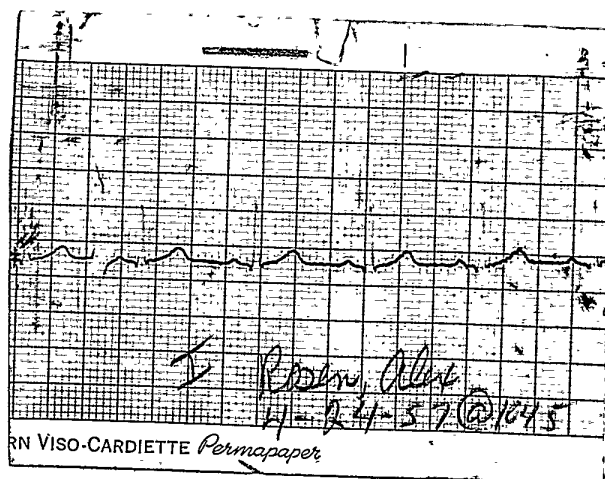
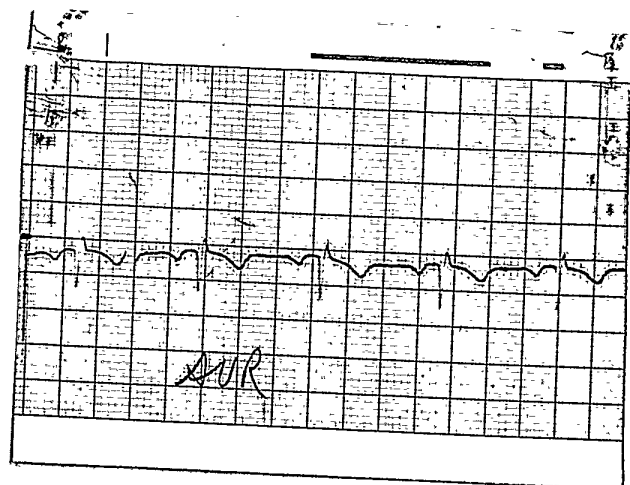
SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

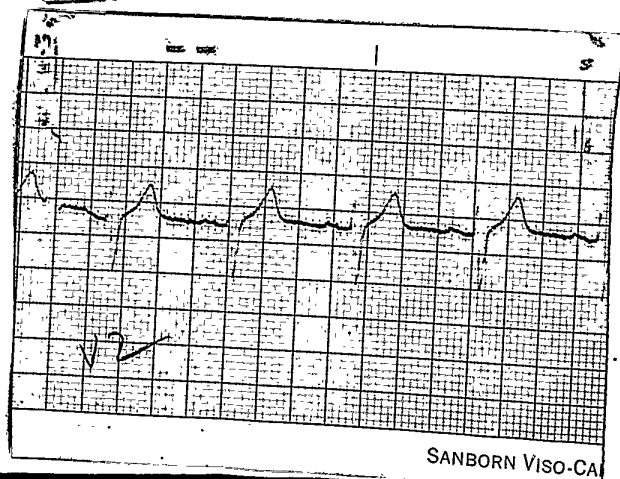
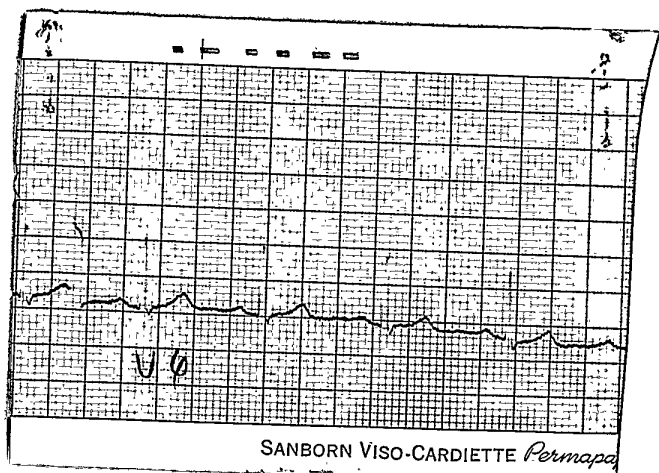
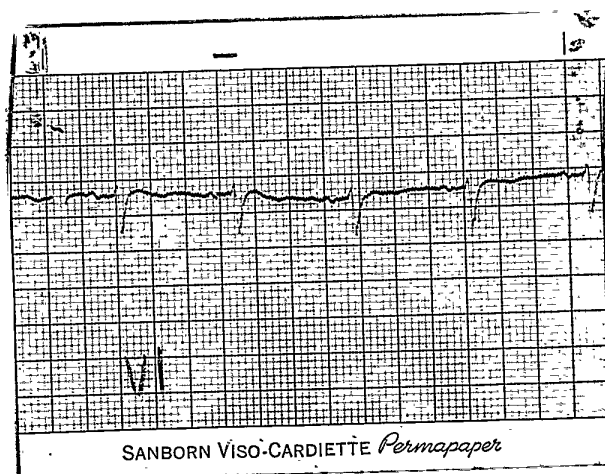
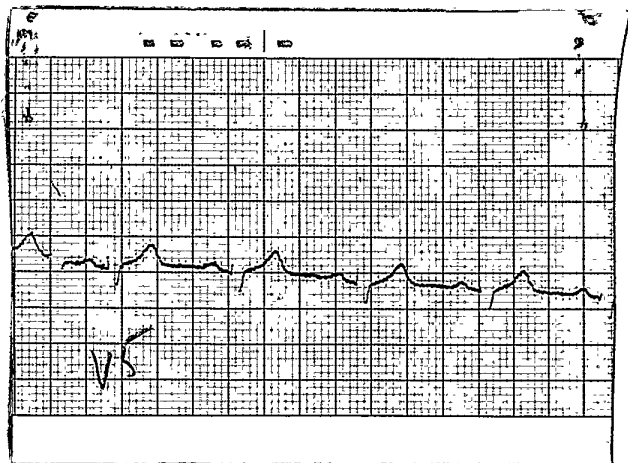
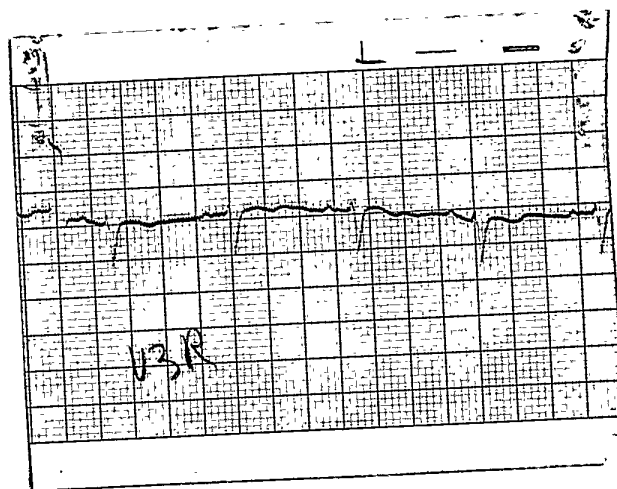
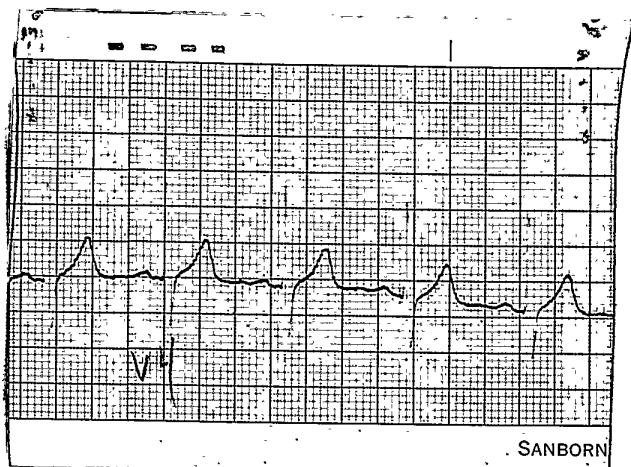
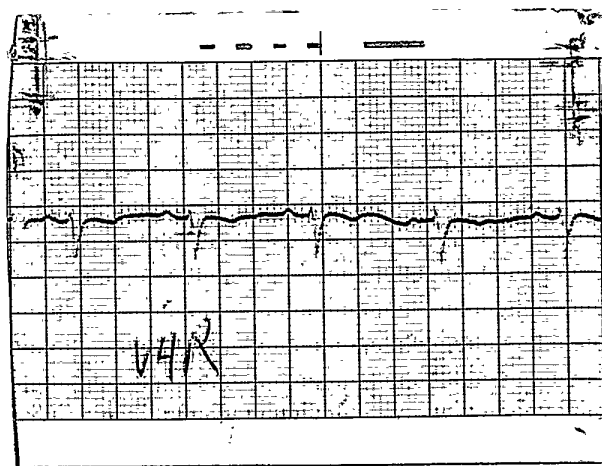
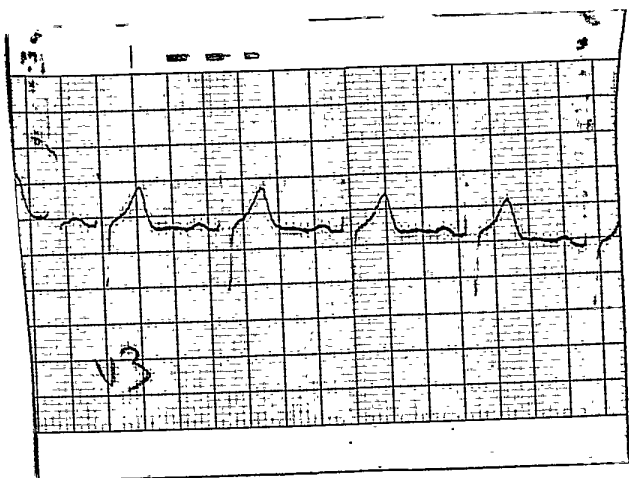
Within normal limits.

(Continue on reverse)

NO. ECG 18432		SIGNATURE W. B. Ingram/wzj		TITLE CDR MC USN		DATE 4-26-57	
PATIENT'S IDENTIFICATION (For typed or written entries give: Name—last, first, middle; grade; date; hospital or medical facility)						REGISTER NO.	
ROSEN, Alex FBI USNH Bethesda, Maryland						WARD NO. St. Clinic	

ELECTROCARDIOGRAPHIC RECORD
Standard Form 520
(Attach tracings to S. F. 507)





CLINICAL RECORD						ELECTROCARDIOGRAPHIC RECORD		PREVIOUS ECG	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> YES	<input type="checkbox"/> NO
								<input type="checkbox"/> EMERGENCY	<input type="checkbox"/> BEDSIDE
								<input type="checkbox"/> ROUTINE	<input type="checkbox"/> AMBULANT
AGE	SEX	RACE	HEIGHT	WEIGHT	B. P.	SIGNATURE OF WARD PHYSICIAN			DATE
50	M		72"	178		Dr. Johnston			4-2-56
RHYTHM						AXIS DEVIATION (QRS)		RATES	
Normal sinus						Left		AURIC. @ 1030	
INTERVALS						P WAVES		VENT. 75	
PR .17						QRS .08		QT .36	
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			
UNIPOLAR EXTREMITY LEADS (Specify)									

PRECORDIAL LEADS (Specify)

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

Within normal limits.

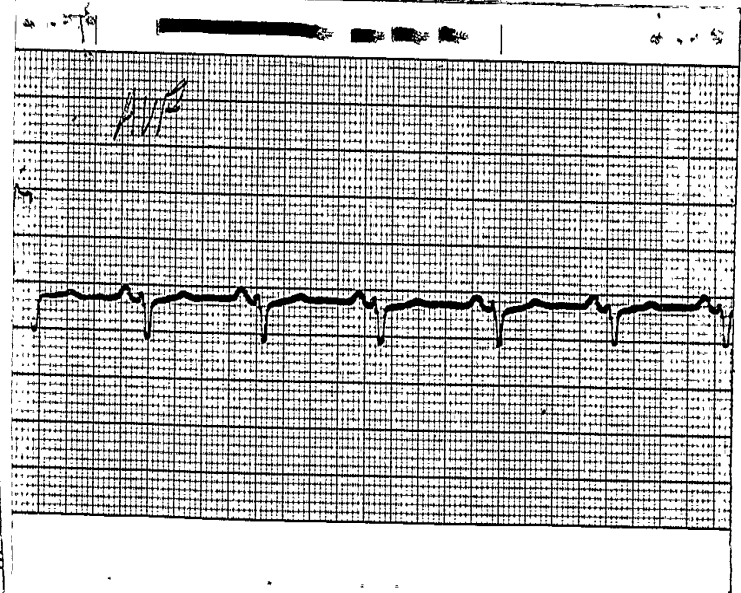
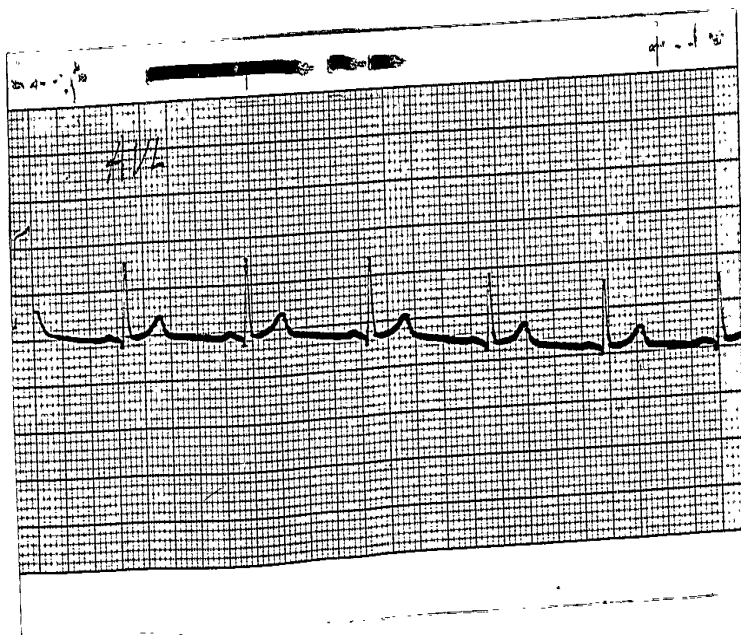
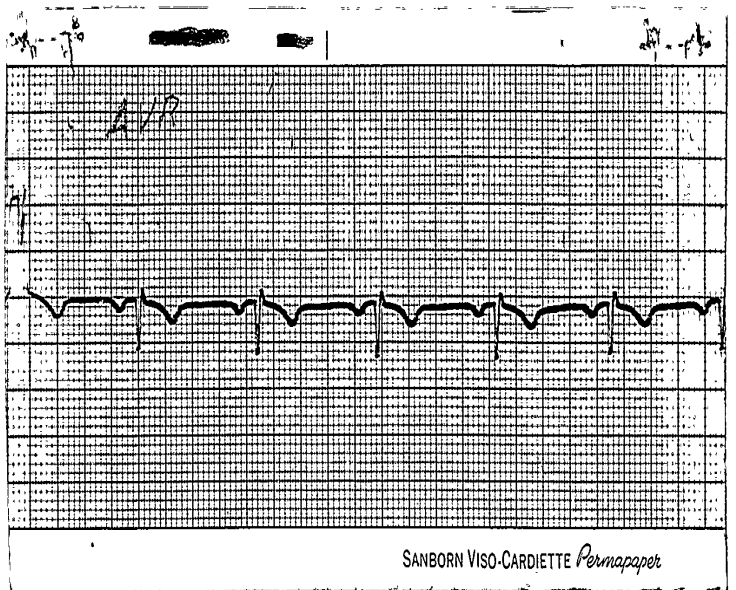
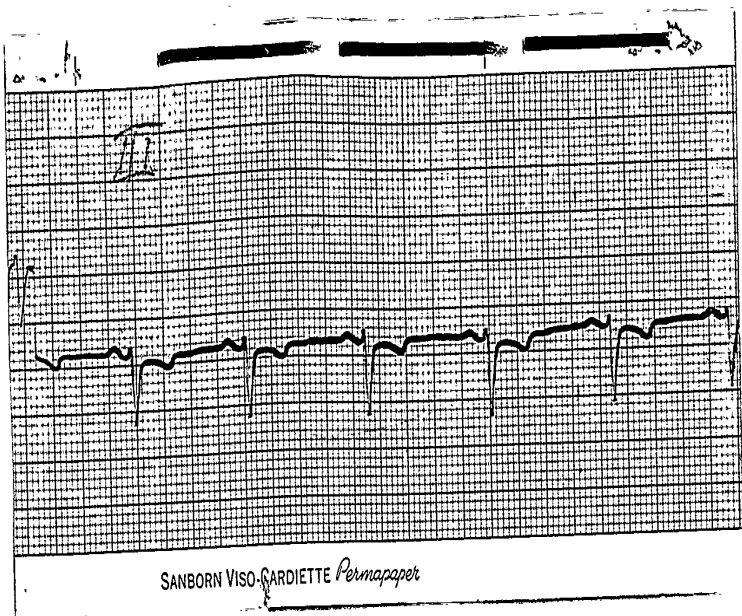
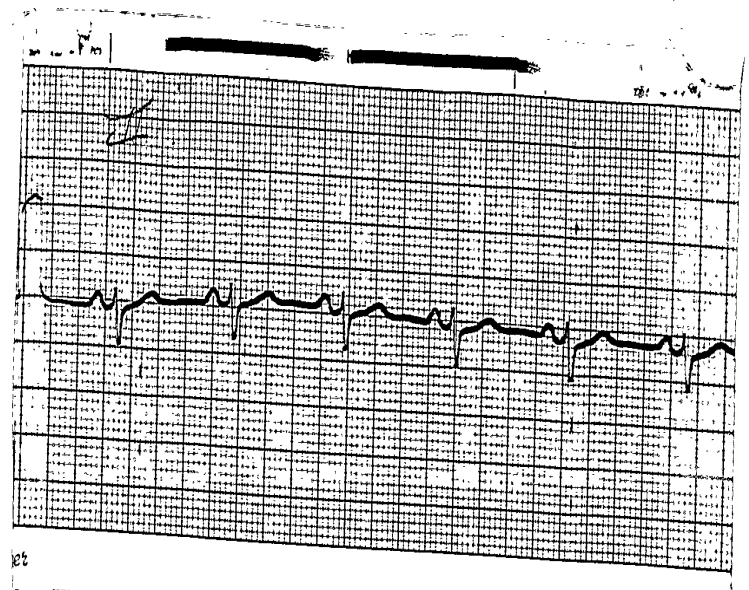
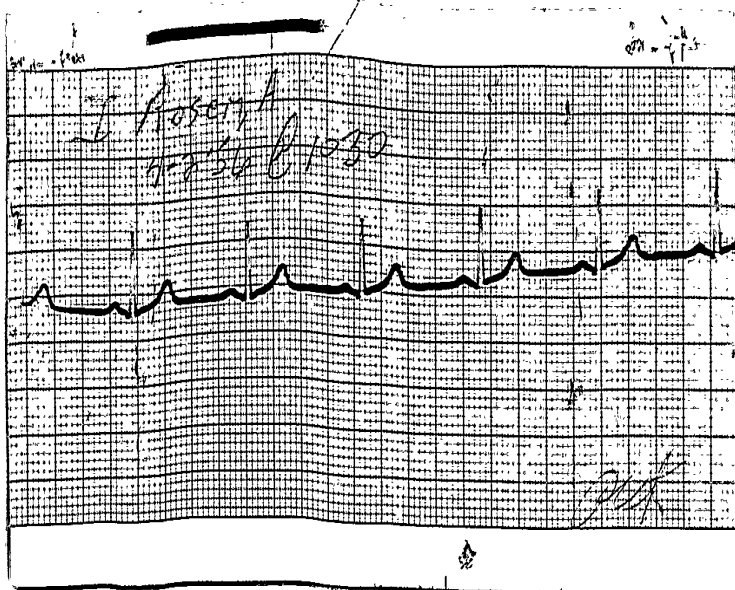
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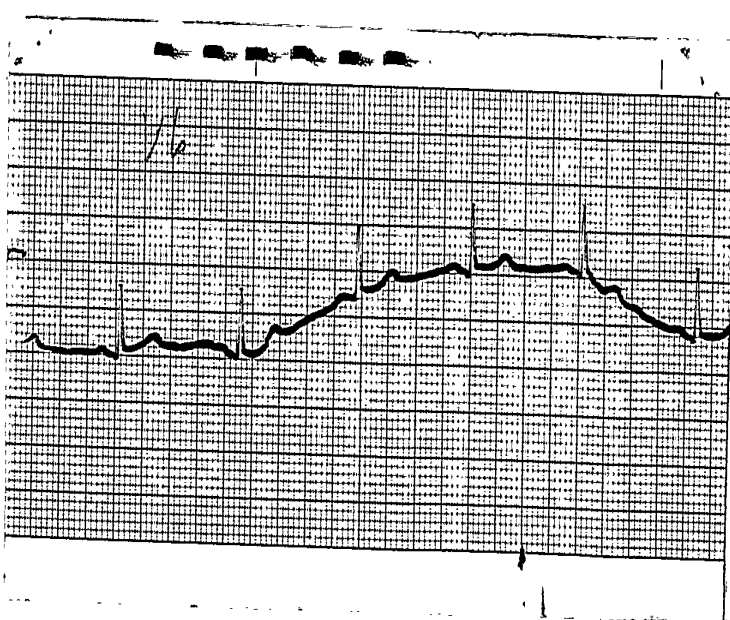
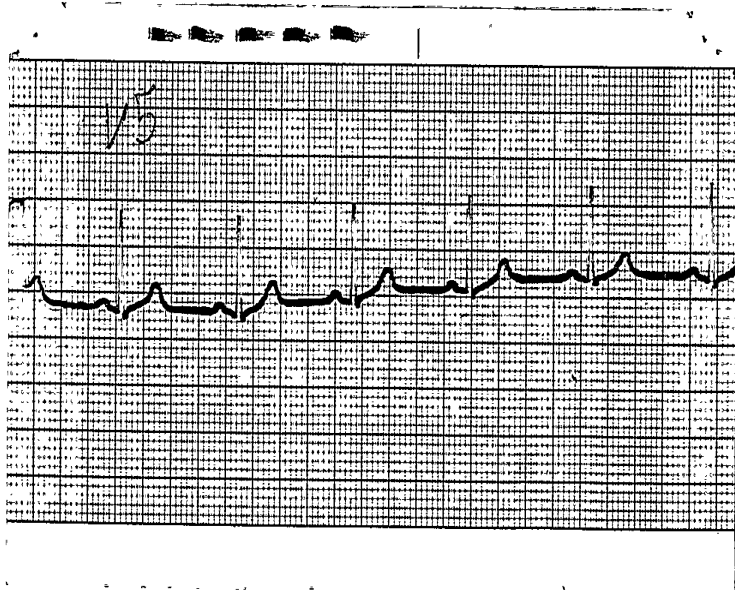
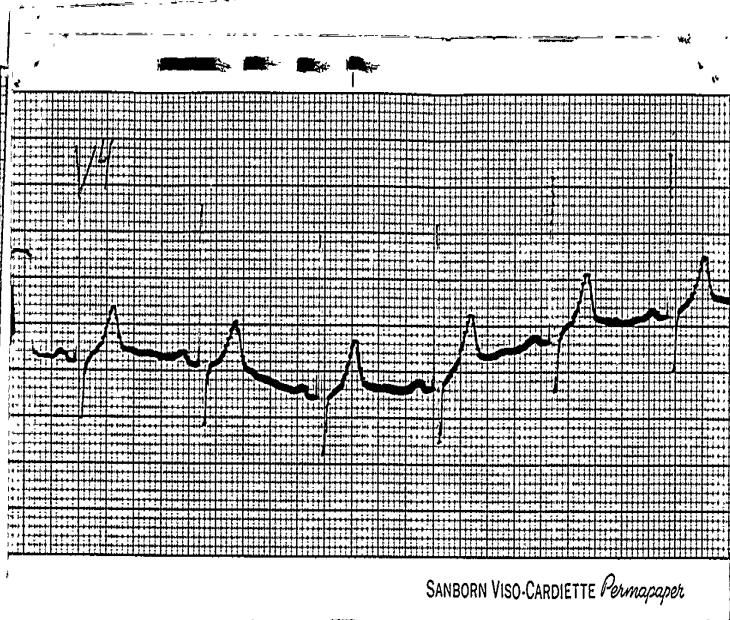
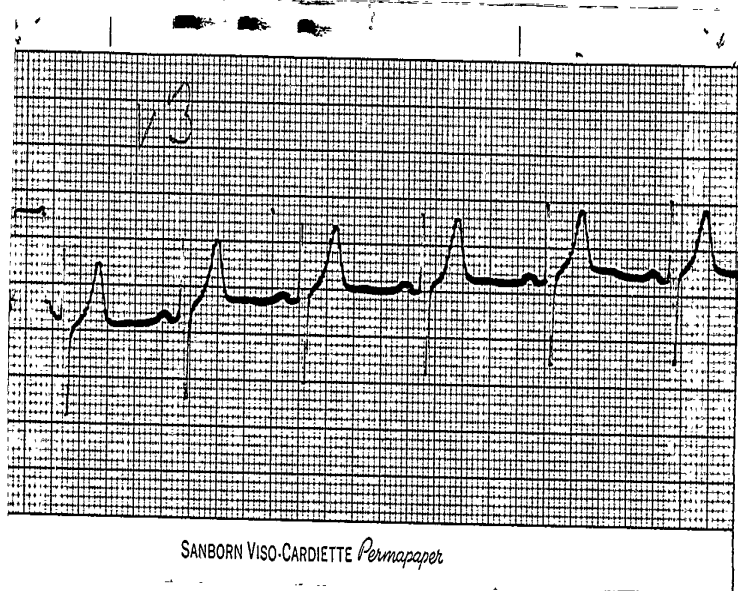
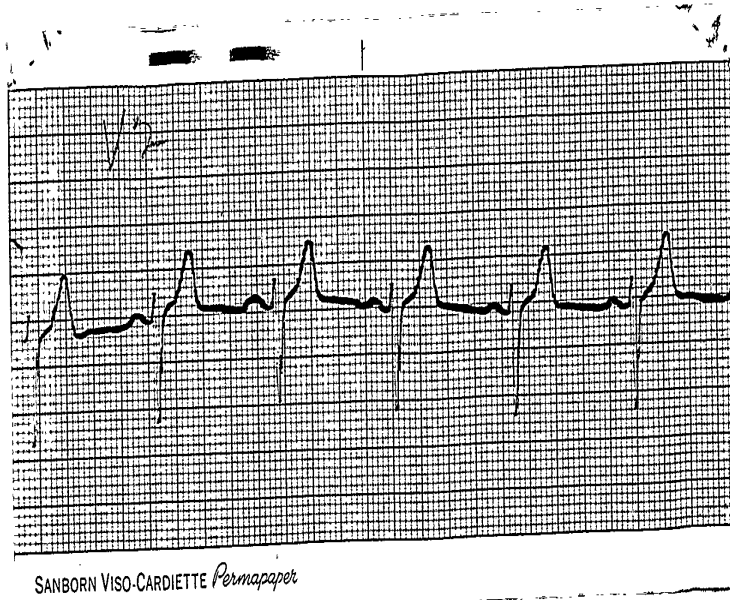
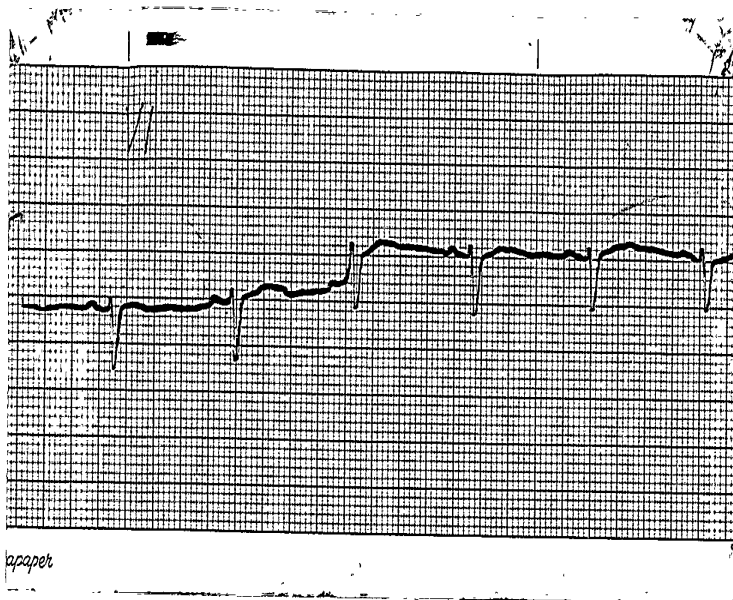
NO.	SIGNATURE	TITLE	DATE
ECG 18432	F. H. CARY/pt	LT MC USNR	4-3-56
PATIENT'S IDENTIFICATION (For typed or written entries give: Name—last, first, middle; grade; date; hospital or medical facility)		REGISTER NO.	WARD NO.
ROSEN, Alex F.B.I.			St. Clinic

USNH, BETHESDA, MD.

ELECTROCARDIOGRAPHIC RECORD
Standard Form 520
(Attach tracings to S. F. 507)

070P





CLINICAL RECORD						ELECTROCARDIOGRAPHIC RECORD		PREVIOUS ECG	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> YES	<input type="checkbox"/> NO
								<input type="checkbox"/> EMERGENCY	<input type="checkbox"/> BEDSIDE
								<input type="checkbox"/> ROUTINE	<input type="checkbox"/> AMBULANT
AGE	SEX	RACE	HEIGHT	WEIGHT	B. P.	SIGNATURE OF WARD PHYSICIAN			DATE
48	M		6'	178		Dr. Aspen			4-13-55
RHYTHM						AXIS DEVIATION (QRS)		RATES	
								AURIC. VENT.	
INTERVALS						P WAVES			
PR						QRS		QT	
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			
UNIPOLAR EXTREMITY LEADS (Specify)									

PRECORDIAL LEADS (Specify)

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

Normal electrocardiogram.
No change since 4-12-54.

(Continue on reverse)

NO.	SIGNATURE	TITLE	DATE
ECG 18432	H. A. PEARSON	LTJG MC USNR	4-15-55
PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME		REGISTER NO.	WARD NO.
ROSEN, Alex		F.B.I.	St. Clinic

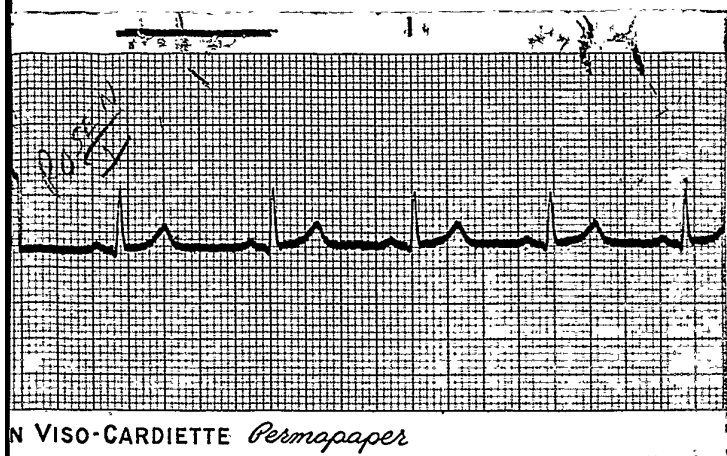
USNH, BETHESDA, MD.

(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

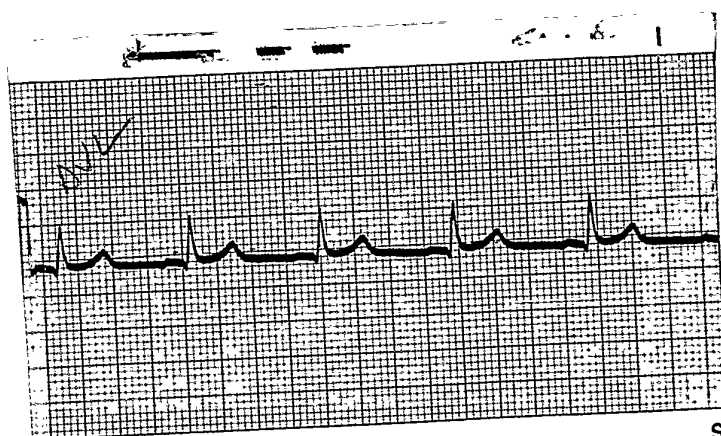
ELECTROCARDIOGRAPHIC RECORD

Standard Form 520

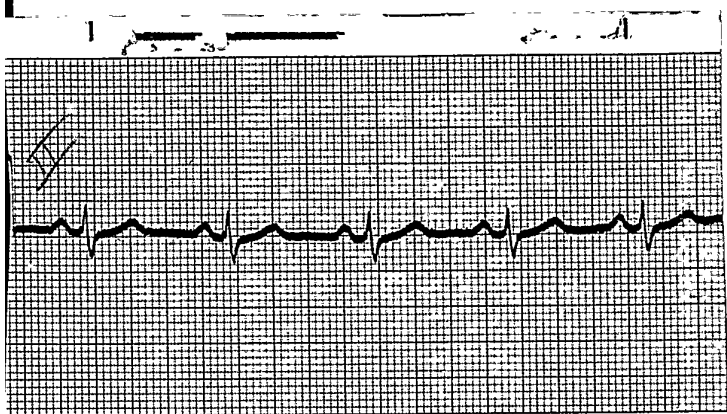
(Attach tracings to S. F. 507)



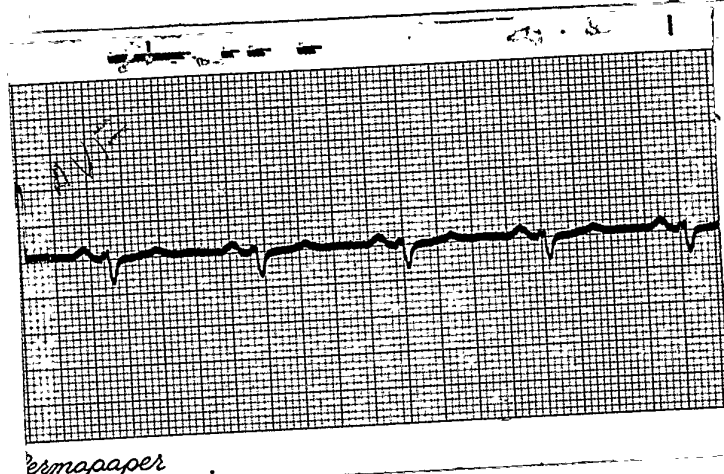
N VISO-CARDIETTE *Permapaper*



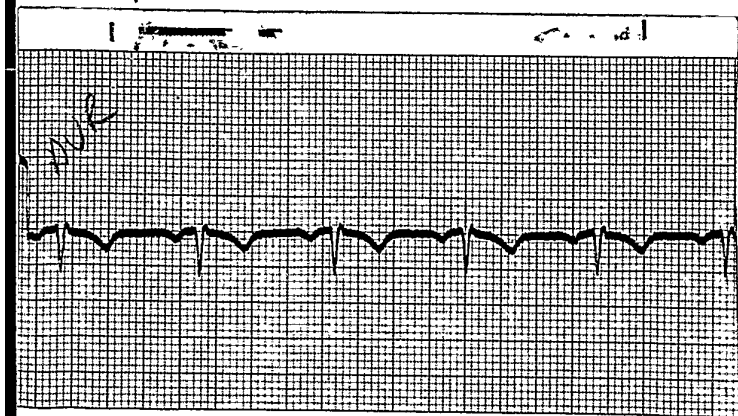
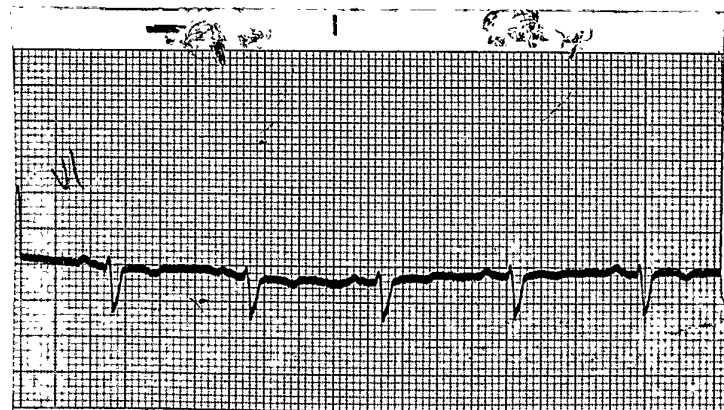
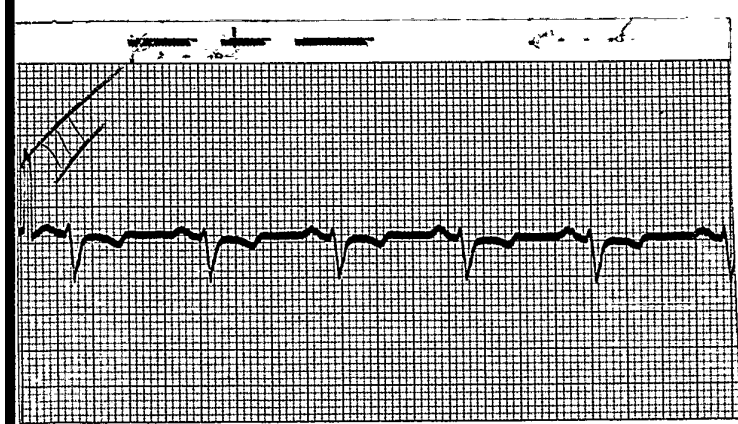
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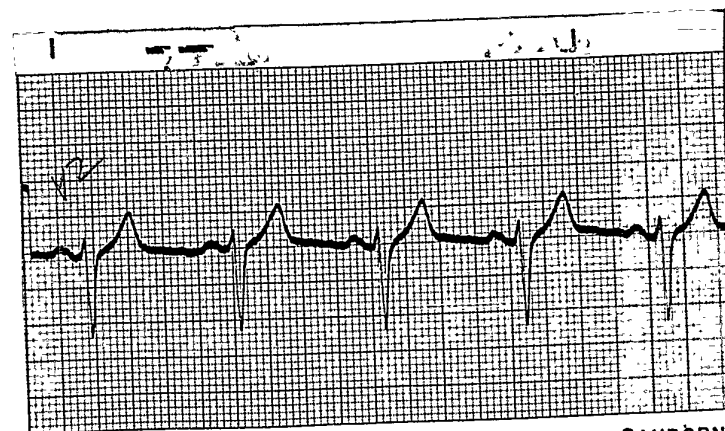
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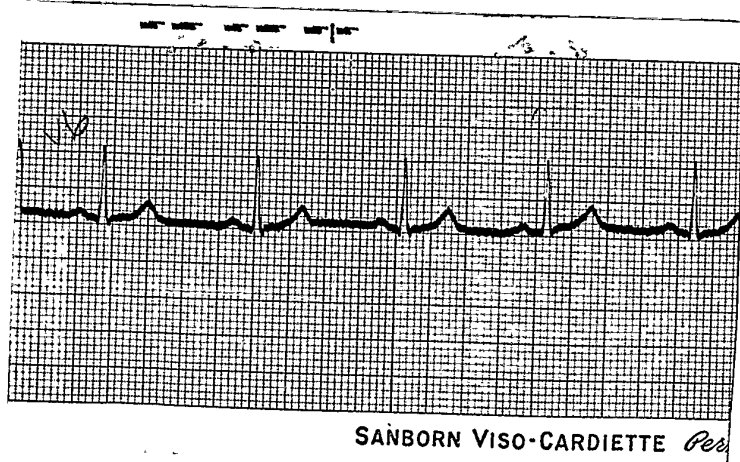
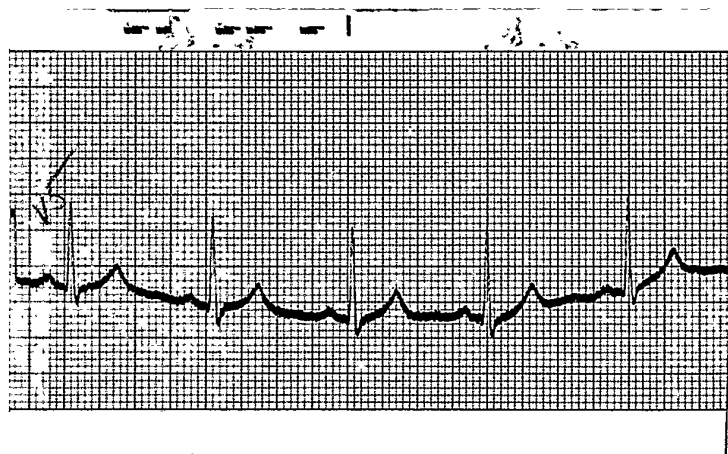
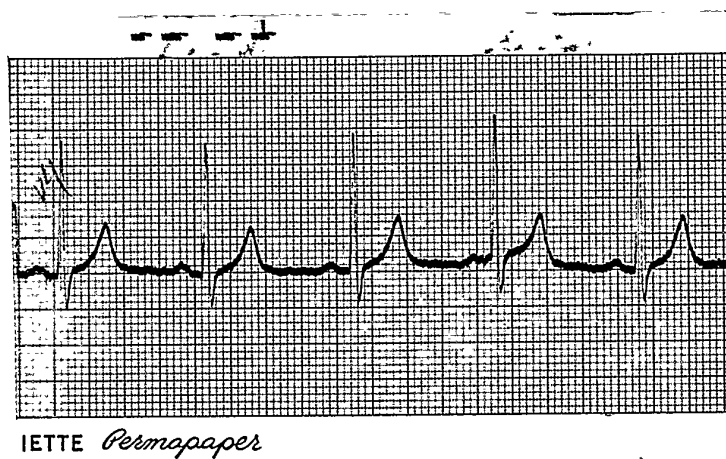
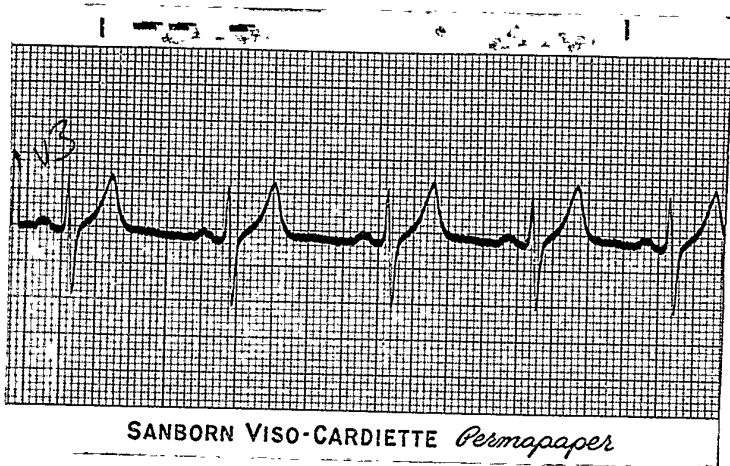
Permapaper



SANBORN VISO-CARDIETTE *Permapaper*



SANBORN



PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME

REGISTER NO.

WARD NO.

ROSEN, ALEX

F.B.I.

STAFF CLINIC

AGE

SEX

(Check one)

☐ BEDSIDE, WHEELCHAIR,
OR STRETCHER

☐ BED
PATIENT

☐ AMBULATORY

EXAMINATION REQUESTED

REQUESTED BY

DATE OF REQUEST

(Above space for mechanical imprinting, if used)

Dr. Aspen

PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS; AND PROVISIONAL DIAGNOSIS

FILM NO.

5562-55

DATE OF REPORT

RADIOGRAPHIC REPORT

4-18-55

Chest: Examination reveals a small 1 cm. mass in the middle portion of the left lung field of slightly increased density. There is some calcification in the left hilum. This is apparently a healed Ghon complex. G.L. LEWIS, LCDR MC USN

[Handwritten signature]

[Handwritten signature]

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

Standard Form 519A (Rev. Aug. 1954)
Promulgated by Bureau of the Budget
Circular A-32 (Rev.)

GPO c9-16-58906-5†

(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

RADIOGRAPHIC REPORT

CLINICAL RECORD				ELECTROCARDIOGRAPHIC RECORD				PREVIOUS ECG <input type="checkbox"/> YES <input type="checkbox"/> NO	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> EMERGENCY <input type="checkbox"/> BEDSIDE <input type="checkbox"/> ROUTINE <input type="checkbox"/> AMBULANT	
AGE 48	SEX M	RACE	HEIGHT 72	WEIGHT 180	B. P.	SIGNATURE OF WARD PHYSICIAN			DATE 12 APR 54
RHYTHM NORMAL SINUS						AXIS DEVIATION (QRS) HORIZONTAL		RATES AURIC. VENT. 82	
INTERVALS PR .14 QRS .08 QT .38						P WAVES NORMAL			
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			

UNIPOLAR EXTREMITY LEADS (Specify)

PRECORDIAL LEADS (Specify)

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

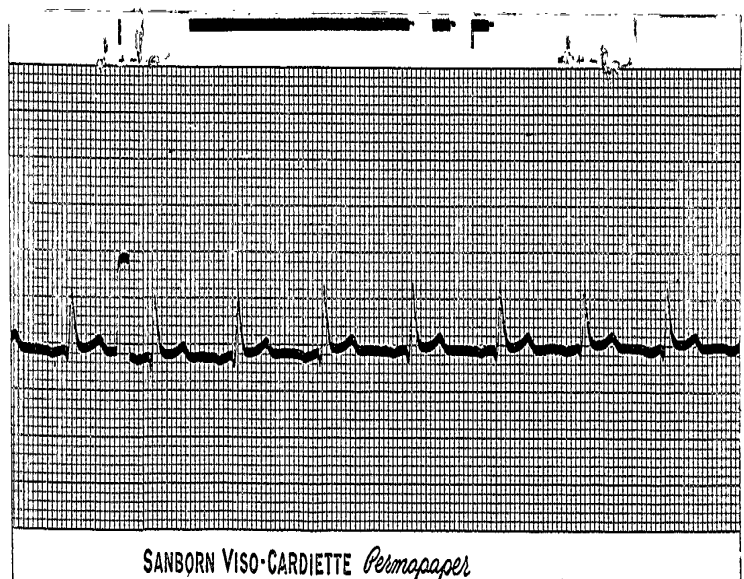
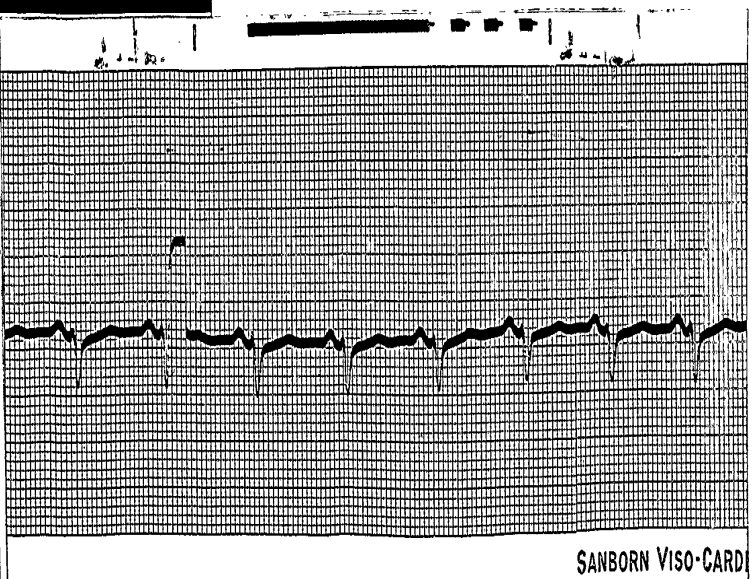
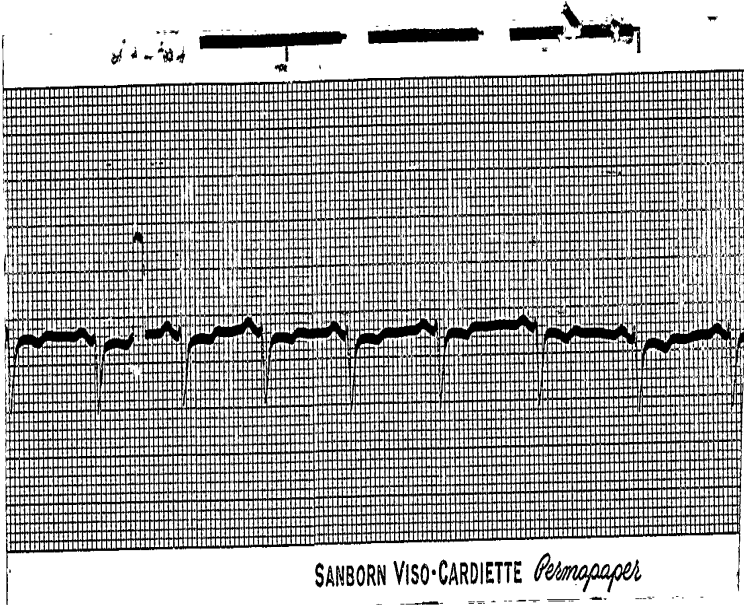
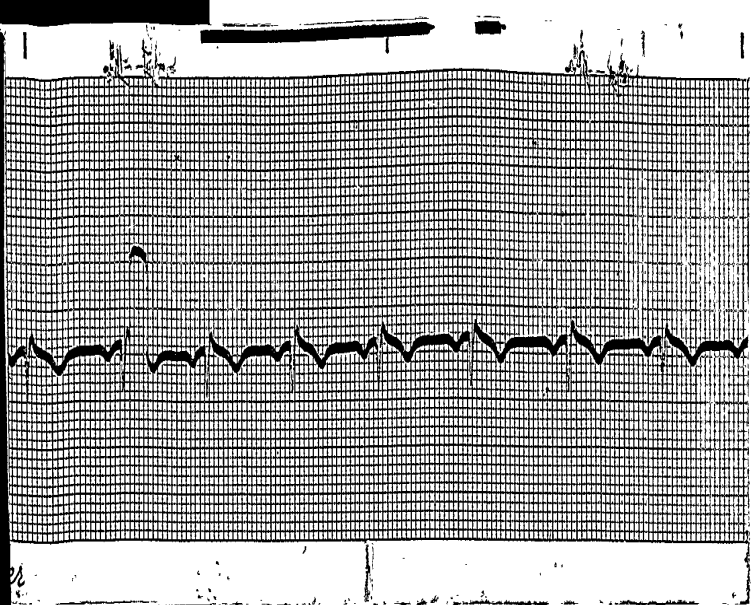
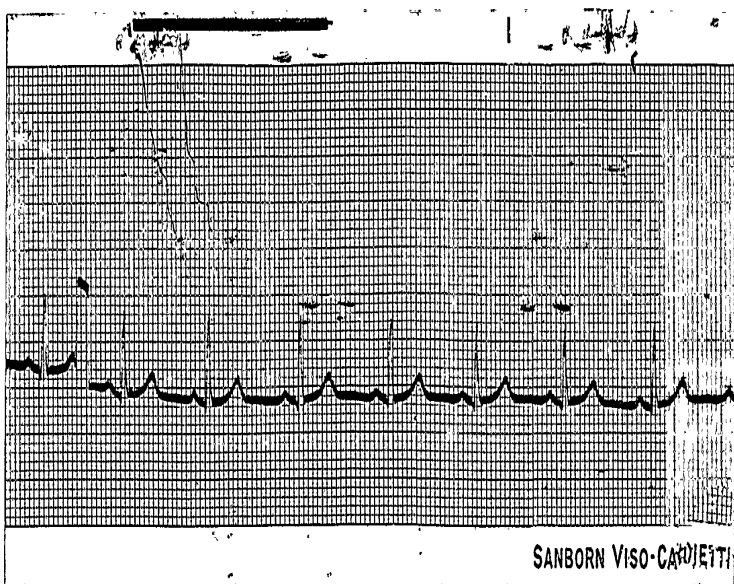
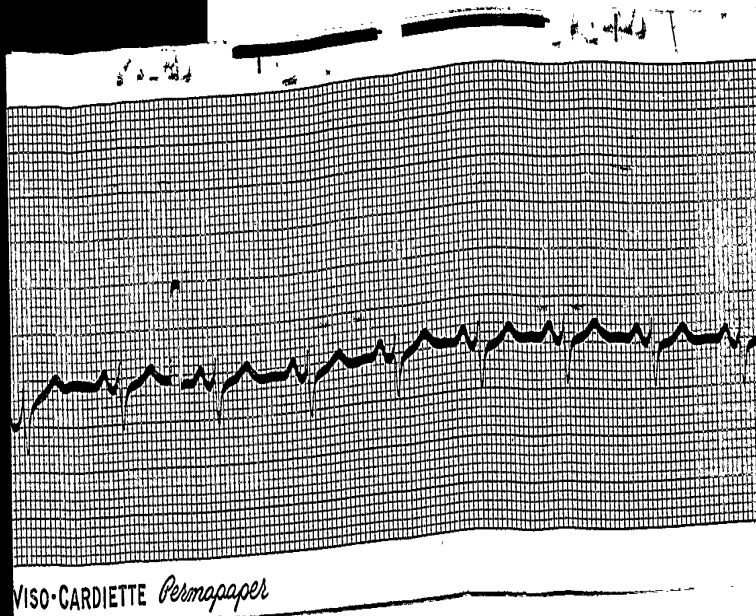
WITHIN NORMAL LIMITS.

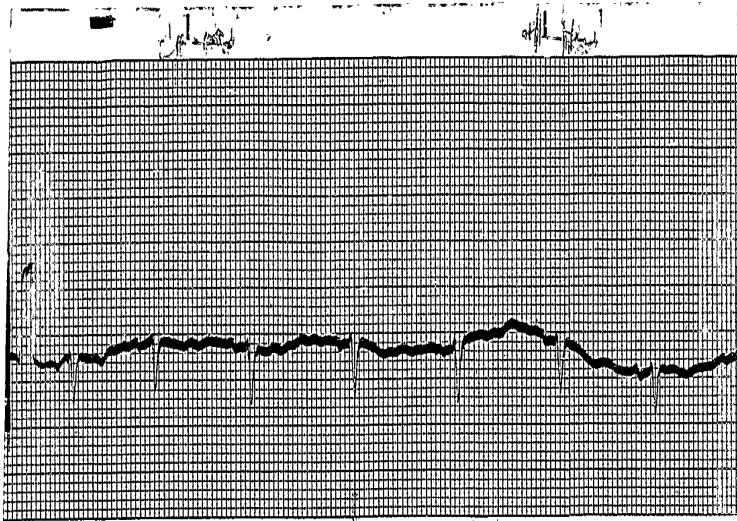
(Continue on reverse)

NO. ECG 18432	SIGNATURE F. J. LINEHAI JR.	TITLE LT. MC USN	DATE 12 APR 54
PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME ROSEN, ALEX		REGISTER NO.	WARD NO. ST. CLINIC

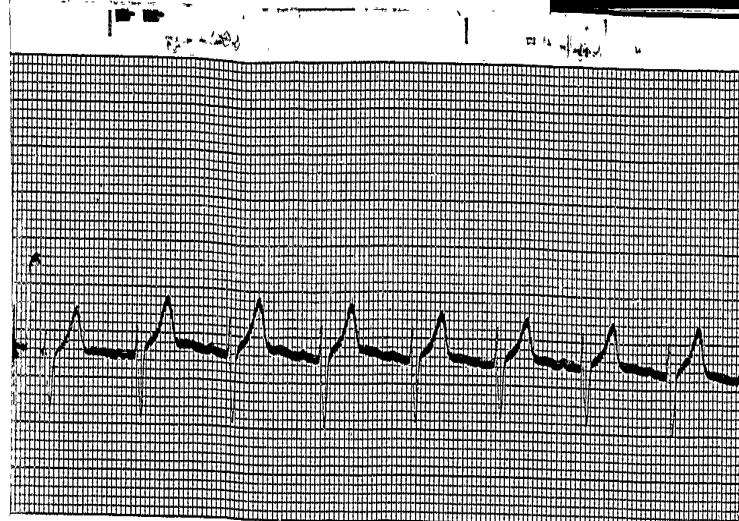
USNH, NNMC, BETHESDA, MD.
(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY) brs

ELECTROCARDIOGRAPHIC RECORD
Standard Form 520
(Attach tracings to S. F. 507)

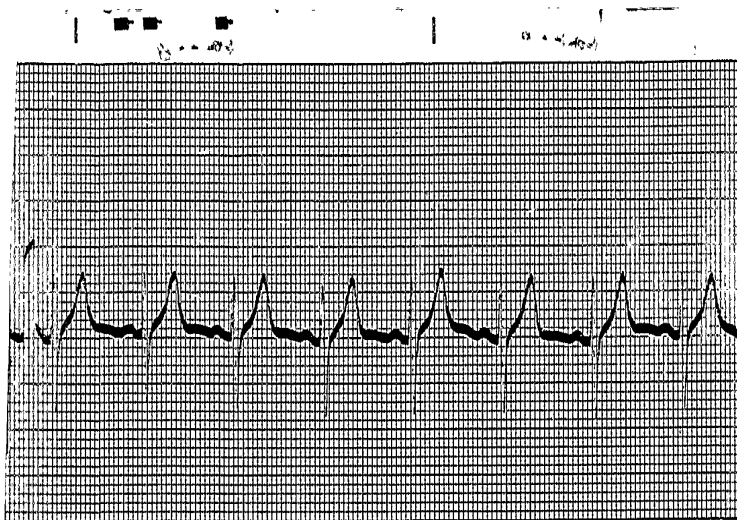




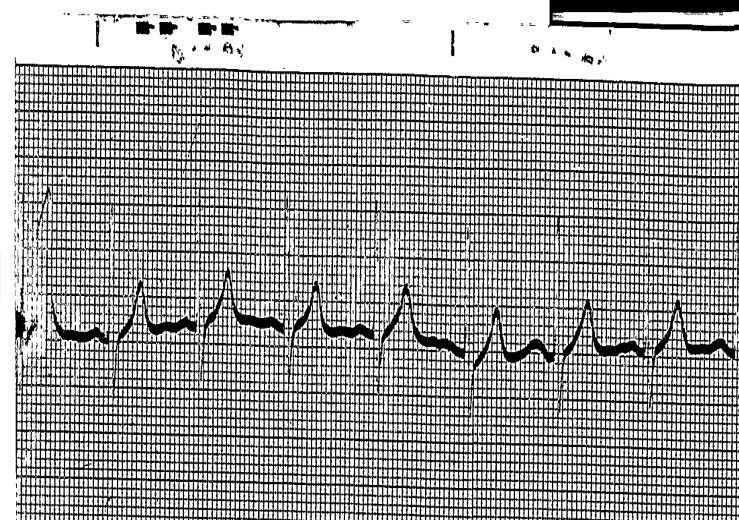
SANBORN VISO-CARD



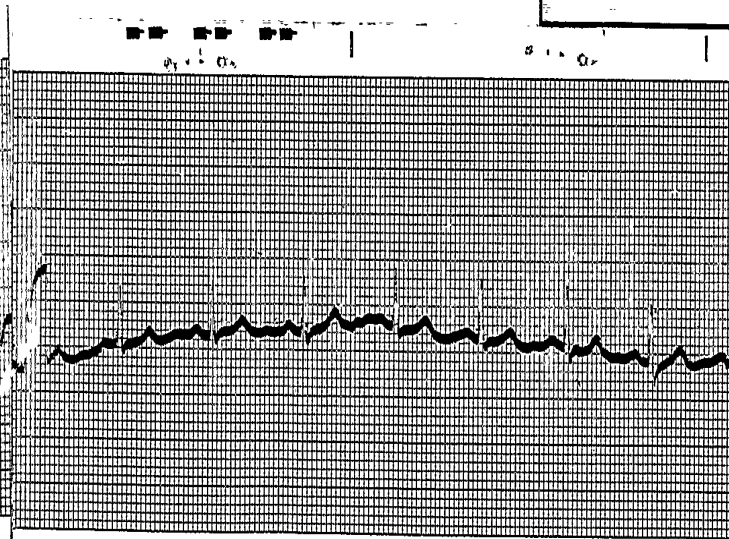
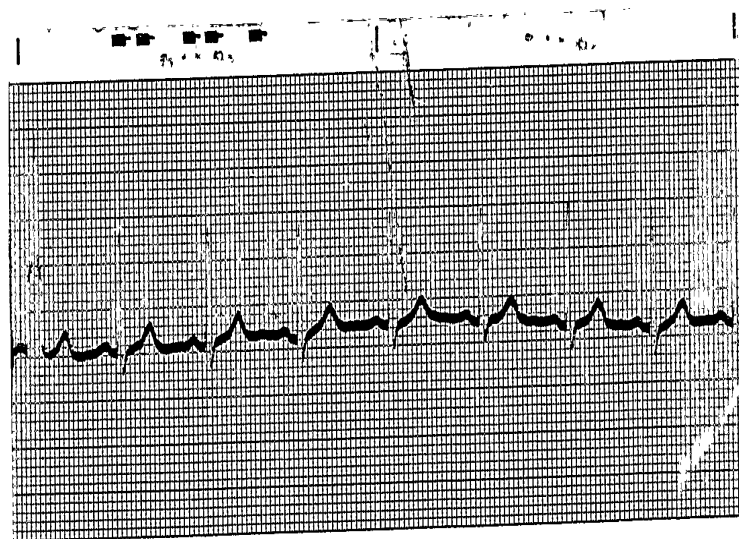
Permapaper



SANBORN VISO-CARDIETTE Permapaper



SANBORN VISO-CARDIETTE Perma



SANBORN VISO-CARDIETTE Permapaper

CLINICAL RECORD						ELECTROCARDIOGRAPHIC REPORT		PREVIOUS ECG <input type="checkbox"/> YES <input type="checkbox"/> NO	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> EMERGENCY <input type="checkbox"/> BEDSIDE <input type="checkbox"/> ROUTINE <input type="checkbox"/> AMBULANT	
AGE	SEX	RACE	HEIGHT	WEIGHT	B. P.	SIGNATURE OF WARD PHYSICIAN		DATE	
RHYTHM NORMAL SINUS RHYTHM						AXIS DEVIATION (QRS) NORMAL		RATES AURIC. VENT. 85	
INTERVALS PR .14 QRS .06 QT .32						P WAVES NORMAL			
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			
PRECORDIAL LEADS (Specify)									
SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:									

CONCLUSION: NORMAL ECG.

NO. ECG 5642	SIGNATURE <i>DRS</i> DRS SIKES & EYER	TITLE	DATE 4-6-53
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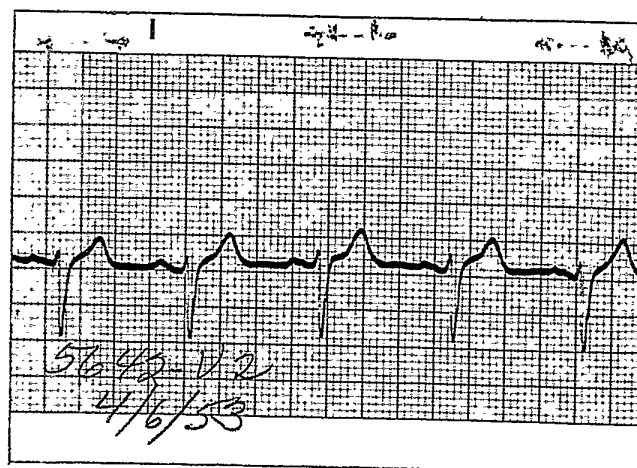
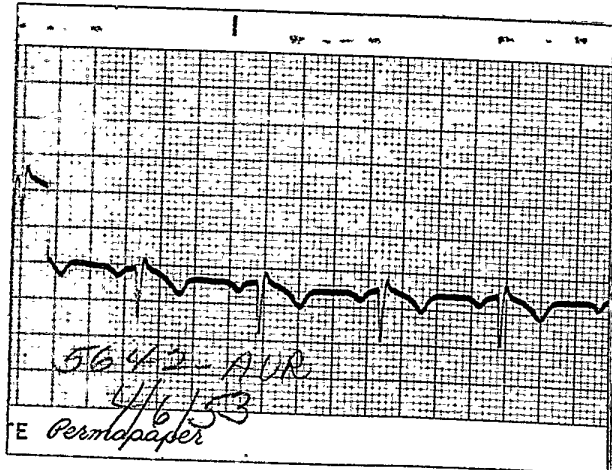
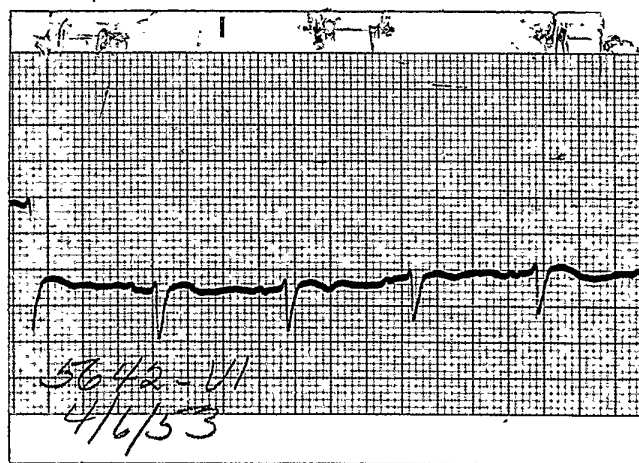
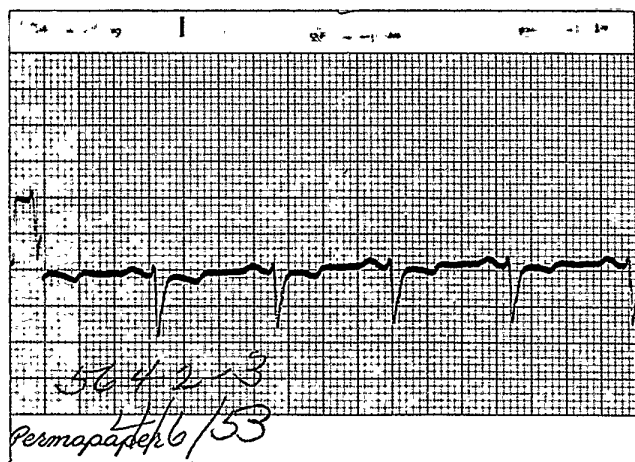
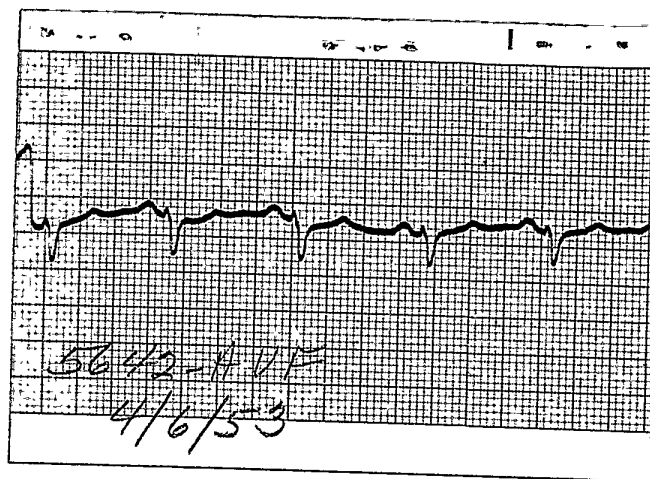
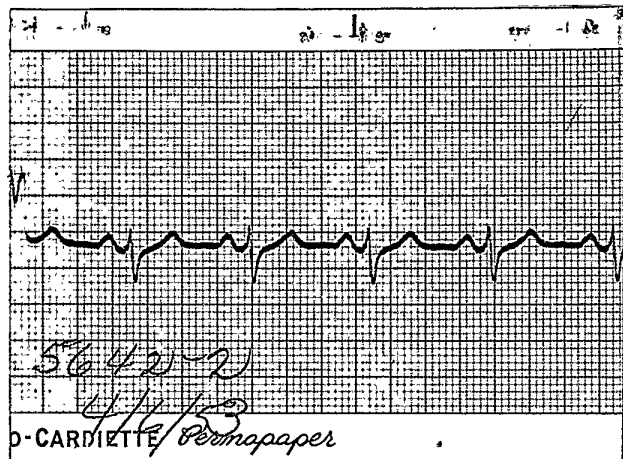
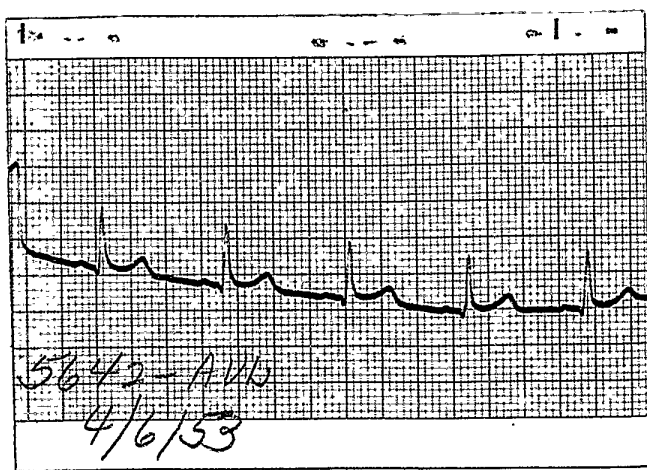
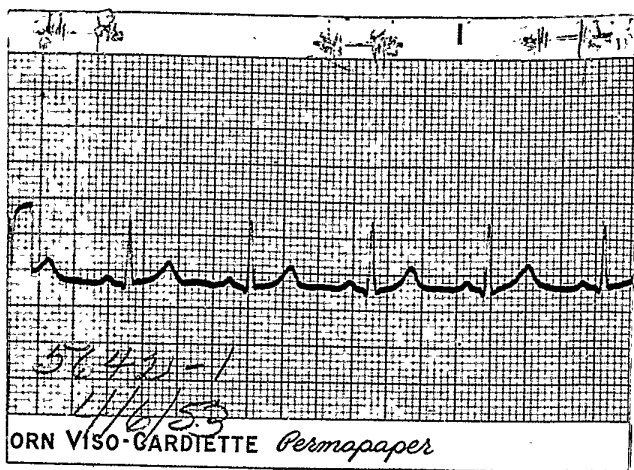
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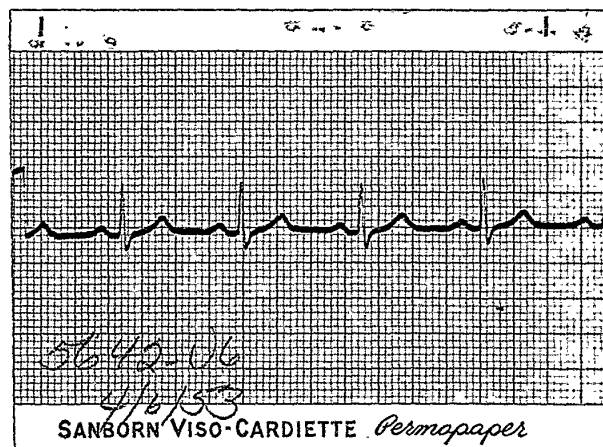
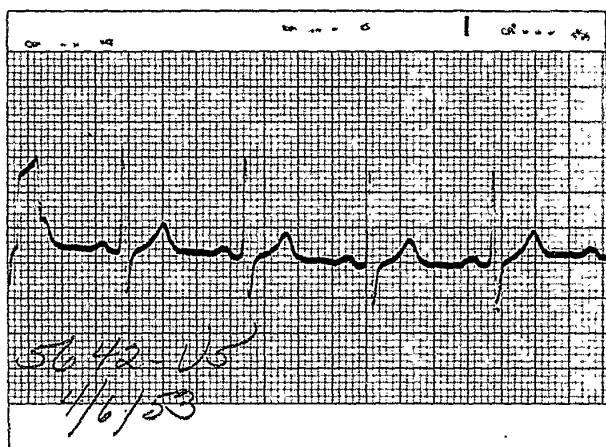
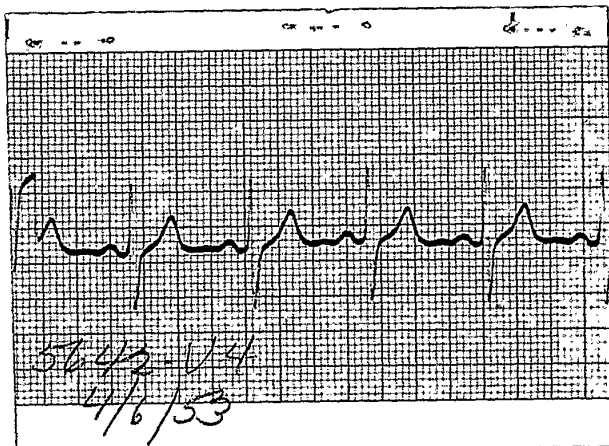
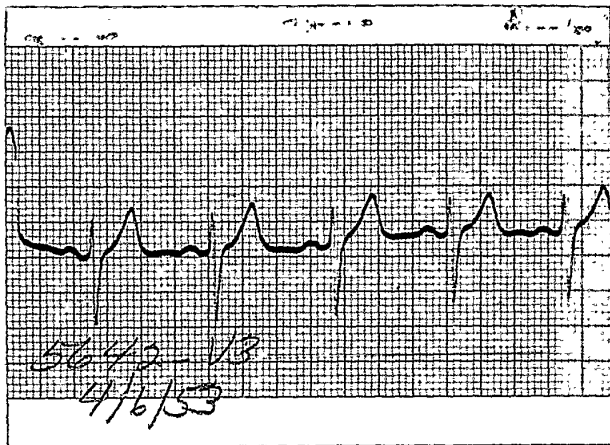
(Continue on reverse)

PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME ROSEN, ALEX	REGISTER NO. FBI 47	WARD NO. STAFF CLINIC
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INMHC BETHESDA 14, MARYLAND
(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

ELECTROCARDIOGRAPHIC REPORT
Standard Form 520





CLINICAL RECORD						ELECTROCARDIOGRAPHIC REPORT		PREVIOUS ECG	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> YES	<input type="checkbox"/> NO
								<input type="checkbox"/> EMERGENCY	<input type="checkbox"/> BEDSIDE
AGE SEX RACE HEIGHT WEIGHT B. P. SIGNATURE OF WARD PHYSICIAN						DATE		<input type="checkbox"/> ROUTINE	<input type="checkbox"/> AMBULANT
RHYTHM						AXIS DEVIATION (QRS)		RATES	
Normal Sinus Rhythm						NAD		AURIC. VENT 80	
INTERVALS						P WAVES			
PR .16 QRS .08 QT .36						Normal			
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			
PRECORDIAL LEADS (Specify)									

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

CONCLUSION: NO CHANGE Horizontal Electrical Axis.

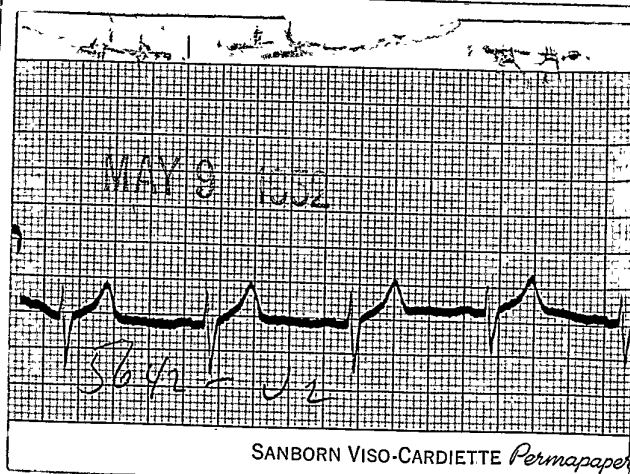
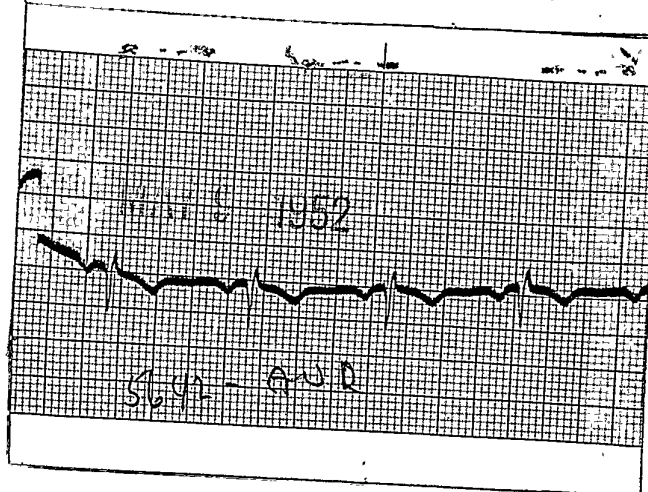
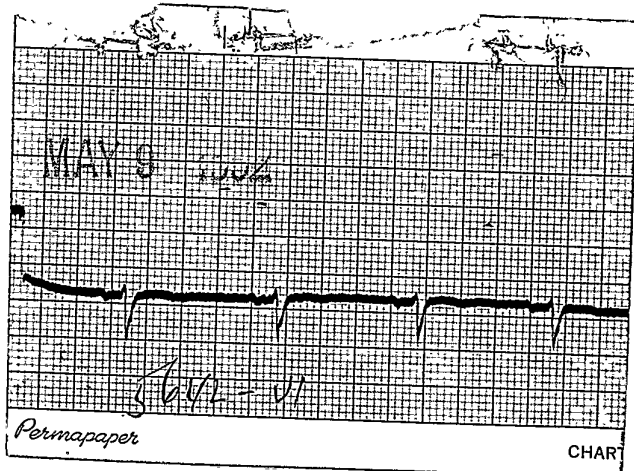
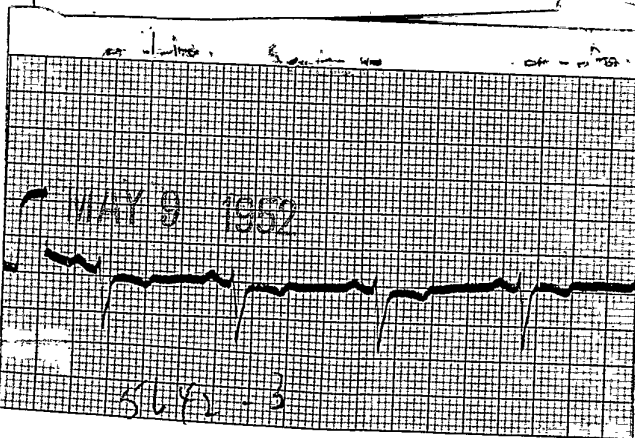
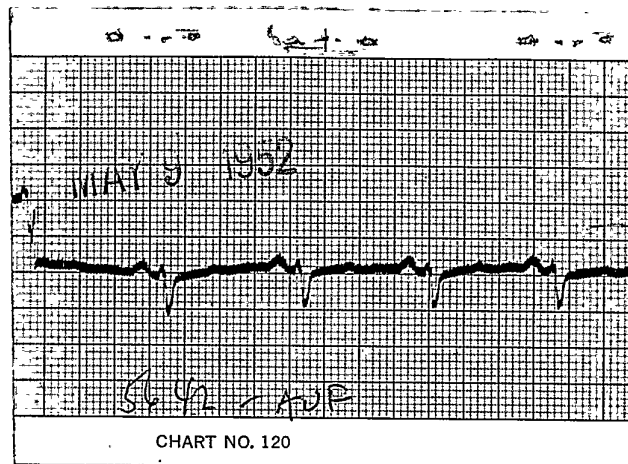
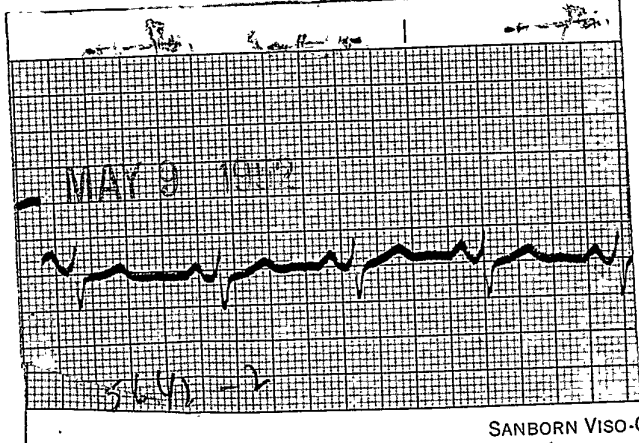
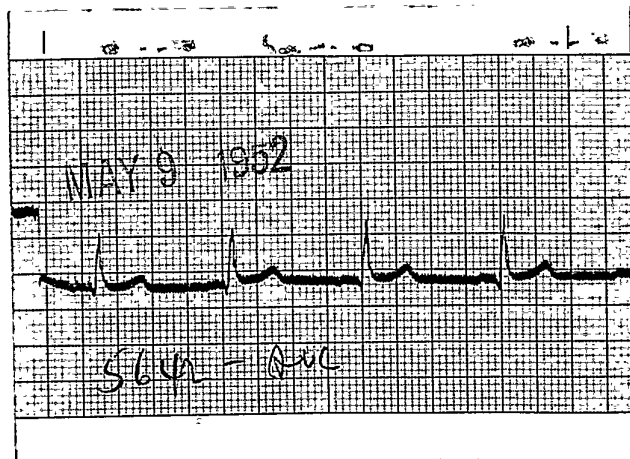
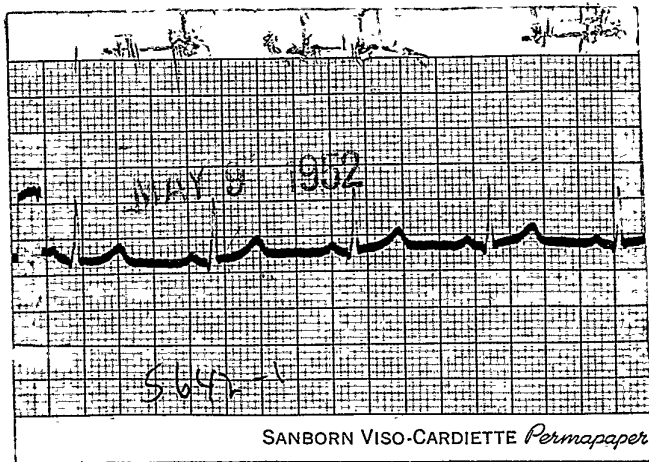
NO. ECG 5642	SIGNATURE <i>L.H. Bishop</i> L.H. BISHOP	TITLE LT MC USNR	DATE 5-9-52
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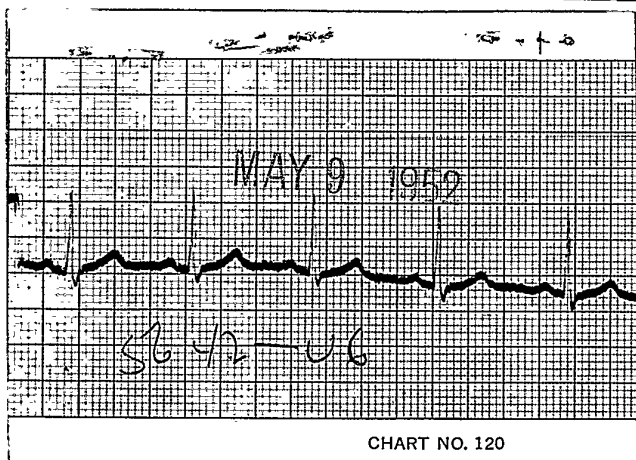
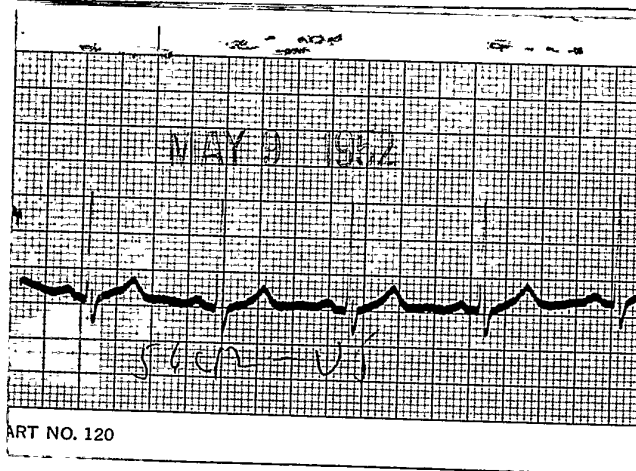
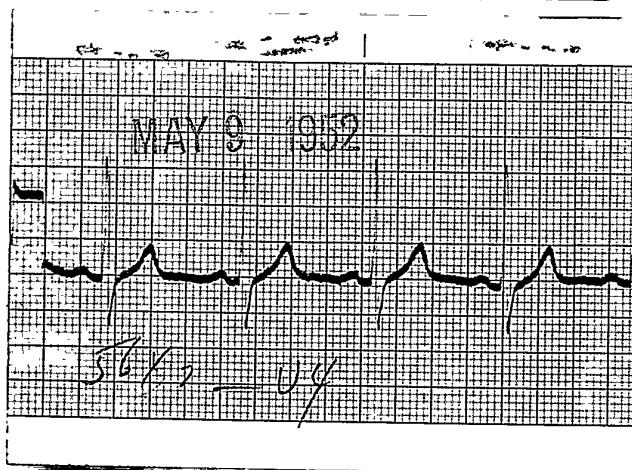
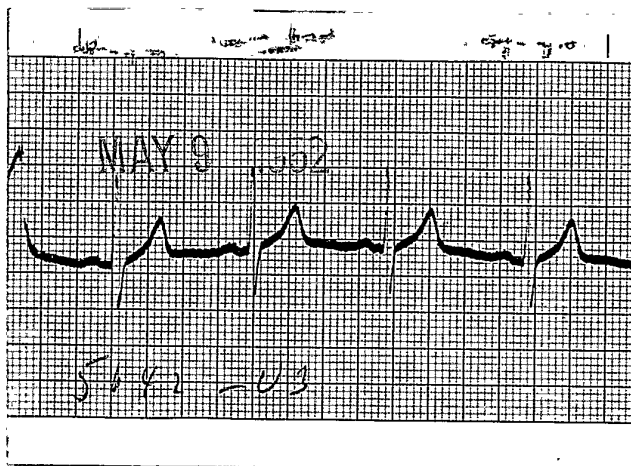
MOUNT TRACINGS HERE

(Continue on reverse)

PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME ROSEN, ALEX	FBI	REGISTER NO.	WARD NO. Rm 11
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(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)





CLINICAL RECORD						ELECTROCARDIOGRAPHIC REPORT		PREVIOUS ECG	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> YES <input type="checkbox"/> NO	
AGE <input type="checkbox"/> SEX <input type="checkbox"/> RACE <input type="checkbox"/> HEIGHT <input type="checkbox"/> WEIGHT <input type="checkbox"/> B. P. <input type="checkbox"/>						SIGNATURE OF WARD PHYSICIAN		<input type="checkbox"/> EMERGENCY <input type="checkbox"/> BEDSIDE	
								<input type="checkbox"/> ROUTINE <input type="checkbox"/> AMBULANT	
RHYTHM						AXIS DEVIATION (QRS)		RATES	
Sinus						Left		AURIC. VENT. 75	
INTERVALS						P WAVES			
PR .16 QRS .08 QT .36						Normal			
QRS COMPLEXES									
Show an S2 and 3.									
RS-T SEGMENT						T WAVES			
Normal						Up in 1 and 2; inverted in 3.			
PRECORDIAL LEADS (Specify)									
A normal QRS-T vector relationship.									

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

Conclusion: There is left axis deviation. Otherwise within normal limits.

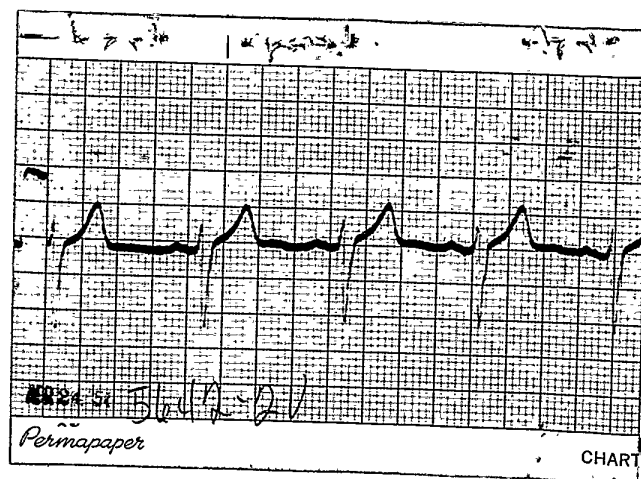
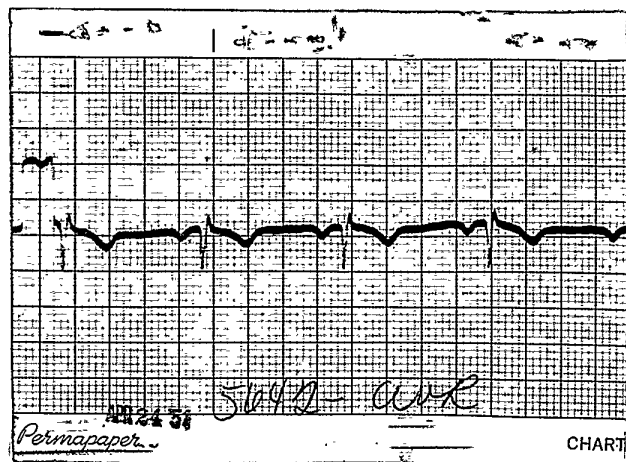
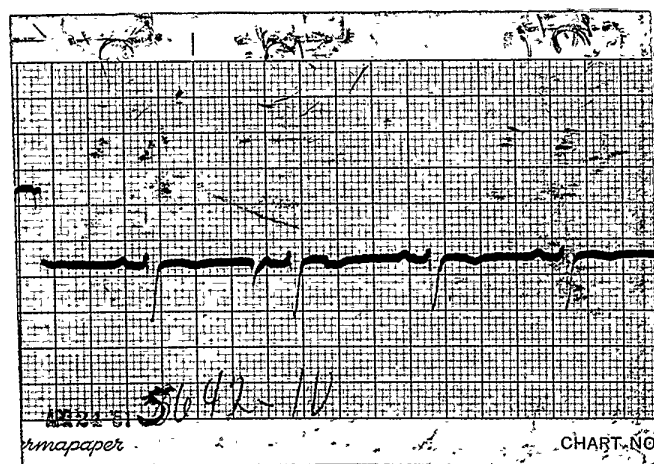
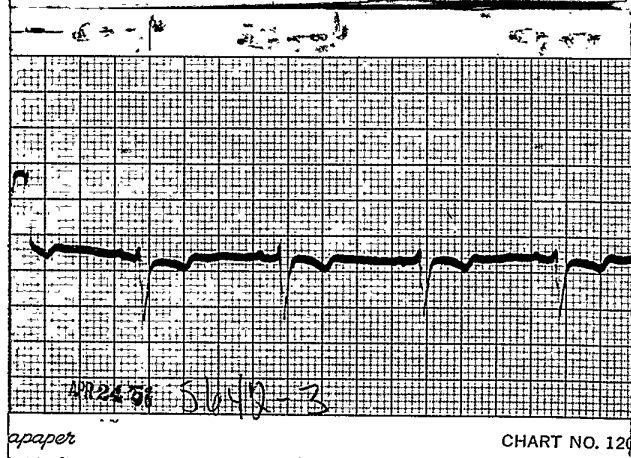
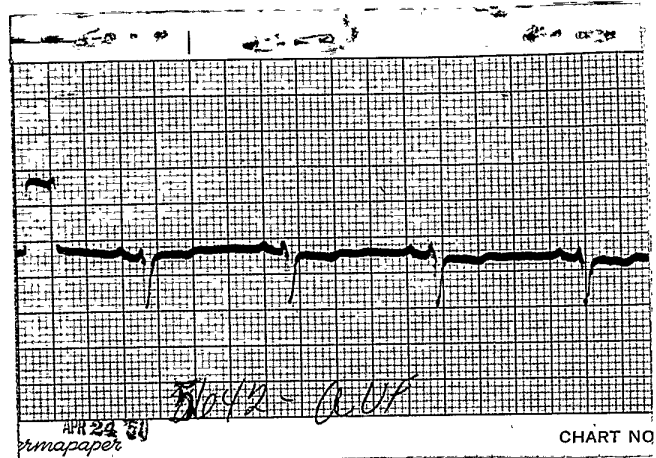
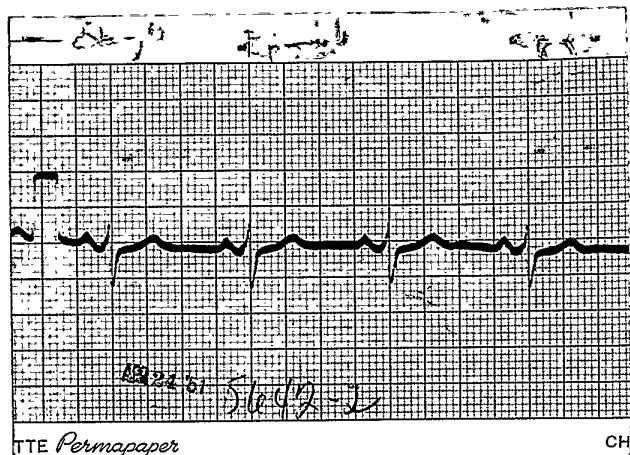
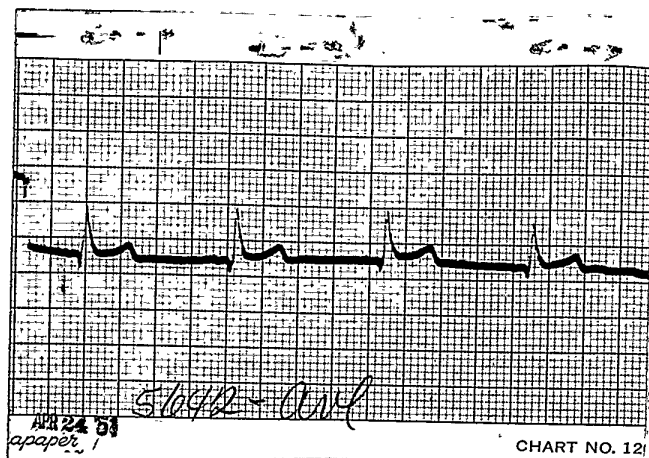
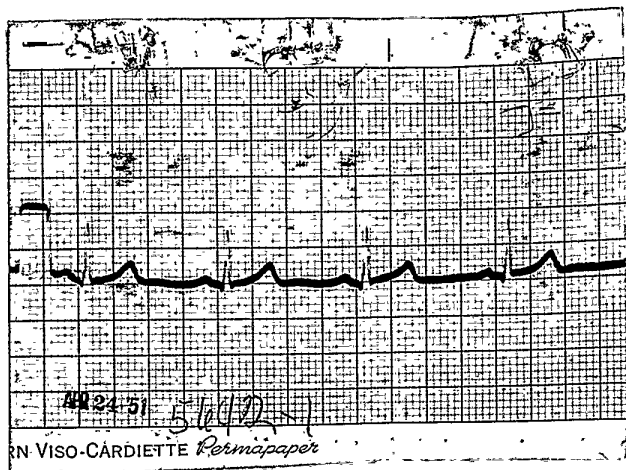
*Blair. Rosen,
physic.
BF
4-28-51*

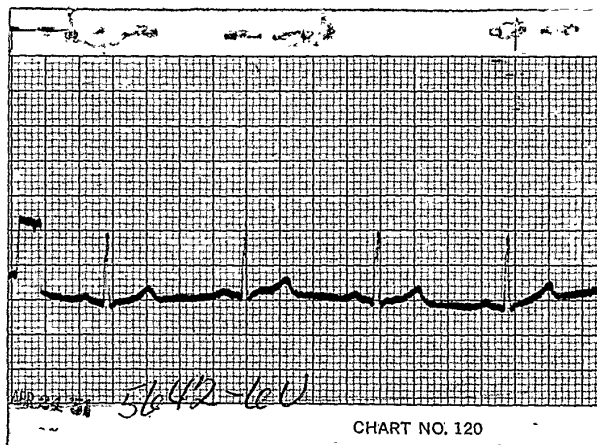
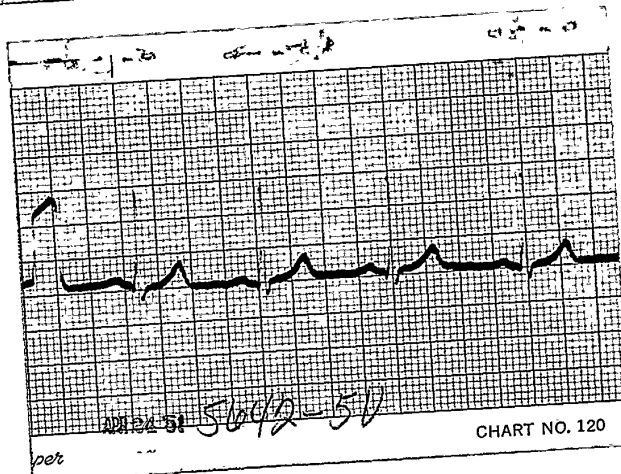
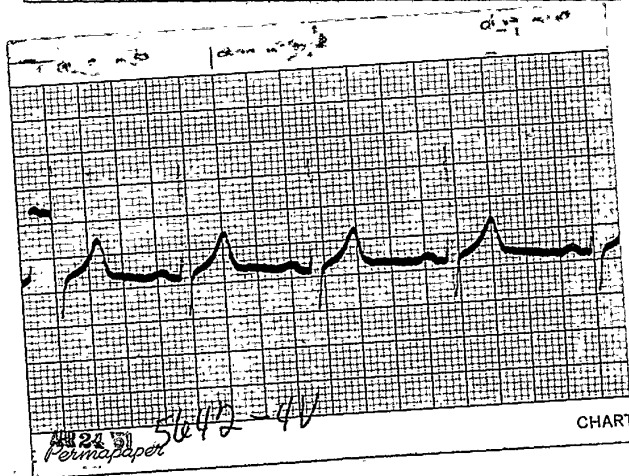
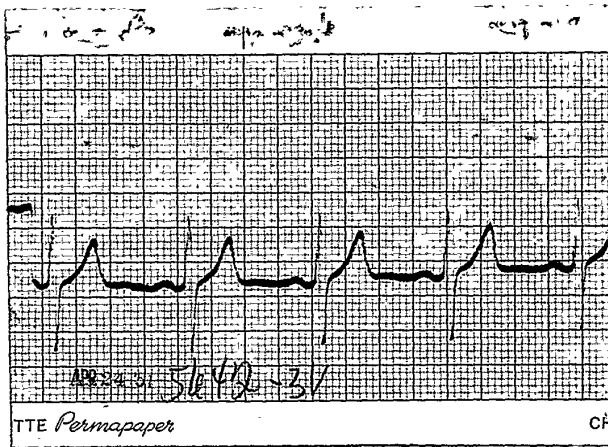
NO. ECG 5642	SIGNATURE L.H. BISHOP	TITLE LTJG MC USNR	DATE 4-24-51
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MOUNT TRACINGS HERE

(Continue on reverse)

PATIENT'S LAST NAME—FIRST NAME—MIDDLE NAME		REGISTER NO.	WARD NO.
ROSEN, Alex		FBI	Rm 101-1
L. H. BISHOP, M.D.		ELECTROCARDIOGRAPHIC REPORT	
(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)		Standard Form 520	





CLINICAL RECORD						ELECTROCARDIOGRAPHIC REPORT		PREVIOUS ECG <input type="checkbox"/> YES <input type="checkbox"/> NO	
CLINICAL IMPRESSION						MEDICATION		<input type="checkbox"/> EMERGENCY <input type="checkbox"/> BEDSIDE <input type="checkbox"/> ROUTINE <input type="checkbox"/> AMBULANT	
AGE	SEX	RACE	HEIGHT	WEIGHT	B. P.	SIGNATURE OF WARD PHYSICIAN		DATE	
RHYTHM Sinus						AXIS DEVIATION (QRS) Horizotnal		RATES AURIC. VENT. 75	
INTERVALS PR .16 QRS .08 QT .36						P WAVES Normal			
QRS COMPLEXES									
RS-T SEGMENT						T WAVES			
PRECORDIAL LEADS (Specify)									

SUMMARY, SERIAL CHANGES, AND IMPLICATIONS:

Conclusion: There has been no change since the initial E.C.G. in 1948. Horizontal electrical position of the heart.

NO. ECG 5642	SIGNATURE <i>E.H. ESTES</i> E.H. ESTES	TITLE LTJG MC USNR	DATE 6-1-51
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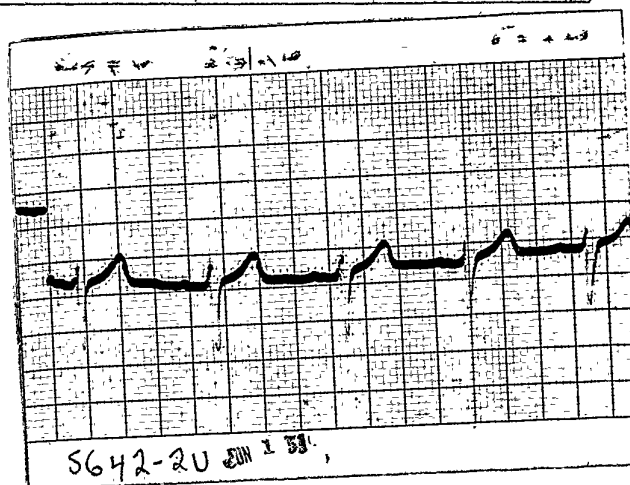
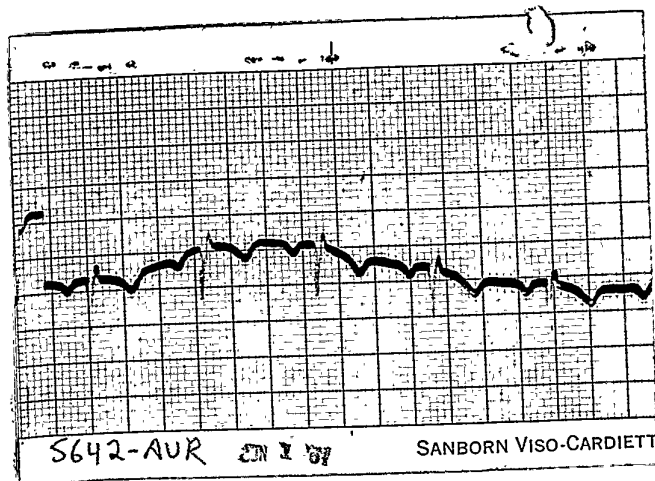
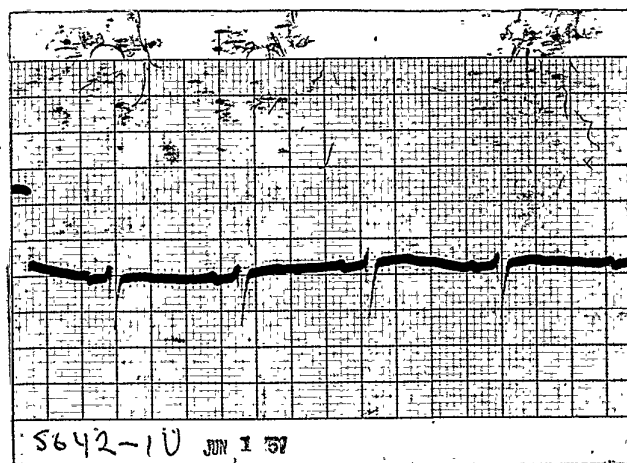
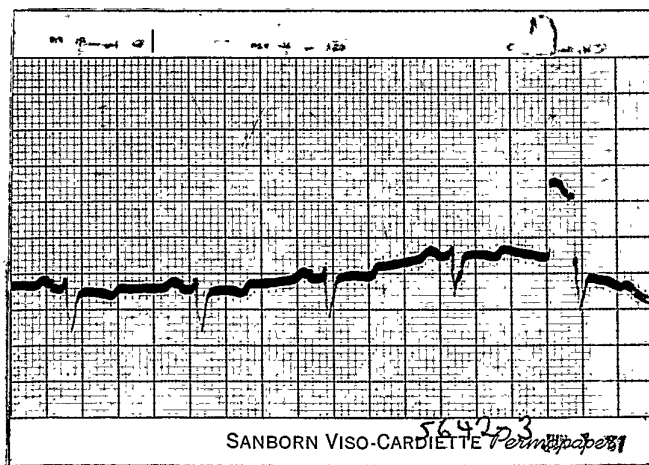
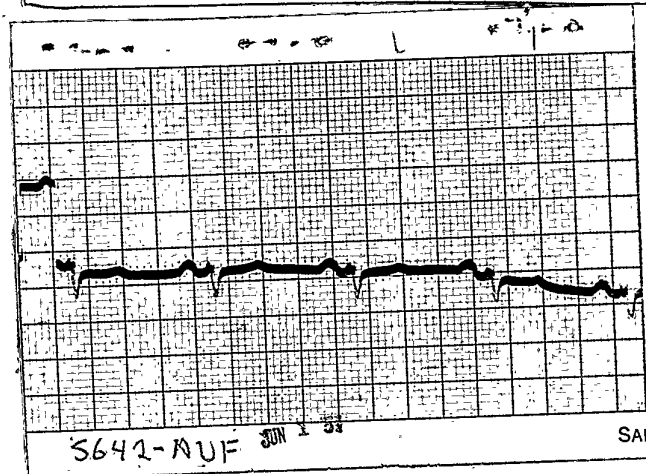
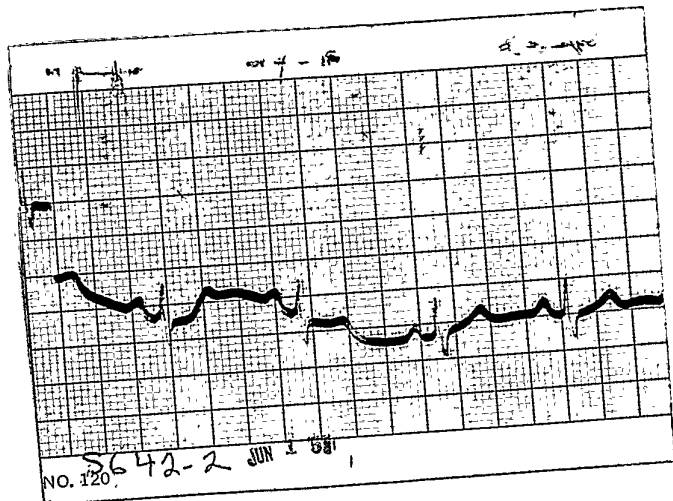
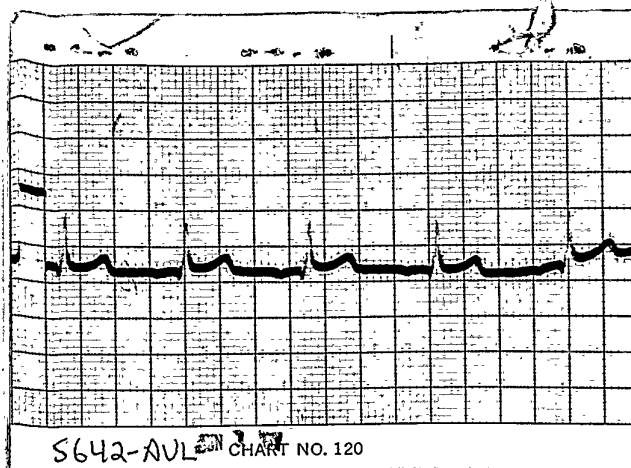
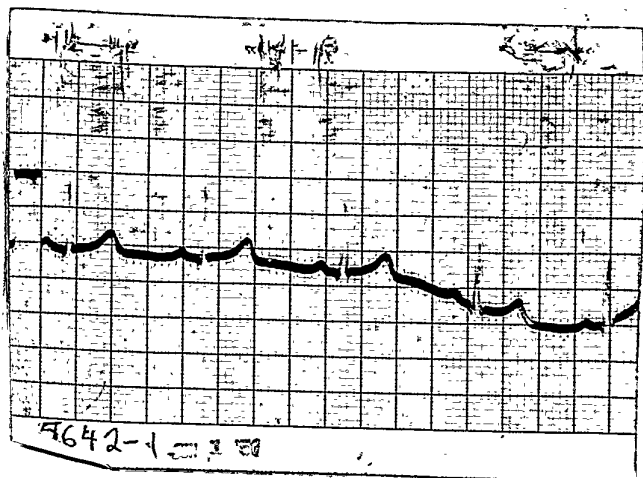
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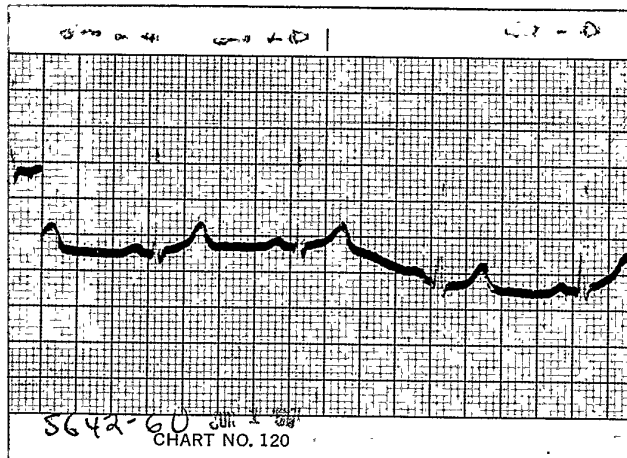
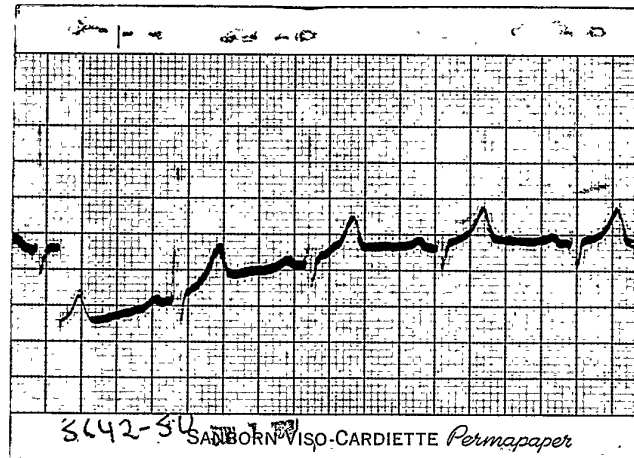
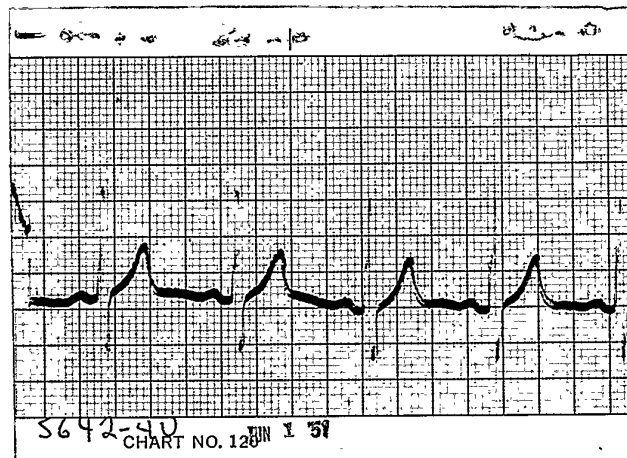
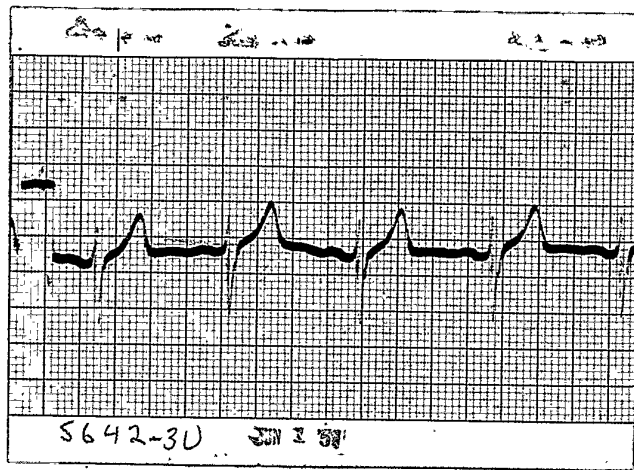
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PATIENT'S LAST NAME--FIRST NAME--MIDDLE NAME ROSEN, Alex	REGISTER NO. FBI	WARD NO. 6-1-51
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(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

ELECTROCARDIOGRAPHIC REPORT
Standard Form 520



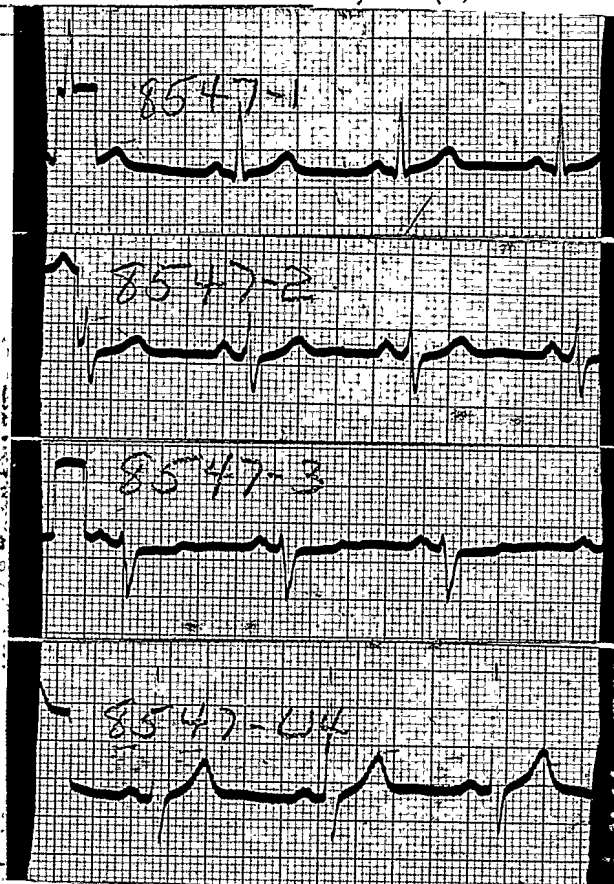


NNMC-172
(15m)

NATIONAL NAVAL MEDICAL CENTER
BETHESDA, MARYLAND

ELECTROCARDIOGRAPH STUDY

Name ROSEN, Alex Rate FBI Age 43 Ward 101
Diagnosis _____ Previous Tests No
Referred by Dr. White MC, USN(R)



INTERPRETATION

Cardiac No. D-8547

ECG Taken 9-10-48

Rhythm: Sinus.
Rate: 84 per minute.
P Waves:

P-R Interval: .16 seconds.

QRS Occupies:

Q Waves:

Axis Deviation: Left.

S-T Segment:

T Waves: Upright in 1,2,4; diphasic in 3.

Chest Leads:

Conclusion: Within normal limits.

R. C. Parker Jr.
R. C. PARKER Jr.
Comdr. (MC), USN.

SPECIAL EXAMINATION AND TREATMENT REQUEST

File No. _____

107

DATE 2-20-46

U. S. NAVAL HOSPITAL

Name Rosen, Alex

Rate FRF

Ward Bld B.

Diagnosis PU - Proctitis

Referred to

Dermtology

Exact examination desired

Proctoscopy + Rf

H. Clemon

(M. C.) U. S. N. R

REPORT

I think this is sebaceous
dermatitis. Suggest special
hair lotion.

E. E. BARKSDALE,
Comdr.

(M. C.) U. S. N.

SPECIAL EXAMINATION AND TREATMENT REQUEST

NAVMED-HF-57 (REV. 6-45)

HOSPITAL
FILE NO. 9742

Special forms and outlines may be
stamped on front and back

NAME Rosen, A?	RANK OR RATE FBI	WARD OPD	DATE 11-18-46
DIAGNOSIS See original chit.		REFERRED TO X-Ray Dept.	

EXACT EXAMINATION OR CONSULTATION DESIRED

G. I. Series.

Signature Dr. Miller (MC)USN

REPORT OF EXAMINATION OR CONSULTATION

11-18-46

Gastrointestinal examination: The barium outlined a normal esophagus, stomach, duodenum and upper jejunum. The 6 hour film shows the stomach had practically emptied and most of the barium was in the terminal ileum and proximal colon.

(Conclusion: No evidence of organic pathology of the upper G.I. tract.

ejm/ae

ym
E. J. McDonald
Cmdr. (MC) USN

*Append to record.
RTM*

Date _____ Signature _____ (MC)USN

SPECIAL EXAMINATION AND TREATMENT REQUEST

MVMED-HF-57 (REV. 6-45)

Special forms and outlines may be stamped on front and back

HOSPITAL

FILE NO. _____

NAME

Rosen, Al.

RANK OR RATE

E.B.I.

WARD

Rm 125

DATE

12-15-46

DIAGNOSIS

REFERRED TO

Proctology.

EXACT EXAMINATION OR CONSULTATION DESIRED

Pt. has been having intermittent discomfort in R.L.Q. and for past 10 wks. has noticed decrease in diameter of stool. No bleeding noticed, no weight loss. B.I. series neg.

Signature

R J Miller

(MC)USN

REPORT OF EXAMINATION OR CONSULTATION

Would appreciate sigmoidoscopy.
Thank you.

Pt. gives history of R.L.Q. pain first noted in 1941 & also again about 4 mo. ago. Has been taking a bland diet as well as a ~~too~~ pectinate base medicine which increases the bulk of the stool. Also, history of tarry stool. Digital examination reveals ~~no~~ redundant skin circumscripting the anal orifice which is probably the cause of pts. pruritis. Sigmoidoscope passed 25 cms and the mucosa appeared normal throughout.

Imp: ① Stool caliber probably caused by medicine to cause increase bulk.

② Pruritis is probably due to excessive skin.
③ Tarry stools could be from an upper

GI lesion.

R ① Clean anus & damp cloth following BM will cure pruritis.

② Stool specimen for blood.

③ GI series for possible upper GI lesion

Date

12/18/46

Signature

L J Murrell

(MC)USN

SPECIAL EXAMINATION AND TREATMENT REQUEST

File No. 8088

DATE 9/20/43

U. S. NAVAL HOSPITAL Bethesda, Md.

Name ROSEN, A.

Diagnosis

Exact examination desired

FBI
Rate ~~EXYR35M~~ Ward Bd. Room

Referred to

X-ray Department

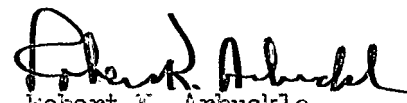
X-ray examination of the lungfields.

Dr. White.

(M. C.) U. S. N.

REPORT

A ventral projection film of the thorax has been obtained, but is not satisfactory due to the fact that it was exposed during full expiration and this gives a faulty appearance to the heart and diaphragm. The patient should be returned for an additional film.


Robert K. Arbuckle
Lt. Comdr. (LC) USNR

(M. C.) U. S. N.

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Parsons *RP*

DATE: 7/14/60

FROM : A. Rosen *R*

SUBJECT: EMMETT E. MILLER

BOMBING MATTERS

Tolson _____
 Mohr _____
 Parsons *RP* _____
 Belmont *RP* _____
 Callahan *RP* _____
 DeLoach _____
 Malone _____
 McGuire _____
 Rosen _____
 Tamm _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Ingram _____
 Gandy _____

SAC, Little Rock, *FA* has recommended incentive awards for SA Norman L. Casey in the amount of \$500 and SAs Estes E. Coleman, [redacted] and Marion E. Williams in the amount of \$300 each in connection with their performance of duty in the captioned matter.

BACKGROUND

On 7/5/60, [redacted] Emmett Miller, Exalted Little Rock Office, [redacted] Cyclops, West Memphis Klavern, Association of Arkansas Klans, to transport dynamite and blasting caps from West Memphis to Little Rock, Arkansas. On 7/8/60 Miller and [redacted] delivered approximately 40 sticks of dynamite to [redacted] and on the night of July 10, 1960, Miller came to [redacted] and prepared and armed a bomb from the dynamite so delivered.

Miller did not tell [redacted] of the objective to be bombed but instructed [redacted] and Miller would leave West Memphis for Little Rock at 8:30 p.m., 7/11/60. They departed West Memphis on the evening of 7/11/60 [redacted] and were apprehended by Bureau Agents as the bomb was placed along side a building, used as a chapel and dormitory, of the Philander Smith College, a Negro institution in Little Rock, Arkansas.

RESULTS

At the Bureau's instructions, an expert was flown from the Bureau's Laboratory to West Memphis, Arkansas, to substitute a harmless substance, appearing similar to the original explosive, for the explosive material in the bomb.

- 1 - Mr. Tamm
- 1 - Administrative Division

JGK:aeo *aeo*
 (8)

Enclosures *5*

See Addendum Administrative Division
 page 4a.

67-1417
 Searched _____
 8 AUG 11 1960 *57*

Memorandum to Mr. Parsons
Re: Emmett E. Miller

Such action was taken to insure the actual dynamite could not be used on an objective and to allow the plan to go forward without danger to persons or property.

b6
b7D

The Little Rock Office immediately instituted surveillances of Miller, [redacted] and [redacted]. Bureau Agents conducted a surveillance of Miller and the [redacted] during their 127-mile trip from West Memphis to Little Rock on the evening of 7/11/60 and apprehended both as the bomb was being placed at the Philander Smith College. [redacted] was taken into custody by Bureau Agents at his home in [redacted] Arkansas.

Miller, when interviewed, admitted preparing and arming the bomb, took full responsibility for the incident and claimed that [redacted] did nothing but drive the car from West Memphis to Little Rock. Miller commented that the effective action taken by the Bureau in the matter had broken the back of the segregationist movement in eastern Arkansas.

b6
b7D

[redacted], of course, implicated both Miller and [redacted] in the attempted bombing.

b6
b7D

PROSECUTIVE ACTION

Upon authorization of U. S. Attorney Osro Cobb, Little Rock, complaints were filed on 7/11/60 charging [redacted] Miller and [redacted] with violation of the provisions of the Civil Rights Act of 1960 prohibiting the interstate transportation of explosives with the intent to use same to damage or destroy property to interfere with its use for objectives stated in the statute. Bond for all three subjects was set at \$20,000 each.

b6
b7D

b6

On 7/13/60, Assistant U. S. Attorney [redacted] filed an information in Federal Court against all three subjects.

PRESS

Extensive favorable publicity was afforded the arrest of subjects by Bureau Agents and the efficient and expert manner in which the case was handled.

Memorandum to Mr. Parsons
Re: Emmett E. Miller

RECOMMENDATIONS OF SAC, LITTLE ROCK

1. A letter of commendation be sent the Little Rock Office so that a copy may be placed in the personnel files of all Agents who worked on the case.

2. A cash meritorious award in the amount of \$500 be awarded to SA Norman L. Casey. SA Casey developed the informant responsible for the breaking of this case and handled him throughout the period pertinent to the attempted bombing. He also coordinated and directed investigation on the scene at West Memphis under the supervision of the SAC at Little Rock. He displayed outstanding judgment, courage and leadership.

3. Cash meritorious awards in the amount of \$300 be awarded the following Agents: SA Estes G. Coleman, SA [] who assisted SA Marion E. Williams in the handling of explosives in this case which involved hazardous duties performed with precision, accuracy and detail in a limited time under most difficult conditions. SAs Coleman and [] also participated in surveillances at West Memphis, Arkansas, which were most difficult and which resulted in the development of accurate information pertinent to the case.

b6

SA Marion E. Williams, who handled the substitution of the harmless substance for the explosive material contained in the bomb, performed duties which involved hazardous conditions with precision and accuracy under the most difficult circumstances in a limited time.

RECOMMENDATIONS OF INVESTIGATIVE DIVISION

b6

It is felt that SAs Casey, Coleman, [] and Williams performed exemplary and outstanding work which was instrumental in the successful solution of this case. Accordingly, the Investigative Division recommends that cash meritorious awards ~~*in the indicated amounts be awarded to SAs Casey, Coleman, [] and Williams.~~

*in amounts to be determined by the Administrative Division be given to

Memorandum to Mr. Parsons
Re: Emmett E. Miller

The Investigative Division also recommends that a letter of commendation be sent to the Little Rock Office so that a copy may be placed in the personnel files of all Agents who worked on the case.

Investigative Division also recommends that SAC Casper, who spearheaded this case and personally supervised the matter from the very beginning, certainly is deserving of a substantial incentive award.

Handwritten notes:
✓
Lab concurs with Investigative
7/15/60
Div. ✓
Q1
JWC

ADDENDUM - D. J. PARSONS IDM:hew 7/15/60

A full measure of credit is certainly due SAC Casper and Little Rock Special Agents. It should not be overlooked, however, that Assistant Director Rosen personally planned with SAC Casper the strategy and execution that was so essential to this case. Rosen remained on duty throughout the entire night of July 11 to afford this case his personal guidance and counsel. Recommend he be personally commended and through him the personnel of Investigative Division who ably assisted on this case.

Handwritten notes:
✓
Per
[Signature]

Based on information submitted by SAC, Little Rock, and the Investigative Division it is apparent that the investigation of this bombing matter was extremely well organized, directed and handled under most adverse and hazardous conditions and SAs Casey, Coleman and [] of the Little Rock Office, SA Williams of the Laboratory and SAC Casper have earned special recognition in the form of incentive awards. The efficient and expert manner in which they performed has brought extensive favorable publicity to the Bureau.

BUREAU RECORDS:

The services of each of the above agents have been satisfactory during the past three years. Each was rated excellent on 1960 annual performance rating and is completely available. There follows additional comments:

SA Norman Lee Casey - EOD 7-14-41, GS 13, \$11,935. Commended four times, no censures and received \$300 incentive award 3-13-58 for apprehension of I.O. fugitive. Weight within desirable limits and overtime above office average four of past six months.

SA Estes G. Coleman - EOD 10-31-43, GS 13, \$11,675. Commended four times, no censures and received \$300 incentive award 3-13-58 for apprehension of I.O. fugitive. Weight within desirable limits and overtime above office average five of past six months.

SA [] - EOD as SA 5-15-39, GS 13, \$12,195. Commended once and no censures. Weight within desirable limits and overtime above office average five of past six months.

SA Marion E. Williams - EOD as SA 5-1-42, GS 14, \$13,250. Commended six times and censured twice (last being 10-10-58). Weight on last physical examination report, 12-1-59, was 209 pounds. Laboratory Division on 7-15-60 advised present weight 198 pounds and SA Williams is continuing on diet in order to reduce his weight to desirable limits of 195 pounds for his height of 6'2" and large frame. Overtime above average each of last six months.

SAC Joseph J. Casper - EOD 1-6-41, GS 16, \$15,515. Since assigned as SAC in Little Rock, 8-19-57 he has been commended ten times, censured once and received \$250 incentive award 9-25-57 for direction of Civil Rights investigation. Weight within desirable limits and overtime above office average each of past six months.

Based on approved tables for determining the amount of an incentive award the performances of these agents are considered to be of moderate value and broad application to the work of the Bureau entitling them to awards of from \$150 to \$300.

SAC recommended and Investigative Division approved that a general letter of commendation be directed to SAC, Little Rock, for inclusion in files of other agents who participated but to a lesser degree.

ADDENDUM: (Continued)

Mr. Parsons' Office recommended a letter of commendation for Assistant Director Rosen and through him personnel of the Investigative Division who assisted in this case. He noted that Assistant Director Rosen personally planned the strategy with SAC Casper and remained on duty throughout the night of July 11, 1960, to personally guide this investigation.

RECOMMENDATIONS:

(1) That SA Casey be approved for an incentive award of \$300.00 for his outstanding performance in developing and handling the informant that made this case possible and for coordinating and directing all investigation on the scene under the supervision of SAC. (Amount recommended is in line with previous awards granted for similar performance.)

Let
Auth 7/22/60
AFL

✓

JRM
7/18

npc
paw

b6

(2) That SAs Coleman, [redacted] and Williams each be approved for incentive awards of \$200.00 for the exemplary manner in which they overcame unusual difficulties under hazardous conditions. (Amount recommended is in line with previous awards granted for similar performance.)

Let
Auth 7/22/60
AFL

✓

JRM
7/18

npc
paw

(3) That SAC Casper be approved for incentive award of \$250.00 for the superb manner in which he directed and supervised this case from its inception. (Amount recommended is in line with previous awards granted for similar performance.)

Let
Auth 7/22/60
AFL

✓

JRM
7/18

npc
paw

(over)

✓

ADDENDUM: (Continued)

RECOMMENDATIONS: (Continued)

(4) That a general letter of commendation be directed to SAC, Little Rock, for inclusion in files of other agents who participated in this investigation.

*Done 7/22/60
afh* ✓

*JRM
7/18*

afh

(5) That Assistant Director Rosen be commended, and through him, other deserving personnel of the Investigative Division who ably assisted on this case.

*CAD
per Ed*

*Laguerre
gpc
7/19*

*Done 7/22/60
afh* ✓

*JRM
7/18*

*mem
M. J. [unclear]*

b6

PERMANENT BRIEFS OF PERSONNEL FILES OF SAS CASEY, COLEMAN, AND WILLIAMS AND BRIEF OF PERSONNEL FILE OF SAC CASPER ARE ATTACHED.

ONE ... IN

EXECUTIVE CONFERENCE MEMBERS

JULY 5, 1960

NAME	WEIGHT	HEIGHT-ONE YEAR OR MORE AGO	FRAME	DESIRABLE WEIGHT LIMIT	POUNDS OVERWEIGHT
Mr. Mohr	<u>182</u>	5'10"	Large	175	<u>7</u>
Mr. Parsons	<u>196</u>	6'1"	Large	190	<u>6</u>
Mr. Belmont	166	5'9"	Large	170	0
Mr. Callahan	163 1/2	5'8"	Large	166	0
Mr. DeLoach	181	6'	Large	185	0
Mr. Malone	190	6'1"	Large	190	0
Mr. McGuire	185	6'4"	Medium	196	0
Mr. Rosen	176	6'	Large	185	0
Mr. Tamm	<u>195</u>	6'	Large	185	<u>10</u>
Mr. Trotter	165	5'8"	Large	166	0
Mr. Clayton	176	5'11"	Large	180	0
Mr. Ingram	156 1/2	5'10"	Medium	161	0

296
67-
RECORDS SECTION

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

6-2360

I certify that I have received the following Government property for official use:

~~returned~~

D. C. Official Parking Permit, expires June 30, 1961

RETURNED

D. C. Official Parking Permit, expires June 30, 1960 ✓

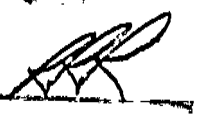
READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

143

Very truly yours,

(Written
Signature)

PER 

(Typed
Signature)

Alex Rosen

ALTH BENEFITS REGISTRATION FC

FEDERAL EMPLOYEES HEALTH BENEFITS ACT OF 1959

(Read instructions on back of last page. Use only typewriter or ballpoint pen.)

CARRIER'S CONTROL NO.

3215258

PART A
ALL WHO
REGISTER
MUST FILL
IN THIS
PART.

1. NAME (LAST) (FIRST) (MIDDLE INITIAL) Rosen Alex	2. DATE OF BIRTH (Use numbers) MONTH DAY YEAR 9 14 05	3. Are you now married? YES <input type="checkbox"/> 1 NO <input checked="" type="checkbox"/> 2
4. YOUR MAILING ADDRESS (NUMBER AND STREET) (CITY AND ZONE NUMBER) (STATE) Rm. 5706, Justice Building, Washington, D. C.		5. SEX MALE <input checked="" type="checkbox"/> 1 FEMALE <input type="checkbox"/> 2
6. Are you covered by, or is any family member listed below covered by or enrolling in, a plan under the Federal Employees Health Benefits Act of 1959 (through the enrollment of another United States or District of Columbia Government employee or annuitant)? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>		7. Place an "X" in proper box to show your annual basic salary range. UNDER \$4,000 <input type="checkbox"/> 1 \$6,000 TO \$9,999 <input type="checkbox"/> 3 \$4,000 TO \$5,999 <input type="checkbox"/> 2 \$10,000 OR OVER <input checked="" type="checkbox"/> 4

PART B
FILL IN THIS
PART IF YOU
WISH TO EN-
ROLL IN A
HEALTH BENEFITS
PLAN.

If enrollment is for self only, answer item 1. If enrollment is for self and family, also answer item 2 and item 3 if it applies.

THIS PART MUST
ALSO BE FILLED
IN IF YOU
CHANGE YOUR
ENROLLMENT.

1. I elect to enroll in a health benefits plan as shown below. I authorize deductions to be made from my salary, compensation, or annuity to cover my share of the cost of the enrollment. (Copy the information requested below from inside cover of brochure of the plan you select.)			
NAME OF PLAN SAMBA HEALTH BENEFIT PLAN	OPTION (HIGH OR LOW) 4 4 1		
2. In space below list all eligible family members without exception: List your wife or husband first, then your unmarried children under age 19, including legally adopted children, and stepchildren and illegitimate children who live with you in a regular parent-child relationship. Include also any unmarried child over 19 who became disabled before age 19 and who, because of the disability, is incapable of self-support. (Attach a doctor's certificate for a disabled child age 19 or over.)			
NAMES OF FAMILY MEMBERS	DATE OF BIRTH (Month, Day, Year)	NAMES OF FAMILY MEMBERS	DATE OF BIRTH (Month, Day, Year)
Wife or Husband	1		6
	2		7
	3		8
	4		9
	5		10
3. If you are a female (employee or annuitant)—does the family listed above include a husband who is incapable of self-support by reason of mental or physical disability which can be expected to continue for more than one year? (If answer is "Yes," attach a doctor's certificate.) YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>			

PART C
FILL IN THIS
PART IF YOU
WISH NOT TO
ENROLL OR IF
YOU WISH TO
CANCEL YOUR
ENROLLMENT.

PLACE AN "X" IN ITEM 1 OR ITEM 2, WHICHEVER APPLIES AND ANSWER ITEM 3.	
1. I elect not to enroll in any plan under the Health Benefits Act. <input type="checkbox"/>	3. The reason for my election is (Place an "X" in proper box): (a) I am covered by a plan under the Health Benefits Act through the enrollment of my husband, wife, or parent. <input type="checkbox"/> 1 (b) I am covered by a health insurance plan which is not under the Health Benefits Act. <input type="checkbox"/> 2 (c) Any other reason. <input type="checkbox"/> 3
2. I elect to cancel my present enrollment under the Health Benefits Act. <input type="checkbox"/>	

PART D
FILL IN THIS
PART IF YOU
WISH TO
CHANGE YOUR
ENROLLMENT.

1. I elect to change my enrollment as shown by the enrollment number and other information in Part B.		
1. Enrollment code number of present plan. 4 4 1	2. Number of event which permits change. (See table on back of duplicate for proper number.) 5	3. Date of event which permits change. MONTH DAY YEAR 5 26 60

PART E
ALL WHO
REGISTER
MUST FILL
IN THIS PART.

(YOUR SIGNATURE) Alex Rosen	(DATE) 5/26/60	WARNING.—Any intentional false statement in this application or willful misrepresentation relative thereto is a violation of the law punishable by a fine of not more than \$10,000 or imprisonment of not more than 5 years, or both. (18 U.S.C. 1001.)
---------------------------------------	--------------------------	--

PART F
TO BE
COMPLETED
BY
AGENCY.

1. NAME AND ADDRESS OF EMPLOYING OFFICE e Ray Davidson FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE WASHINGTON 25, D. C. (SIGNATURE OF AUTHORIZED AGENCY OFFICIAL)	2. DATE RECEIVED IN EMPLOYING OFFICE 6-13-60 LAM	3. EFFECTIVE DATE OF ELECTION JUL 10 1960
	4. PAYROLL OFFICE NO. 15-02-0001	5. PAYROLL ACTION (INITIALS AND DATE)

REMARKS
FOR USE ONLY
BY ANNUITANTS
AND AGENCY.67-NOT RECORDED
6 JUL 1 1960

June 10, 1960

PERSONAL ATTENTION

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have reviewed the results of the recent inspection of the Investigative Division and, based upon the Inspector's findings, the various operations are rated as follows: Physical Condition and Maintenance, Investigative Operations and Personnel Matters - Very Good; Administrative Matters and Contacts and Liaison - Good.

It was ascertained that the space occupied by the Investigative Division was generally neat, clean and maintained in an orderly fashion. It is incumbent upon you to assure that the space available to your division continues to be equitably shared under the present crowded circumstances. As the Inspector pointed out, you should expedite the establishment of appropriate office space for the Civil Rights Section and also concentrate on providing suitable, private office space for Section Chiefs C. L. McGowan and T. J. McAndrews.

I was pleased to note that the work load was adequate and equitably distributed and that the mail emanating from your division flowed smoothly. The importance of maintaining an even flow of mail is something which is of vital concern to me and you must continue to afford this matter your close personal supervision in order to preclude any delinquency from developing. In line with the over-all handling of mail, I noted that the Inspector found ten pieces of correspondence in a delinquent status during a check of mail in the Investigative Division. While all the delinquencies were satisfactorily explained, I cannot over-emphasize the absolute necessity of fully discharging all of our supervisory responsibilities within the prescribed time.

1 - Mr. Callahan (Attn: Mr. C. R. Davidson) (with enclosure)

① - Personnel file of Assistant Director Alex Rosen

RER:dlh

(6)

Based on memo Mr. Malone to Mr. Mohr, 6/9/60, re:
"Inspection - Investigative Division, [redacted] Inspection
Staff, May 16 - June 7, 1960." RER:dlh

b6

Mr. Alex Rosen

It was particularly gratifying to note that the Bureau's accomplishments are uniformly higher in all categories during the first ten months of the 1960 fiscal year as compared with the similar period in the previous fiscal year. Statistical accomplishments are one of the principal standards by which the Bureau is judged and there is no phase of the Investigative Division's operations which is of greater importance. Continue to follow this matter closely and exert every conceivable effort to guarantee surpassing the 1959 fiscal year accomplishments in every possible category.

The Inspector made an analysis of the field delinquency in classifications supervised by the Investigative Division and determined that the matter of delinquency in field operations is being afforded very close supervision. It was noted, however, that as of April 30, 1960, there were four classifications handled within your division which had a delinquency of ten per cent or more. While it is recognized that these delinquencies were promptly called to the field's attention, it is essential to keep all of our work in a current status.

Few criminal categories are of greater importance than violations involving the Federal Bank Robbery Statutes. It is in this area, perhaps more so than in any other, that the public gauges the effectiveness of our entire operation. I was, therefore, pleased to note that thus far convictions, sentences imposed and savings and recoveries in bank robbery cases exceed the comparable period in the last fiscal year. I am, however, disturbed by the fact that of the violations reported thus far this fiscal year, seventy-six have been closed unsolved and that there are two hundred twenty-four unsolved cases in a pending status. I want the Inspector's instructions regarding the analysis of closed unsolved bank robbery cases during the time of the various field offices' semiannual administrative review carried out to the fullest extent. We must be absolutely certain that every avenue of logical investigative approach is thoroughly explored before allowing the field to close a case. I expect to see the number of closed and pending unsolved violations of the Federal Bank Robbery Statutes drastically reduced.

Mr. Alex Rosen

The administrative steps taken to assure prompt, thorough and vigorous investigative attention to alleged violations of the Labor-Management Reporting and Disclosure Act of 1959 are most reassuring. We must stay on top of these cases at all times; however, as the Inspector indicated, we must also be in a position to reduce the supervisory personnel assigned to this classification in the event the anticipated case load fails to develop.

The increase in the number of both criminal informants and potential criminal informants is encouraging and clearly indicates a keen degree of alertness to the importance of this program on the part of your division. Certainly, the gains reflected in the accomplishments under this program vividly illustrate the results which are achieved through a vigorous approach. We must not, however, relax in our efforts to constantly strive to develop even higher quality informants.

While the Inspector noted that the Investigative Division generally afforded cases effective supervision, it was in the area of cases handled by the Bureau on a volume basis that the greatest weakness was detected. It should not have been necessary for the Inspector to institute a uniform system of controls in order to assure that the important semiannual administrative reviews of cases in field offices are carried out on a current basis. I was disturbed by the backlog of these delinquent matters existing in the Fugitive Section. While I recognize that in the thirty-three delinquencies noted, other administrative steps had been taken in all but three instances, I insist that the Inspector's deadline to eradicate this delinquency by June 30, 1960, be met. This supervisory shortcoming should not be allowed to recur.

As you know, I approved the trial consolidation of the Special Inquiry and Employees Security Sections. I am fully cognizant of the fact that future developments might well necessitate a re-evaluation of this consolidation, but in the meantime I expect this merger to result in a tightly run and economical operation.

Mr. Alex Rosen

The decrease in errors in correspondence detected outside the Investigative Division was encouraging. The Bureau is judged by its accuracy and as you well know, I expect the elimination of all errors in correspondence. I was very pleased to note that the combined stenographic-typist production was 3.16 pages per hour. This was a decided improvement over the last inspection.

While the errors detected in connection with the Number One Registers were not substantive in nature, I fully expect complete accuracy in the execution of official registers. In connection with economical operating procedures, great care must be exercised in connection with long-distance telephone calls. While it is recognized that imperative investigative matters often require the use of long-distance telephone facilities, these calls must be kept at the minimum and handled with the greatest possible brevity. The Inspector's instructions regarding a continual study of investigative deadlines imposed upon the field offices must be borne in mind. Any unreasonable deadline creates unnecessary expense and the possibility of wasted investigative time.

I noted with some concern the fact that you were only able to be present at four out of a scheduled twenty-two appearances thus far this year before In-Service classes. I realize that extremely important investigative developments; high-level conferences and annual leave are factors which must be considered, but the fact remains that it is mainly through In-Service classes that our investigative staff gets an opportunity to discuss matters with the Bureau's top officials. I fully expect you to improve your attendance record before these classes.

The Inspector stated that morale throughout the Investigative Division was high and that voluntary overtime was performed in an equitable, unscheduled and productive manner. Your personnel assigned was adequate, but not excessive, and I was particularly pleased to note that you did not find it necessary to request additional personnel, other than necessary replacements.

Mr. Alex Rosen

In connection with the Bureau's Contact Program, I am disappointed by your participation to date and I must once again stress the importance which I personally place on this field of endeavor. If the Bureau is to achieve the desired benefits from this program it is essential that it receive the full and wholehearted support of you and your entire supervisory staff. I want you to afford this program your personal attention and insist upon a vigorous program of significant outside contact work on the part of all members of your supervisory staff which will accrue benefits to the Bureau as a whole.

I was pleased to note that you personally handle liaison with top officials of the Department and that the liaison effected within their various spheres of activity by the sections within the Investigative Division is entirely satisfactory.

It was most reassuring to note that the Crime Records Division classified the cooperation of the Investigative Division as excellent in connection with the important field of press matters.

The various findings of the Inspection Staff have already been called to your attention. The observations brought to your attention during the course of the inspection should be carefully reviewed with your supervisory staff. Carefully study the inspection report and the summary memorandum concerning the work papers which were left with you and inform the Training and Inspection Division promptly, and in detail, of the action taken by you to correct delinquencies detected during the course of the inspection.

Sincerely yours,

John Edgar Hoover
Director

July 18, 1960

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

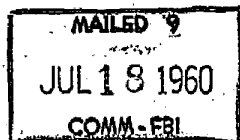
Dear Mr. Rosen:

The Investigative Division realized a material increase in statistical accomplishments in the 1960 fiscal year and I am writing to commend you and, through you, the responsible personnel.

This record is certainly exemplary and highly gratifying to note. It is indicative of the exceptional interest, enthusiasm and diligence with which the contributing personnel discharged their individual assignments and is a credit to your very able and energetic leadership. I certainly appreciate your most valuable services and I want you to convey my thanks to the personnel in your division who played such important roles in this splendid accomplishment.

Sincerely yours,

J. Edgar Hoover



CMT:rd
(38)

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____

MAIL ROOM

TELETYPE UNIT

Copies prepared and attached for placing in the following files:

L. H. Bunker

[REDACTED]

J. B. Cook

C. T. Gillespie

G. A. Trofast

W. J. Hurley

K. V. Hetherington

[REDACTED]

J. T. Neagle

[REDACTED]

F. J. Bezdek

[REDACTED]

T. W. Curran

[REDACTED]

J. R. Faulkner

H. A. Schutz

E. H. Stewart

[REDACTED]

J. J. Middleton

M. C. Dulinsky, Jr.

[REDACTED]

R. D. Rogge

[REDACTED]

H. J. Morgan

A. B. Fipp, Jr.

[REDACTED]

UNITED STATES GOVERNMENT

Memorandum

TO : MR. CALLAHAN

DATE: August 5, 1930

FROM : C. R. Davidson

SUBJECT: ~~WEIGHTS OF EXECUTIVES CONFERENCE MEMBERS~~

Tolson _____
 Mohr _____
 Parsons _____
 Belmont _____
 Clegg _____
 Glavin _____
 Ladd _____
 Nichols _____
 Rosen _____
 Tracy _____
 Carson _____
 Egan _____
 Gurnea _____
 Harbo _____
 Hendon _____
 Jones _____
 Mumford _____
 Quinn Tamm _____
 Nease _____
 Tele. Room _____
 Ingram _____
 Gandy _____

In accordance with SAC Letter 60-35, all members of the Executives Conference except those on annual leave (Messrs. Callahan and Ingram) have been weighed in the Health Service and found to be within the desirable weight limits for their respective heights and frames. It is noted that on July 5, 1930, three of the members exceeded the desirable weight limit, namely Messrs. Mohr, Parsons, and Tamm, each of whom is now within the limit. Messrs. Callahan and Ingram will be weighed upon their return from annual leave. However, it is noted that they were previously well within the desirable limits; thus, as of the last weighings, all members of the Executives Conference are now within the desirable limits. Tabulation attached.

RECOMMENDATION:

Submitted for information.

Enclosure
 WBH:rmw
 (2)

SENT DIRECTOR

A. H. 5-60

11 Recd
 8-29-60
 J. H. P.

8-10-30

3-0-12

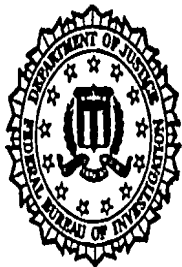
EXECUTIVES CONFERENCE MEMBERS

August 4, 1960

<u>NAME</u>	<u>WEIGHT</u>	<u>HEIGHT ONE YEAR OR MORE AGO</u>	<u>FRAME</u>	<u>DESIRABLE WEIGHT LIMIT</u>	<u>POUNDS OVERWEIGHT</u>
Mr. Mohr	173 3/4	5' 10"	Large	175	0
Mr. Parsons	190	6' 1"	Large	190	0
Mr. Belmont	164 1/4	5' 9"	Large	170	0
Mr. Callahan	*163 1/2	5' 8"	Large	166	0
Mr. DeLoach	181	6'	Large	185	0
Mr. Malone	188	6' 1"	Large	190	0
Mr. McGuire	188	6' 4"	Medium	196	0
Mr. Rosen	177	6'	Large	185	0
Mr. Tamm	184	6'	Large	185	0
Mr. Trotter	163	5' 8"	Large	166	0
Mr. Clayton	172 1/2	5' 11"	Large	180	0
Mr. Ingram	*156 1/2	5' 10"	Medium	161	0

124341

* Presently on annual leave. Weights as of July 5, 1960.



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON 25, D. C.

In Reply, Please Refer to
File No.

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA Alex Rosen, Assistant Director
(Type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name	Relationship	Date
Mrs. Lena Rosen	Mother	8/18/60
Address		
2859 Hampton Road, SE, Shaker Heights, Ohio		

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty.

Name	Relationship	Date
Mrs. Lena Rosen	Mother	8/18/60
Address		
2859 Hampton Road, S. E., Shaker Heights, Ohio		

Very truly yours,

Alex Rosen
Special Agent Assistant Director

100 67-NOI RECORDED
10 AUG 22 1960

September 8, 1960

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

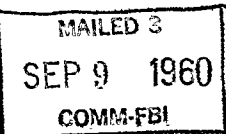
Dear Mr. Rosen:

I want to commend, through you, the Special Agents of the Investigative Division who so capably conducted the tours of the FBI for members and guests of the American Bar Association during its annual meeting.

These agents did an outstanding job in conducting these tours. The many commendatory remarks received clearly indicate that their enthusiastic and skillful efforts resulted in our guests having a more personal glimpse into our operations and reflected most favorably upon the Bureau. Please convey my sincere thanks to all who assisted.

Sincerely yours,

J. Edgar Hoover



SEP 16 PM '60

LRH:crt
(29)

Based on memorandum DeLoach to Mohr dated 9-7-60 CDD:mca/njs.

(OVER)

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

Mr. Alex Rosen

Copies prepared and attached for placing in following files:

William P. Baker

b6

William H. Burke

[REDACTED]

J. Allison Conley

[REDACTED]

J. Russell Faulkner

August B. Fipp, Jr.

F. H. Freund, Jr.

[REDACTED]

Daniel J. Green

[REDACTED]

Karl V. Hetherington

Judson J. Hodges

Richard B. Lavin

Edward J. McDonough

John P. Mull, Jr.

Charles R. McKinnon

[REDACTED]

Henry A. Schutz, Jr.

[REDACTED]

James L. Startzell

Joseph C. Trainor

Robert L. Walters

P. Dennis Williams

[REDACTED]

October 14, 1960

PERSONAL

Dear Al:

It is a real pleasure to extend to you my best wishes and congratulations on your twenty-seventh anniversary with the FBI. Such devotion and loyalty as you have shown over these many years have been a source of real pride to me, for it is because of such associates as you that the Bureau has attained the success and prestige it enjoys today. I hope this occasion will be a happy and satisfying one and that you will enjoy many more.

Sincerely,

[Signature]
J. EDGAR HOOVER

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

430

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

JEH:eh
Anniversary 10-16 (Sunday)

SENT FROM D. O.	
TIME	8:34 a.m.
DATE	10-14-60
BY	<i>[Signature]</i>

MAIL ROOM ☐ TELETYPE UNIT ☐

November 4, 1960

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I want you to know I am highly pleased to commend you for your splendid work in the investigation of the kidnaping of Adolph Coors III and the investigation of Top Ten Fugitive Joseph Corbett, Jr.

Both of these investigations received your personal attention. Your aggressive and competent leadership and direction were important factors in the success realized. These difficult cases were handled in the highest traditions of the Bureau and I do not want the opportunity to pass without telling you I am most appreciative.

MAILED 27

NOV - 7 1960

COMM-FBI

Sincerely,

Mr. Edgar Hoover

1 - Miss Usilton (Sent Direct)

CMT:crt
(4)

Salutation per Reading Room.

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

NOV 7 11 02 AM '60
READING ROOM

431

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

11-1-60

I certify that I have received the following Government property for official use:

~~returned~~

SOG INSPECTORS' MANUAL # 154

H/wh

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

52

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Callahan *WPC/*

DATE: 11-16-60

FROM : A. Rosen *R*

SUBJECT: REQUEST FOR MASTER KEY

Tolson _____
 Mohr _____
 Parsons _____
 Belmont _____
 Callahan _____
 DeLoach _____
 Malone _____
 McGuire _____
 Rosen _____
 Tamm _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Ingram _____
 Gandy _____

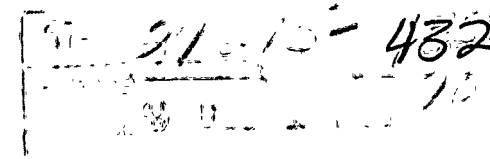
The master key charged to the Investigative Division is for rooms located on the fifth floor only. This key is located in the Tel-Key Cabinet in room 5710 to be used by all authorized division personnel and especially supervisors on week end or holiday duty.

Difficulties have been experienced in using this key to open doors to rooms located on the fourth floor. Further, this key will not open doors occupied by the Accounting and Fraud Section located on the second floor. It will be appreciated if a master key be furnished this division that will open doors on the second, fourth, and fifth floors.

HSU:pab *pab*
 (5)

*Grand master
 received 11/23/60
 ee*

11/22
 REC-135



✓ [Signature] Newman

7/24/3/60

[Signature]

December 12, 1960

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am indeed pleased to commend you for the outstanding attitude you exhibited in reporting for duty today despite the extremely hazardous travel conditions.

You demonstrated a most exemplary devotion to the work of the FBI in considering your services so essential that, in spite of an announcement that all Federal Government agencies would be closed, you reported for duty. I certainly appreciate your dedicated efforts and I want you to know I have instructed that a copy of this letter be placed in your personnel file.

Sincerely yours,

J. Edgar Hoover

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Tamm _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Parsons

DATE: November 4, 1960

FROM : A. Rosen

SUBJECT: COORNAP

Detroit F.O.

Tolson _____
 Mohr _____
 Parsons _____
 Belmont _____
 Callahan _____
 DeLoach _____
 Malone _____
 McGuire _____
 Rosen _____
 Tamm _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Ingram _____
 Gandy _____

This is to recommend that incentive awards be given to the individuals set forth below in connection with their work in the captioned case. These individuals were designated by SAC Hostetter while he was at the Bureau in July, 1960, as the individuals who contributed the outstanding work in connection with this investigation. SAC Hostetter on 11/4/60 restated his views.

Memorandum C. R. Davidson to Mr. Callahan, 11/9/60, recommended that at such time as the Coornap investigation was brought to a logical conclusion the performances of the individuals involved, including the SOG Supervisor, be re-evaluated by the Investigative Division with the assistance of SAC Hostetter with a view of recommending the most deserving for special recognition in the form of incentive awards.

SAC Hostetter in July, 1960, and on 11/4/60 advised that he considered the following as the individuals who contributed outstanding work in connection with this case:

SA [redacted] (Portland Office) was responsible for the Royalite typewriter project which was instituted to endeavor to tie Corbett into the typewriter used for the ransom note. It was necessary to wade through countless records and interview numerous people; however, through sheer determination, a salesgirl was located who tentatively identified Corbett as purchasing the Royalite typewriter from her.

SA GLENN H. BETHEL (Denver Office) The Sheriff was a problem in this case as he was trying to run with the ball. SA Bethel in the face of the Sheriff's attitude established excellent liaison with the Undersheriff and Captain of Detectives, materially aiding our investigation in that we promptly obtained all information received at the Sheriff's Office of value in connection with this case. Bethel handled the evidence at the scene and was utilized on several projects resulting in Corbett as a suspect.

SA CHARLES G. CAMPBELL (San Francisco Office) and SA [redacted] (Albuquerque Office) were project leaders in the search for the victim in the mountainous area of Colorado. The search was extremely difficult in view of the

RJG:ige
 (6)

1 - Administrative Division

30 XEROX
 11-22-60
 BP

3-unt

Memorandum to Mr. Parsons
Re: COORNAP

mountainous, rugged terrain abounding in mine shafts, pits, and numerous caves 100 to 200 feet deep filled with water.

SA PAUL S. CASEY (Minneapolis Office) and SA NORMAN S. HIGSON (Salt Lake City) conducted most of the preliminary investigation which resulted in the identification of Corbett as a prime suspect. Most of the interviews were conducted at the Benjamin Moore Paint Company and Corbett's apartment house.

b6

SA [redacted] (Salt Lake City) handled much of the project-type and lead-type investigation in connection with suspect Corbett. He made several worth-while suggestions which contributed to the over-all picture of the development of Corbett.

SAC MAYNOR (Butte) served as Number One Man of the special squad and on him fell the enormous burden of handling the paper work and many of the administrative details. Maynor has been described as the hub around which the special squad operated.

b6

SA [redacted] (Denver) Both the Coornap case and the Corbett fugitive case were assigned to SA [redacted]. He not only collected much of the background data necessary for some of the major projects but, in addition, thoroughly aided and handled much of the administrative operation of the special squad. He had original supervision and responsibility for the work of all of the auxiliary offices relative to the fugitive case. Upon the departure of SA Pranke he prepared and maintained all lead cards not only those assigned to Agents on the special but likewise all leads assigned to auxiliary offices.

SA RICHARD N. PRANKE (Minneapolis) was of tremendous assistance to the SAC as he prepared and maintained all lead cards, followed the leads with the Agents on the squad, as well as auxiliary offices, maintained liaison with the Chief Clerk to insure appropriate sub-files and reference cards were prepared. His assignment involved the reading of most of the incoming and outgoing communications and as a result of his retentive memory and past experience he was able to make numerous worthwhile suggestions.

b6

SA [redacted] (Butte) was responsible for a number of projects which were investigated, many of which pinpointed activities of Corbett. He also handled some of the key interviews. SAC Hostetter has classified his work as outstanding, stating SA [redacted] demonstrated himself as one of the most capable investigators in the Bureau's service.

Memorandum to Mr. Parsons
Re: COORNAP

SA JESSE R. ~~WAGNER~~ (San Francisco) was assigned as the report writer. It was his job to coordinate all the material and arrange it in a clear concise readable report. SAC Hostetter said SA Wagner's handling of the report writing was outstanding and the reports were literally hundreds of pages in length.

SA DOUGLAS J. ~~WILLIAMS~~ (Denver) served as the equipment officer and as such it was necessary for him to secure all equipment necessary and in fact to anticipate some of our needs. As he had prior experience in the mining area at Butte he was able to organize and direct the search in the mountainous area of Colorado looking for the body of the victim Adolph Coors. It was Williams who on September 11, 1960, received the original information leading to the location of the victim's body. He set up the machinery to enable us to conduct the search and handle the details at the scene.

In addition, it is to be noted that Seat of Government Supervisor J. Robert ~~Boger~~ also performed outstanding work in connection with this matter. This Agent supervised both the Kidnaping case and the Fugitive case from their inception. It was his responsibility to make available on a minute's notice to Bureau officials any necessary material. He was responsible for the enormous dissemination of Wanted Flyers, Identification Orders, and write-ups on subject Corbett. In addition, he made innumerable suggestions for investigation. He had the primary responsibility for supervision of this case at the Seat of Government and coordinating the activities between the field offices.

RECOMMENDATION

It is recommended that each of the above individuals be given an incentive award in an amount to be determined by the Administrative Division.

Letters - 11-4-60 - F. Anthony Zito
Wmt Scott J.
It is recommended that SAC ~~Werner~~, Denver, receive an individual letter of commendation for his contribution to the success of this case and, in addition, it is recommended that a general letter be directed to the Denver Office in order that a copy may be placed in the files of those Agents who have assisted in the investigation and who have not previously been commended.

Done 11-4-60 Wmt
SEE ADDENDUM PAGE 4
Wg
B

Memorandum to Mr. Parsons

ADDENDUM - AR:LS

It is also recommended that Section Chief William B. Welte and No. One Man Richard J. Gallagher of the Criminal Section be commended for their over all supervision of this case and for their excellent assistance afforded this matter which contributed to the successful conclusion of the case.

*Done
11-4-60
unit*

*no letter to
Wick Per
Mr. Rosen
11/4*

It is also recommended that R. E. Wick of the Crime Records Division be commended for the excellent work which was performed in connection with the public relations phase of this case. On numerous occasions it was necessary for information to be disseminated publicly through syndicated features, newspapers, magazines including the Readers' Digest, trade journals, radio and television. Mr. Wick was particularly assisted by Special Agents Thomas F. Mitchell and [redacted] of the Crime Records Division. Each of these men should also be commended for their work performed in connection with the fugitive publicity program in this case.

b6

R

ADDENDUM: D. J. PARSONS IDM:hcw 11/4/60

Assistant Director Rosen and, in his absence, his Number One Man, Courtney A. Evans, have personally followed this case from its inception nine months ago. Either Rosen or Evans has personally passed on each phase of this case, beginning with the kidnaping investigation which resulted in the identification of Corbett as the kidnaper, and the concentrated fugitive investigation resulting in his apprehension. This has been accomplished without regard to personal convenience during evening hours, weekends, holidays, etc. I recommend that Mr. Rosen and Mr. Evans be personally commended for their valuable services over this nine month period which contributed so greatly to the outstanding results in this case.

- 4 -

*Done
11-4-60
unit*

[Signature]

December 8, 1960

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I want to take this opportunity to commend, through you, the personnel in the Investigative Division who performed in such a capable manner in connection with the investigation of the Unlawful Flight to Avoid Prosecution-Kidnaping case involving Frank Marrone and others.

The success achieved in this important case can be attributed in no small degree to the highly skillful, alert and resourceful fashion in which they discharged their respective responsibilities. I was very impressed with the sincere devotion to duty exhibited by all and I want you to convey my sincere thanks to them.

Sincerely yours, 433

1 - Mr. Hereford (Sent Direct)

NEM:hmc
(22)

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____

MAIL ROOM ☐ TELETYPE UNIT ☐

DEC 8 11 03 AM '60
REC'D-READING ROOM
FBI

(OVER)

Mr. Alex Rosen

Place a copy of this letter in files of following personnel.
Appropriate copies are attached.

J. Robert Boger

b6

Mount C. Dulinsky

--

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

11-23-60

I certify that I have received the following Government property for official use:

~~returned~~

Grand Master Key (Tel-key cabinet)

RETURNED

Fifth Floor Master Key (Tel-key Cabinet)

File
3/ee

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.
DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY.

NO DEC 1 1960

Very truly yours

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

Approved by Mr. Callahan 11-22-60

Approval attached
ee

February 10, 1961

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am indeed pleased to advise you that you are being designated Assistant Director in charge of the General Investigative Division, which will consist of the present Criminal Section, Civil Rights Section, Accounting and Fraud Section, and the Name Check Section which was previously located in the Domestic Intelligence Division, effective immediately.

Sincerely,

RECEIVED

434

1 - Mr. Parsons (PERSONAL ATTENTION)

1 -

1 - Movement

NPC:gt

5

SENT FROM D. C.
TIME 5:15 PM
DATE 2-10-61
BY 1045

MAIL ROOM ☐ TELETYPE UNIT ☐

Tolson _____
Mohr _____
Parsons _____
Belmont _____
Callahan _____
Conrad _____
DeLoach _____
Malone _____
McGuire _____
Rosen _____
Trotter _____
Evans _____
W. C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

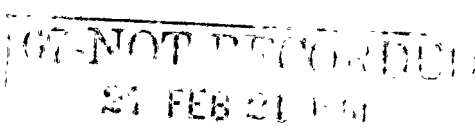
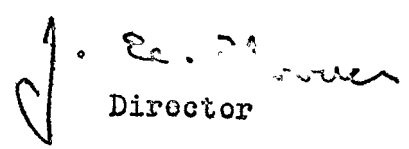


FEB 10 9 55 AM '61

FEB 10 4 42 PM '61
FBI
READING ROOM

b6

NOTIFICATION OF PERSONNEL ACTION

50 - 106 - 13

1. NAME (LAST [CAPS]—First—Middle—Mr.—Miss—Mrs.) ROSEN, ALEX (MR.)		2. DATE OF BIRTH 9-14-05	3. IDENTIFICATION (optional) 00009
4. THIS IS AN OFFICIAL NOTICE OF THE PERSONNEL ACTION DESCRIBED BELOW, WHICH AFFECTS YOUR EMPLOYMENT. GENERAL INFORMATION CONCERNING YOUR EMPLOYMENT APPEARS ON THE REVERSE SIDE OF THIS FORM.			
5. NATURE OF ACTION (standard terminology must be used) REASSIGNMENT		6. EFFECTIVE DATE OF ACTION 2-10-61	7. CIVIL SERVICE OR OTHER LEGAL AUTHORITY EXCEPTED BY LAW
FROM— Assistant Director GS 18 \$18,500 per annum Investigative Division Washington, D. C.		8. POSITION TITLE AND NUMBER 9. SERIES, GRADE, SALARY 10. NAME AND LOCATION OF OFFICE BY WHICH EMPLOYED 11. DUTY STATION	TO— Assistant Director GS 18 \$18,500 per annum General Investigative Division Washington, D. C.
<input type="checkbox"/> Yes		12. APPORTIONED POSITION	<input type="checkbox"/> Yes <input type="checkbox"/> Apportionment Waived STATE: <input type="checkbox"/> Proved
13. VETERAN PREFERENCE No <input checked="" type="checkbox"/> 5-pt. <input type="checkbox"/> 10-pt. Disab. <input type="checkbox"/> 10-pt. Other <input type="checkbox"/>		14. TENURE GROUP	
15. POSITION OCCUPIED IS IN THE: <input type="checkbox"/> Competitive Service <input checked="" type="checkbox"/> Excepted Service		16. APPROPRIATION From: S. & E., FBI TO: SAME	
17. PAYROLL DEDUCTIONS CSR <input type="checkbox"/> FICA <input type="checkbox"/> FEGLI <input type="checkbox"/>		18. DATE OF APPOINTMENT AFFIDAVITS (accessions only)	
19. REMARKS: <input type="checkbox"/> a. Subject to completion of 1 year probationary (or trial) period commencing _____ <input type="checkbox"/> b. Service counting toward career (or permanent) tenure from: _____ Separations: Show reasons below, as required. Check, if applicable: <input type="checkbox"/> c. During probation <input type="checkbox"/> d. From appointment of 6 months or less Basis for this position is Section 505(e) of the Classification Act of 1949 as amended. <div style="text-align: center;"> 21 FEB 21 1961</div> <div style="text-align: right;"> Director </div>			
20. EMPLOYING DEPARTMENT OR AGENCY U. S. DEPARTMENT OF JUSTICE FEDERAL BUREAU OF INVESTIGATION		22. SIGNATURE (or other authentication) AND TITLE 	
21. OFFICE MAINTAINING OFFICIAL PERSONNEL FOLDER (if different than item 10, above) FEDERAL BUREAU OF INVESTIGATION WASHINGTON 25, D. C.		23. DATE: 2-10-61	

February 17, 1961

MEMORANDUM FOR MR. TOLSON

On February 10, 1961, Assistant Director Al Rosen called to assure me that in view of the reassignment of duties and the creation of another division under Assistant Director Courtney A. Evans, he could be depended upon to give his full cooperation in every manner that he possibly could on anything which might fall partially within his division and partially within Mr. Evans' division.

Mr. Rosen's attitude was excellent and I expressed my appreciation to him for the same.

Very truly yours,

/s/ J. E. H.

John Edgar Hoover
Director

435

SENT FROM D. C.	
TIME	9:25 AM
DATE	2/21/61
BY	[Signature]

Tolson _____
Parsons _____
Mohr _____
Belmont _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Tavel _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

JEH:EDM (5)

EB 53 1-1 PM '61

EBT
RECEIVED - HUNB

MAIL ROOM ☐ TELETYPE UNIT ☐

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Parsons

DATE: February 18, 1961

FROM : C. A. Evans *EW*SUBJECT: CLARENCE DOUGLAS DILLON
SPECIAL INQUIRY

Tolson _____
 DeLoach _____
 Evans _____
 Malone _____
 Rosen _____
 Tavel _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Ingram _____
 Gandy _____

This memorandum is submitted to set forth the circumstances under which a neighborhood investigation was conducted in connection with this case, and to recommend action which will prevent a repetition of the error made in this case.

BACKGROUND OF BUREAU POLICY IN SPECIAL INQUIRY CASES:

During the course of Special Inquiry investigations conducted for the Eisenhower Administration, cases arose as to the advisability of conducting neighborhood investigations with reference to prominent individuals. For example, we were asked by the White House to conduct Special Inquiry investigations of General Alfred Gruenther and Admiral Arthur Radford in connection with their appointments to a bipartisan committee to make an independent analysis of the military aid program. Since these individuals are personally known to the President there was some question raised as to the necessity for any investigation at all. The White House insisted on a complete investigation, but we nevertheless did not make neighborhood inquiries.

We have continued to follow this policy, not making neighborhood investigations in our Special Inquiries on prominent individuals, unless there is a specific reason for doing so. Each case has been evaluated when the investigation was ordered, and the field instructed not to conduct neighborhood investigation if the facts justified this action.

We have followed this procedure during Special Inquiries for the current administration. For example, in our investigations of such individuals as Adlai Stevenson, Dean Acheson, Senator Clinton Anderson, Governor Abraham Ribicoff, specific instructions were issued to the field not to conduct neighborhood investigations. (Copies of memoranda submitted in this regard are attached.)

Enc. *See letter sent 2-20-61*

CAE:djj

-8-

XEROX

MAR 24 1961

NOT RECORDED

25 MAR 18 1961

*Let of Censure to Schaler**2-21-61 Tgd:dbm**Let of Censure & Probation**to Mally Tg:dbm 2-20-61**Let of Censure to Schaler**2-21-61 Tgd:dbm*

Memorandum C. A. Evans to Mr. Parsons
Re: CLARENCE DOUGLAS DILLON

BACKGROUND OF CURRENT INVESTIGATION OF DILLON:

Mr. Dillon was the subject of a Special Inquiry in 1953 when he was employed by the State Department. Based on a request from the White House a copy of the summary setting forth the results of the 1953 investigation was furnished to the White House in connection with his current position as Secretary of the Treasury. Based upon this, on February 9, 1961, Mr. Kenneth O'Donnell of the White House requested the Bureau to bring up to date the 1953 Special Inquiry investigation conducted on Mr. Dillon. On the same day the field was instructed to conduct supplemental investigation, bringing up to date the previous inquiry.

During the 1953 investigation neighborhood investigations were conducted in the vicinity of Mr. Dillon's residences in New York and New Jersey. During the current investigation no inquiries were made in the vicinity of Mr. Dillon's previous residences in New York or New Jersey. However, in order to bring up to date the previous investigation and since Mr. Dillon had resided in Washington, D. C., since 1953, it was deemed advisable to make inquiries in the vicinity of Mr. Dillon's residence to complete this phase of the investigation. Accordingly, the teletype to the field ordering this investigation did not limit the investigation to be conducted, other than that the previous investigation was to be brought up to date.

This was gross mishandling.
HANDLING OF THIS MATTER AT THE BUREAU AND IN THE FIELD:

Even though the Washington Field Office, which conducted the investigation in this case, had been informed in other cases involving other prominent individuals that no neighborhood investigations were to be conducted, that office raised no question as to the advisability of such inquiries in the Dillon case. Technically, the field office was operating within the literal interpretations of Bureau instructions since the manual requires the neighborhood investigations. It would appear, however, that the Washington Field Office should have been alert to this situation. The field is, however, not responsible in view of the instructions received from the Bureau.

Their good judgment should have compelled them to make inquiry of the Bureau respecting the stupidity of Rosen's Dir in not properly instructing them.

Memorandum C. A. Evans to Mr. Parsons
Re: CLARENCE DOUGLAS DILLON

The primary responsibility for the error rests with the Bureau. The supervisor handling the case is Charles Schafer, who is on loan from the Washington Field Office. The teletype ordering the investigation went out at 8:38 p.m. on February 9, 1961. It contained no instruction to the field with reference to any neighborhood investigations.

The handling of this matter has been discussed with SA Schafer, Section Chief Stanley, and other supervisors in the Special Inquiry Section. We are in accord that instructions should have been issued to the field not to conduct a neighborhood investigation in this case. All other pending Special Inquiry investigations involving prominent individuals have been reviewed to insure that appropriate instructions were issued to the Field at the time these cases were ordered.

James R. Malley
RECOMMENDED ACTION:

(1) With regard to the specific facts in the Dillon case, it is noted that the supervisor did not ignore the problem involved, but refrained from telling the field not to conduct neighborhood investigations because we are bringing a previous investigation up to date, and neighborhood investigations were conducted during the previous investigations. Nevertheless, his judgment was faulty and accordingly, it is recommended that he receive a letter of censure.

*Done - Schafer
will report
WFO 2-20-61
WSTH*

*Recommend censure for Malley also who
approved teletype to field. *Yes. Sent and
also place Malley on probation. *Yes. Schafer speaks
to the field.***

(2) To provide a double check on these matters in the future, it is recommended that the attached SAC letter instructing the field not to conduct neighborhood investigations in investigations of Cabinet Officers and other prominent individuals, unless specifically directed to do so by the Bureau, be sent.

*Yes. But Rosen is to be censured
for oversight in not issuing such
general instructions originally.*
Special Agent James R. Malley

*My recollection is that I
myself sometime ago
catharted against making
neighborhood investigations
as a blind routine procedure.*

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Parsons *JP*

DATE: 12-1-60

FROM : A. Rosen *R*

SUBJECT: GOVERNOR G. MENNEN WILLIAMS
 SENATOR CLINTON P. ANDERSON (D-NEW MEXICO)
 GOVERNOR ABRAHAM A. RIBICOFF
 WHITE HOUSE SPECIAL INQUIRIES

Tolson
 DeLoach
 Mohr
 Bishop
 Casper
 Callahan
 Conrad
 Felt
 Gale
 Rosen
 Sullivan
 Tavel
 Trotter
 Tele. Room
 Holmes
 Gandy

Stonley
Morris
1/1/61

Requests for investigations of Governor Williams of Michigan, Senator Anderson of New Mexico, and Governor Ribicoff of Connecticut, were received today from Lawrence O'Brien, Assistant to President-elect Kennedy. With reference to Governor Williams, press reports today indicate Williams has been appointed Assistant Secretary of State for African Affairs by Mr. Kennedy. Positions for which ~~Governor Ribicoff and Senator Anderson~~ are being considered are unknown.

Due to the prominence of these individuals, the field has been instructed to conduct no neighborhood investigations, no check of educational background or employment, unless some reasons for doing so arise during the investigations, at which time the Bureau will be so advised, for authority to conduct such checks.

RECOMMENDATION:

This is for information. Teletypes have been sent to the field today ordering these investigations.

- 1 - Mr. Parsons
- 1 - Mr. Rosen
- 1 - Mr. Evans
- 1 - Mr. Malley
- 1 - Mr. Stanley
- 1 - Mr. Morris
- 1 - Mr. Young

CHS:dlb

-8-

JP *Rd* *V.* *air* *gib*
OK *H*

7-27-15-756

Memorandum

Mr. Parsons

12/3/60

Mr. Rosen

SPECIAL INQUIRIES
(KENNEDY ADMINISTRATION)

Mr. Lawrence O'Brien has requested 21 investigations for the Kennedy Administration. He desires these handled expeditiously.

These cases are being ordered today on a special and expeditious basis and the following limitations are being placed on the investigations of the 3 persons mentioned below. Educational background will not be checked, there will be no neighborhood investigations made and inquiries concerning previous employment will be dispensed with unless some reason develops which would require such inquiries.

Dean Schesen, former Secretary of State

Dr. Ralph Bunche, of the United Nations

Orville Freeman, Governor of Minnesota

Herschel Loveless, Governor of Iowa

George S. McGovern, Member of Congress,
South Dakota

William R. Poage, Member of Congress,
Texas

Adm. E. Stevenson, former Governor of Illinois

Thomas J. Watson, President, International
Business Machines

RECOMMENDATION:

That approval be given to handle the above 3 investigations in the manner described because of their prominence.

JM:jpr:ram
(6)

OK. EXPEDITE

H

UNITED STATES GOVERNMENT

Memorandum

TO : DIRECTOR

DATE: 2/10/61

FROM : CLYDE TOLSON

SUBJECT:

Tolson _____
 DeLoach _____
 Mohr _____
 Parsons _____
 Belmont _____
 Callahan _____
 Conrad _____
 DeLoach _____
 Malone _____
 McGuire _____
 Rosen _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Ingram _____
 Gandy _____

Mr. Evans

I would like to recommend that the present Investigative Division be divided into two divisions, with one-half under Mr. Rosen and one-half under Mr. Evans. Mr. Rosen would be in charge of the General Investigative Division with 61 agents and 87 clerks. Mr. Evans would be in charge of the Special Investigative Division with 56 agents and 104 clerks. Mr. Rosen would have four sections, including the Criminal, Civil Rights, Name Check and Accounting and Fraud Sections. Mr. Evans would have three sections, including the Fugitive Section, Employees Security and Special Inquiry. It is to be noted that these are the same sections Mr. Evans is responsible for as #1 Man of the present division. The fugitive Section handles top hoodlum and racketeer matters.

The Name Check Section is presently under Mr. Belmont. However, this section was formerly under Mr. Rosen and it has no direct connection with work in the Domestic Intelligence Division. By transferring this section the Domestic Intelligence Division will lose only 9 agents and 29 clerks, leaving that division with a complement of 117 agents and 141 clerks.

Attached is a complete breakdown showing the responsibilities of each of the proposed new divisions.

RECOMMENDATION:

That the proposed two new divisions be approved and that Mr. Evans be designated Assistant Director of the Special Investigative Division.

CT:DSS

Letter to Farnsworth
 Prep.
 2-10-61
 JPT

REC-134

67-8005-1986

7 FEB 1961

134

2 XEROX
 FEB 24 1961

UNITED STATES GOVERNMENT

Memorandum

Tolson _____
 Parsons _____
 Mohr _____
 Belmont _____
 Callahan _____
 Conrad _____
 DeLoach _____
 Evans _____
 Malone _____
 Rosen _____
 Tavel _____
 Trotter _____
 W.C. Sullivan _____
 Tele. Room _____
 Ingram _____
 Gandy _____

TO : MR. CALLAHAN

DATE: 4-5-61

FROM : C. R. DAVIDSON *CR Davidson*

SUBJECT: ALEX ROSEN
 Assistant Director
 General Investigative Division
 ANNUAL PHYSICAL EXAMINATION

Assistant Director Rosen will be due for his annual physical examination on April 27, 1961. It has been our policy in the past to schedule all investigative employees annually for a physical examination. However, the Legislative Branch generally does not adjourn until August each year and reconvenes in January.

RECOMMENDATION: That Assistant Director Rosen's physical examination be deferred until the Legislative Branch adjourns in August, 1961.

John. mpc 4/6

GWG
 GWG
 (2)
LDH-WBK

67-371-437
 REC-140
 APR 7 1961

8 APR 18 1961

3/28

February 21, 1961

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

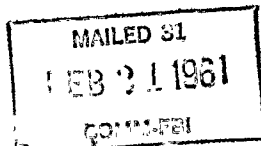
My attention has been directed to the gross mis-
handling by your subordinates of a Special Inquiry investigation
concerning a certain prominent individual and you share in the
responsibility for this dereliction. In ordering the investigation
on February 9, 1961, there was a failure to issue appropriate
instructions relating to the scope of the inquiry and as you are
aware, the Bureau was subsequently criticized and ridiculed.
This deplorable situation could have been avoided if you had taken
steps to clarify the regulations pertaining to cases in this classi-
fication.

I must insist that you make it crystal clear to your
subordinates that future sensitive investigations must be handled
with greater adroitness and effectiveness so that such incidents
will be avoided.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director



Tolson _____
Parsons _____
Mohr _____
Belmont _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Tavel _____
Trotter _____
W.C. Sullivan _____
Tele. Room _____
Ingram _____
Gandy _____

FJN:dbm (4)

1 - Investigative Division Personnel File

Based on Evans to Parsons memo 2-18-61 CAE:djj.

MAIL ROOM ☐ TELETYPE UNIT ☐

FEB 21 11 01 AM '61
FBI
RECEIVED ROOM

67-27215-~~438~~
ALEX ROSEN _____ IN THIS FILE SKIPPED DURING
SERIALIZATION.

134

6-1-61

Mr. Byron R. White
Deputy Attorney General
Director, FBI

May 1, 1961

OUTSTANDING PERFORMANCE RATINGS
Federal Bureau of Investigation

There are enclosed the annual performance ratings on the below-listed employees, covering the period from April 1, 1960, through March 31, 1961, wherein they have been given the adjective rating of Outstanding.

James F. Bland
Augustus K. Bowles III
George W. Dingle
Joseph D. Donehue
Leo J. Gauthier

[REDACTED]
Anthony P. Litrento

[REDACTED]
Norman E. McDaniel
Clement L. McGowan, Jr.

Sam Papich
Chester L. Rogers
~~Alex Rosen~~
Charles Q. Smith
W. Raymond Wannall, Jr.

[REDACTED]
Jamie S. Johnson

In accordance with existing requirements, these ratings are transmitted for final approval by the Efficiency Awards Committee of the Department. I would appreciate it if you would promptly advise me when these ratings have been approved, in order that they can be considered official. To facilitate such processing, my signature on the ratings or that of my assistant, Mr. Mohr, as the approving officer will also serve as approval in my capacity as a member and Mr. Mohr as alternate member of the Efficiency Awards Committee.

Enclosures (20)

LRH:afh
(24)

DUPLICATE YELLOW

Copies prepared and attached for files of above-named employees.

NOT RECORDED
8 MAY 2 1961

Mr. Tavel

April 25, 1961

b6

**BUPLANS - COMMUNICATIONS
PRIORITY I LONG-DISTANCE
TELEPHONE SERVICE**

b6

On April 13, 1961, Mr. Rosen's secretary [redacted] informed me that Mr. Rosen could not locate his instruction card for use of captioned system, Number 23, which had been issued to him several years ago. You will recall that this is a method of making long-distance telephone calls from Washington, D. C., in an emergency where the telephone company's switchboards would be abandoned, and it is restricted in this Bureau to the Director, Mr. Tolson, Mr. Mohr, Mr. Parsons, and the Assistant Directors.

b6

As you instructed, I made up a replacement card for Mr. Rosen dated April 20, 1961, Number 23, and marked it duplicate and delivered the card on the date it was dated to [redacted] for Mr. Rosen.

RECOMMENDATION:

That this memorandum be made a matter of record in File 66-17385.

① - Personnel file of Alex Rosen

LEW:mrp
(4)

67-1100-1-1000
APR 28 1961

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Tolson

DATE: 4-14-61

FROM : Mr. Mohr

SUBJECT: ALEX ROSEN
Assistant Director

Tolson _____
 Parsons _____
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OUTSTANDING ANNUAL PERFORMANCE RATING

There is attached for approval annual rating for Mr. Rosen, covering the period from April 1, 1960, through March 31, 1961, rating his services as Outstanding. Mr. Parsons has signed the rating as the rating official.

In the event you approve this rating, I respectfully request that you sign both the original and copy as the Reviewing Official and the Director sign both the original and copy of this rating as the Approving Official. Thereafter it must be submitted to Deputy Attorney General Byron R. White in the Department for approval by the Efficiency Awards Committee. Upon approval of this rating by the Efficiency Awards Committee, it will be returned to the Bureau and Mr. Rosen will be furnished a copy of this rating. He will also be entitled to a cash incentive award, under the provisions of the Incentive Awards Plan. You recall that you have authorization under delegation from the Attorney General to approve such awards up to \$500, which is the customary amount that has been approved for Assistant Directors and above. For officials below the level of Assistant Director, who are in grade GS-16 or above, it has been customary to approve awards of \$400. For those below grade GS-16, awards of \$300 have been approved.

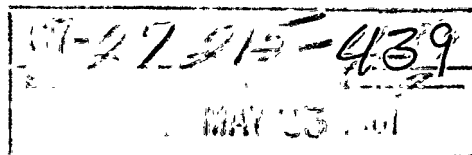
Should you agree with the foregoing, this rating will be forwarded to the Department for approval and after approval by the Department, necessary check will be drawn and a letter prepared for the Director's signature.

RECOMMENDATION:

It is recommended that you, as the Reviewing Official, and the Director, as the Approving Official, sign the original and copy of the attached Outstanding performance rating for Mr. Rosen and that upon approval of this rating he be approved for an incentive award in the amount of \$500.00.

Enclosure
 NEM:afh
 (2)

REC-130



1 MAY 29 1961

FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: GENERAL INVESTIGATIVE DIVISION
(Division) (Section, Unit)

Official Position Title: ASSISTANT DIRECTOR

Rating Period: from April 1, 1960 to March 31, 1961

ADJECTIVE RATING: OUTSTANDING
Outstanding, Excellent, Satisfactory, Unsatisfactory

Employee's
Initials

Rated by:	<u>[Signature]</u> Signature	<u>Assistant to the Director</u> Title	<u>4-3-61</u> Date
Reviewed by:	<u>Clyde A. Tolson</u> Signature	<u>Associate Director</u> Title	<u>4-3-61</u> Date
Rating Approved by:	<u>[Signature]</u> Signature	<u>Director</u> Title	<u>4-3-61</u> Date

TYPE OF REPORT

☒ (X) Official
☒ (X) Annual

REC-147

☐ () Administrative
☐ () 60-Day
☐ () 90-Day
☐ () Transfer
☐ () Separation from Service
☐ () Special

67-5225-440

SEARCHED
MAY 26 1961

3-11

NARRATIVE COMMENTS

Note: The regulations require that OUTSTANDING ratings be supported by a statement in writing setting forth IN DETAIL the performance IN EVERY ASPECT and the REASONS for considering each worthy of SPECIAL COMMENDATION. UNSATISFACTORY ratings must be supported by a statement in writing stating (1) WHEREIN the performance is unsatisfactory, (2) the facts of the (90 day) PRIOR WARNING, and (3) the efforts made AFTER THE WARNING TO HELP the employee bring his performance up to a satisfactory level.

ALEX ROSEN
ASSISTANT DIRECTOR
GENERAL INVESTIGATIVE DIVISION
ENTERED ON DUTY OCTOBER 16, 1933

Mr. Rosen as Assistant Director of the General Investigative Division is responsible for the over-all supervision and direction of some of the more involved criminal violations within the Bureau's jurisdiction. He has discharged the many duties of his office in a superior manner and most assuredly has earned an Outstanding rating for the annual performance rating period from April 1, 1960, through March 31, 1961.

Mr. Rosen is a veteran employee who has completed more than twenty-seven years in the Federal Bureau of Investigation and has had extensive experience in various administrative and executive capacities both in the field and at the Seat of Government. He is a recognized authority on criminal violations within the Bureau's jurisdiction and has served as Assistant Director in charge of such matters for more than nineteen years. During the past year the responsibilities of the Bureau have continued to increase resulting in the assumption of even greater burdens by the top-level executive staff. Mr. Rosen has had to shoulder a large share of the increased responsibility. He has done so in an exceptionally efficient manner judiciously handling his multiple executive duties and effectively and tirelessly directing criminal investigative operations throughout the field.

The splendid accomplishments of the Bureau during the past year in such matters as fugitives apprehended, automobiles recovered, convictions and fines, savings and recoveries attest to Mr. Rosen's leadership. During the rating period the Bureau has enjoyed continued success in the solution of cases of national implication such as the Kidnaping cases involving Melvin Davis Rees, Jr.; Frank Marrone and Joseph Corbett, Jr., and the Bombing Matter involving [REDACTED] [REDACTED] These were all involved, fast-moving investigations with tremendous ramifications which required adroit, top-level planning, supervision and direction from Bureau headquarters. Mr. Rosen made major contributions to the success realized.

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b7D

An intense determination and desire to further the best interests of the Bureau have always characterized Mr. Rosen's performance. He is objective, meticulous and thorough in his analyzation and handling of major undertakings and as a result his performance leaves little to be desired. He displays vision and logic in his thinking and in every respect possesses the outstanding qualities desired of a high-ranking Government official. He is a dedicated Bureau official who has performed in a superior manner in every respect during the rating period and definitely merits an Outstanding performance rating.

May 25, 1961

PERSONAL

MAY 25 9 44 AM '61
REC'D-READING ROOM
FBI

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am indeed pleased to advise you that your superior services for the period April 1, 1960, to March 31, 1961, have merited an Outstanding performance rating which has been approved by the Efficiency Awards Committee of the Department. Enclosed is a copy of this rating for your retention.

In recognition of this splendid accomplishment I have approved an incentive award for you in the amount of \$500.00. The check for \$410.00, which is enclosed, represents this award less withholding tax. I certainly do not want the opportunity to pass without expressing my sincere appreciation for the dedicated and highly competent fashion in which you discharged your many heavy responsibilities during the past year.

REC-137

Sincerely,

J. Edgar Hoover

Enclosures (2)

1 - Mr. Parsons (Personal Attention)

1 - Mr. M. A. Jones

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1 - [Redacted] (Sent Direct)

LRH:rd

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67-27215

Award #643-61

NOTE: Salutation per file.

MAIL ROOM ☐ TELETYPE UNIT ☐

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Office Memorandum • UNITED STATES GOVERNMENT

TO : Mr. J. Edgar Hoover
Director, Federal Bureau of Investigation

DATE: May 23, 1961

FROM: *Adm* S. A. Andretta
Administrative Assistant Attorney General

SUBJECT: "Outstanding" Performance Ratings

This refers to your recommendations for "Outstanding" performance ratings for the following employees for the period April 1, 1960 through March 31, 1961:

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James F. Bland ✓
Augustus K. Bowles, III ✓
George W. Dingle ✓

[] ✓
Leo J. Gauthier ✓
[] ✓
Jamie S. Johnson ✓
[] ✓
Anthony P. Litrento ✓
[] ✓

Norman E. McDaniel ✓
Clement L. McGowan, Jr. ✓
Fred M. Miller ✓

[] ✓
Sam Papich ✓
Chester L. Rogers ✓
Alex. Rosen ✓
Charles Q. Smith ✓
W. Raymond Wannall, Jr. ✓
[] ✓

The ratings have been approved and in accordance with the Department's performance rating plan a copy of the justification should be furnished to each of the above employees.

*Letter re rating
of incentive awarded
9/25/61
[initials]*

REC-143

033-844
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3- [initials]

20 XEROX
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[initials]

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June 16, 1961

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am indeed pleased to commend you for your outstanding on-the-scene direction of the investigation of mob violence at Montgomery, Alabama, in connection with the 1961 Freedom Riders.

Despite the highly sensitive nature of this matter, you did an excellent job in coordinating all facets of the investigation and in maintaining close personal liaison with certain Government officials. I was very impressed with your efforts to protect the interests of the Bureau and I do not want the opportunity to pass without expressing my appreciation.

REC-130
Sincerely,
J. Edgar Hoover

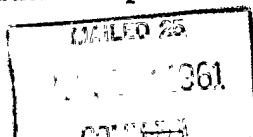
1 - Mr. M. A. Jones (Sent Direct)

1 - [Redacted] (Sent Direct)

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NOTE: Salutation per Reading Room.



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UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont

DATE: June 14, 1961

FROM : A. Rosen

SUBJECT: FREEBUS

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With reference to the assignment of personnel in the above case to the recent investigation at Montgomery, Alabama, involving Freedom Riders, the following are being noted as having performed commendatory action while on assignment in this case. Those assigned to the Mobile Office are as follows:

SA [redacted] (Resident Agent at Selma, Alabama)

A separate memorandum has been prepared with reference to his activity. It should be noted that he assisted on this special assignment at the command post - Montgomery Resident Agency. His performance has previously been noted for its excellence. He is the Resident Agent at Selma, Alabama.

SA [redacted] and [redacted] (both assigned to Montgomery)

The above two Agents assisted through excellent coverage and knowledge of the local situation. It was necessary that they subject themselves to possible violence and harm during the various periods of tension and violence and it was necessary for them to maintain close contact with the Negro Baptist Church from which the activities of the Freedom Riders were being controlled by the local colored ministers. They assisted the SAC on numerous occasions in going into these areas while the mobs were in the vicinity. They did so in a discreet manner without attracting attention and were able to conduct the necessary interviews; and regardless of obvious obstacles and possible incidents which might have arisen, neither expressed nor indicated any concern. They assisted the SAC in completing his assignment with dispatch and good judgment. The SAC was Richard Held of the Mobile Office.

SA [redacted] and SA [redacted] (both assigned to Mobile)

SA Warren G. Johnson (assigned to Little Rock)

The above Special Agents were in charge of the preparation of reports and administrative duties in connection with listing leads and assigning and fulfilling the work which was instructed be completed with dispatch. In connection

cc - Mr. Mohr
 cc - Mr. Malone
 cc - Mr. Callahan

ARmpd (9)

XEROX

JUN 26 1961

Memo to Mr. Belmont from A. Rosen 6/14/61

with the preparation of reports and handling of leads, they worked long, continuous hours to complete their assignments and on one occasion were required to work continuously for 48 hours under most difficult circumstances and conditions in order to complete a 591-page report.

✓ SA Edward J. Brennan (assigned to Mobile)

He was responsible for and handled the installation of telephone facilities to permit extensive coverage during the assignment and assisted in special aspects of this case in the handling of technical and other equipment which were required at the command post. A separate memorandum has been written with reference to his performance.

✕ SA Spencer H. Robb (Senior Resident Agent at Montgomery)

He was assigned as liaison contact at Maxwell Air Force Base and it was his responsibility to advise the command post at the Montgomery Resident Agency of activities at the Maxwell Air Force Base and to carry out liaison assignments given in the course of the investigation.

✕ SA Dan O. Stephens (Resident Agent at Montgomery)

This Agent gave freely of his time and talents to keep the command post communication facilities in operation. It is refreshing to see the spirit which moves this individual to perform outstandingly under most difficult physical handicaps. It is my view that he certainly carries a full workload. I also had an opportunity to observe him after the special assignment was discontinued and he, according to my observation, performed the work of an Agent who has no physical handicaps. He handles the phone, radio communications, interviews complainants, and turns out a considerable amount of work. He is well respected in the community, asks for no favors, and is treated as any other Agent. His background, of course, is well known to the Bureau. He is most appreciative.

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✕ ; and (stenos assigned to Mobile)

These stenographers worked throughout the case without any regard for their personal convenience under most difficult situations inasmuch as they had temporary quarters and were stationed at three locations, working under tremendous pressure, and in excess of four hours per day average overtime. They worked ten to twelve hours a day on both weekends they were assigned to the Montgomery Resident Agency. They were most cooperative and definitely are an asset to the Bureau.

Memo to Mr. Belmont from A. Rosen 6/14/61

[redacted] and [redacted] (Little Rock stenographers)

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[redacted] and [redacted] of Little Rock both put in long hours over an extended period for a full week. Their attitude was excellent and they contributed to a large degree in the successful handling of our responsibilities. They should be commended.

The above observations are based upon my conversations with SAC Casper of Little Rock, SAC Held of Mobile, and personal observation.

ASAC J. T. Sylvester of New Orleans, who was assigned to cover both Meridian and Jackson, Mississippi, should also be the subject of commendation in connection with the assignment of personnel and the dispatch of the work in both these areas. Special Agents on special assignment were directed to the New Orleans territory to implement personnel at Jackson and Meridian, Mississippi, to which points the Freedom Riders were directed initially and to which points, particularly Jackson, Mississippi, the Freedom Riders have continued to go.

RECOMMENDED ACTION:

It is recommended that the above-named personnel be given letters of commendation for the excellence of their performance and devotion to duty during the above assignment, which was most difficult.

It is also recommended that SAC Held at Mobile, SAC Casper at Little Rock, and ASAC Sylvester of New Orleans be commended for the manner in which they fulfilled their specific assignments during the course of this investigation.

R
ADDENDUM A. H. BELMONT IDM:hcw 6/14/61

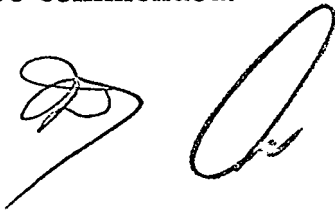
Assistant Director Rosen directed this entire investigation, first from the Bureau and secondly from the scene at Montgomery, Alabama. This was a particularly sensitive investigation in which the Attorney General and Deputy Attorney General were personally active. It is considered that Mr. Rosen did an excellent job in coordinating all facets of this investigation

6-16-61
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Memo to Mr. Belmont from A. Rosen 6/14/61

including the maintenance of close personal liaison with Deputy Attorney General White and other Department officials. He did an outstanding job in protecting the interests of the Bureau. It is recommended that he be commended.



Done
6-16-61
af.h

June 5, 1961

PERSONAL ATTENTION

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have reviewed the results of the inspection of the General Investigative Division, and, based on the Inspector's findings, the various operations are rated as follows: physical condition and maintenance, investigative operations and personnel matters - very good; administrative operations and contacts - good.

The space occupied by your division was found to be secure, neat, well organized and orderly, although still crowded in some areas. Any possible realignment of space that will alleviate this condition should be carefully followed by you. The housekeeping delinquencies detected should be promptly corrected.

The cases reviewed showed aggressive and pertinent supervision, as well as adequate instructions, was being afforded the field in these important matters. You should insure that this continues. Mail emanating from your division flowed smoothly. The even flow of mail is of concern to me, and you must continue to give this matter your personal attention to prevent any bottlenecks from developing.

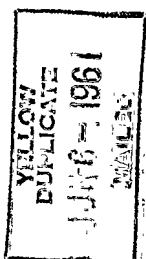
The work load was considered adequate and equitably distributed. You should, however, closely follow your over-all work load and consider possible shifts of personnel within the division whenever the work load in any area becomes such that it cannot be adequately handled without becoming delinquent.

- 1 - Mr. Callahan (Attention: Mr. C. R. Davidson) (with enclosures)
① - Personnel file of Assistant Director Alex Rosen

NOTE: Based on memo from Mr. Malone to Mr. Mohr dated 6/5/61 captioned "Inspection - General Investigative Division, E. C. Williams, Inspection Staff, May 9 - 26, 1961," ECW:mbk.

ECW:mbk

(7)



Mr. Alex Rosen

Your division is up in all categories of statistics as compared with the same period last year. I am sure you recognize these accomplishments as one of the criteria by which the Bureau is judged. I expect you to continue to afford this your personal attention to insure a positive achievement for the entire fiscal year.

As of April 30, 1961, two classifications had high field delinquency. While it was noted that appropriate attention has been given to these matters, it is imperative that our work be kept in a current status at all times.

Convictions in Bank Robbery matters are down as compared with the same period last year. The preliminary figures furnished by you indicate you expect to overcome this deficiency in the next month. I will expect you to give this matter your continuing close attention so that our accomplishments in this classification will exceed last year's figure.

The Bureau is confronted with increasing responsibilities in the Civil Rights field. Only through ever-improving coverage in this vital area, particularly through racial informants, will the Bureau's responsibilities be adequately met. I want these matters to receive very aggressive attention and you must insure that they are closely supervised.

The number of long-distance telephone calls was high, and they should be reduced consistent with investigative responsibilities.

Morale throughout the division was found to be very good. Voluntary overtime was noted to be essential, necessary, productive, and the work load equitably shared. Personnel assigned to the division is considered adequate but not excessive. As instructed by the Inspector, you should re-evaluate your personnel needs in the event changes in work load or other pertinent factors occur and initiate appropriate action.

Liaison effected by your division within its particular sphere of activity was considered satisfactory, and it was observed that you are alert to items of importance in legislative matters affecting the Bureau's interests.

Mr. Alex Rosen

The General Investigative Division has a definite responsibility to promote a positive Public Speaking Program. The Inspector's instructions to develop within the division a cadre of qualified persons available to represent the Bureau in this field and to participate in representative conventions or meetings of business groups should be followed.

I am in complete agreement with the Inspector's suggestions and instructions regarding specialized training in major statistics-producing categories; such as, Interstate Transportation of Stolen Motor Vehicles, Theft from Interstate Shipment, and Interstate Transportation of Stolen Property, and the opportunity for field agents to meet informally with the Section Chiefs or Number One Men at scheduled periods during Criminal In-service. I expect you to comply with these instructions.

The findings of the Inspector have already been called to your attention. These findings should be carefully reviewed by you and your supervisory staff. On completion of this review, you should promptly inform the Training and Inspection Division of the corrective action taken by you.

Sincerely yours,

John Edgar Hoover
Director

June 26, 1961

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

It is a pleasure to take this occasion to commend, through you, the personnel in the General Investigative Division who assisted in handling the large volume of work relative to the investigation of the 1961 Freedom Rides.

These people displayed a great deal of diligence and interest in the work of the Bureau in devoting many hours of their personal time to this matter at considerable inconvenience in order to insure that it was effectively and efficiently handled. Their splendid attitude and excellent work were noteworthy and I do not want the opportunity to pass without asking you to convey to them my sincere appreciation.

Sincerely yours,

J. Edgar Hoover

MAILED 25

JUN 28 1961

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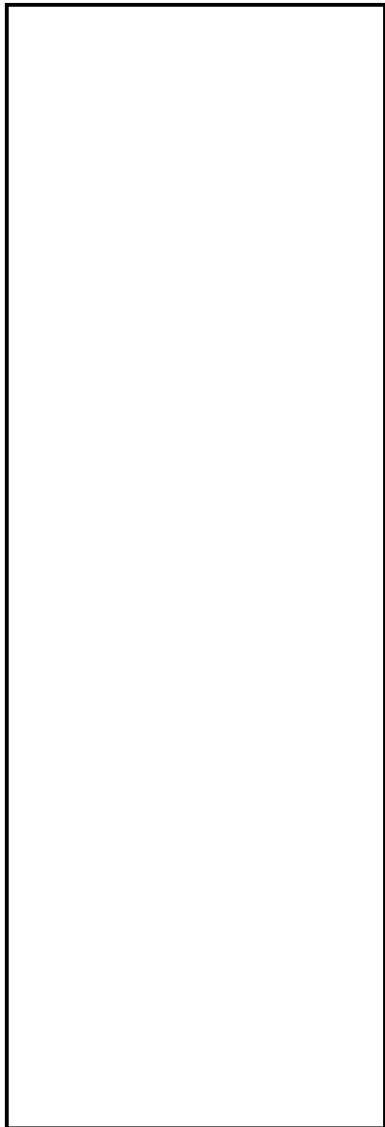
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(OVER)

Mr. Alex Rosen

Copies of this letter prepared and attached for placing in
following personnel files:



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out of Service)

Mr. Mohr

6/5/61

J. F. Malone

INSPECTION - GENERAL INVESTIGATIVE DIVISION
E. C. WILLIAMS, INSPECTION STAFF
MAY 9 - 26, 1961

SYNOPSIS

Officials: Assistant Director Alex Rosen in charge since 2-10-61 (previously in charge Investigative Division since 11-12-40); Inspector James R. Malley since 2-10-61 (previously No. 1 Man in charge of Employees Security and Special Inquiry and Fugitive Sections, which sections transferred 2-10-61 to Special Investigative Division).

Physical Condition and Maintenance - Very Good. Space neat, clean and maintained properly but crowded. Division instructed to follow needs closely and alleviate problem when space available. Housekeeping delinquencies low. Ordered corrected.

Investigative Operations - Very Good. Equitable distribution of adequate work load. Assistant Director told to follow periodic upswings of work load and specials to equalize wherever possible. Mail flow smooth. Statistical accomplishments 10 months fiscal 1961 exceed fiscal 1960. Anticipate new highs in statistical accomplishments for fiscal 1961. Bank Robbery convictions slightly below same period last year, however, Division estimates, based on current figures, indicate potential new all-time high for fiscal year. Division affording Civil Rights matters close supervision. Importance of Civil Rights matters and racial informant coverage stressed.

Administrative Operations - Good. Adequate supervision given field. Errors in correspondence low, complete accuracy emphasized. Registers, Time and Attendance and leave records maintained satisfactorily. Ticklers satisfactory. Excessive ticklers in Civil Rights Section ordered purged. More complete tickler system set up to follow Defense Plans. Division alert to furnishing FBI Notes, human interest items and interesting cases. Suggestions made to eliminate unnecessary communications and dissemination in Bank Robbery matters. Division agreed. Stenographic and typist operations efficient. Number of long-distance calls high - instructed to reduce wherever possible.

Personnel Matters - Very Good. All personnel considered alert, adequately trained. Morale very good. Personnel adequate but not excessive. Overtime considered essential, productive, unscheduled and work load equitably shared.

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Enclosures

1 - Mr. Callahan (Attention: Mr. C. R. Davidson) (sent separately)

ECW:mbk

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Memo to Mr. Mohr

Re: Inspection - General Investigative Division

Overtime average for 3 months - 2'58". Division instructed to emphasize specialized training in major statistics-producing classifications and schedule informal meetings at Section level with field agents during Criminal In-Service.

Contacts - Good. Contacts considered satisfactory. Public Speaking Program generally satisfactory. Assistant Director told to have cadre of qualified speakers available and to initiate participation in more speeches before appropriate business and banking groups.

RECOMMENDATIONS: 1. Assistant Director Alex Rosen, GS-18 @ \$18,500, non-veteran, not on probation. Mr. Rosen considered capable, firm and fair administrator. Is cognizant of Division operations. Subordinates respect him. He makes excellent appearance and provides leadership. He is discharging over-all responsibilities in satisfactory manner and has close teamwork with other supervisory officials in Division. Over-all operations of division considered effective at this time. It is recommended that he be retained in present position. If approved, attached letter will summarize inspection findings.

2. Inspector James R. Malley, GS-17 @ \$16,530, nonveteran, on probation since 2-21-61 for approving a communication dated 2-9-61 ordering a special inquiry investigation (Special Inquiries then under his supervision) concerning a certain prominent individual and not discovering there was a failure to issue appropriate instructions regarding the extent of the investigation required under the existing circumstances. Malley makes an excellent appearance and has a mature businesslike personality. He is a hard worker and a loyal, dedicated employee with an excellent attitude. He is thoroughly versed in over-all operations of the Division with a keen recognition of the Bureau's responsibilities. He very capably administered the Division while Mr. Rosen was absent on Civil Rights Special. Mr. Rosen states Malley is qualified for present position and in view of the excellence of his work during this period recommends he be removed from probation. Inspector concurs, and, if approved, attached letter will advise Malley he is being removed from probation.

3. Recommendations re other personnel handled separately.

PERMANENT BRIEF OF INSPECTOR JAMES R. MALLEY ATTACHED.

Memorandum to Mr. Mohr
Re: Inspection - General Investigative Division
E. C. Williams, Inspection Staff,
May 9 - 26, 1961

DETAILS

PHYSICAL CONDITION AND MAINTENANCE VERY GOOD

General Investigative Division space found to be secure, adequate privacy, clean and maintained in orderly fashion. Housekeeping delinquencies, none of major nature, ordered corrected. Division has space on 4 floors and in Identification Building. This not desirable as best arrangements would be to have contiguous space for all sections. Name Check Section located in Identification Building which is practical as files used by this section also in Identification Building. Assistant Director ordered to closely follow and when opportunities present realign space in order to have contiguous arrangement of division sections.

Division equipment needs checked and considered adequate. Orders for repairs being handled on individual basis. Corrective measures, consistent with funds available, suggested in connection with heat and noise of Xerox machine in Name Check Section. Personal property of Agents spot checked and satisfactory. Four Agents ordered to get new photographs on credentials.

INVESTIGATIVE OPERATIONS VERY GOOD

Review of ten most important cases handled in each section as well as representative number of other cases indicates cases being followed closely and that aggressive and pertinent instructions being given. Dissemination being made properly. Field is given constructive instructions. No substantive errors or errors of form noted in 94 files reviewed. Pending work surveyed for period May 10, 11 and 12, 1961. With present complement of 62 Agents there was 13 hours current work plus 7 hours pending project work for total of 20 hours work per Agent. Similar period in May, 1960, shows Division had 93 Agent personnel with average of 15.6 current hours work and 18.2 hours project work for over-all average of 33.8 hours work per Agent. Decrease in over-all project work partially due to transfer out of division of Fugitive Section, which carried large amount of project work at last inspection. Labor Unit of Accounting and Fraud Section has had large increase of current work. Two additional Agents under transfer in. This will help bring current work more in line with other Units. Work increase due to Departmental interest in matters re labor leader Hoffa, antitrust cases and Teamster Pension Funds loans. Criminal Section carries work load comparable to Accounting and Fraud Section. There has been over-all increase of work load in Criminal Section from 17,301 on 4/30/60 to 18,491 as of 4/30/61. Stenographic pending work adequate

Memorandum to Mr. Mohr

Re: Inspection - General Investigative Division

E. C. Williams, Inspection Staff,

May 9 - 26, 1961

and presents no problem. Pending clerical work load adequate although heavy work load exists in Name Check and Special Memo Unit. This work of specialized nature and does not lend itself readily to assignment to other Units. Work is adequately controlled within Name Check Section. Heavy clerical and stenographic load also exists in General Crimes Unit. Assistant Director told to follow work loads in various units and wherever possible shift personnel in order to equitably share work load. Assistant Director agreed with Inspector's comments.

At time Special Investigative Division established, Investigative Division up in all four categories of statistics. Fugitive statistics now assigned Special Investigative Division. Statistical accomplishment for General Investigative Division in three statistics-producing categories (convictions, fines, savings and recoveries, and automobiles) during first 10 months fiscal year 1961 exceeded those for same period in 1960. Administrative devices (administrative review of cases) appear adequate as results are being produced. Analysis of field delinquency based on Administrative Summary Report for April, 1961, showed over-all field delinquency 6.2%. Two classifications found in cases supervised by General Investigative Division in which field delinquency exceeded 10%. Instructions issued to insure close and vigorous attention given to bring delinquency to irreducible minimum. Bank Robbery survey indicates violations have increased in first ten months of fiscal 1961 over same period last year by 112 or 17%. As of 5/24/61, 304 violations reported. Convictions for first 10 months fiscal 1961, ten less than same period last year. Figures available for May, 1961, indicate convictions for first 11 months fiscal year 1961 will exceed same period last year. Mr. Rosen advised he estimates new all-time high would be reached in Bank Robbery convictions at close of fiscal year. He recognized need for continuing rise in solutions of these cases.

Division affording Civil Rights matters close supervision. Need for increasing coverage, particularly in area of racial informants, in Civil Rights matters because of added responsibilities and need for close continuous supervision in this area stressed to Assistant Director and Civil Rights Section.

ADMINISTRATIVE OPERATIONS GOOD

On 2/10/61, C. A. Evans appointed Assistant Director of new Special Investigative Division resulting from split of Investigative Division. Two sections consisting of Employees Security and Special Inquiry Section and Criminal Intelligence, Organized Crime and Fugitive Section, now in new Special Investigative Division. Four sections now compose General Investigative Division which are

Memorandum to Mr. Mohr

Re: Inspection - General Investigative Division

Criminal Section, Accounting and Fraud Section, Civil Rights Section and Name Check Section which was transferred from Domestic Intelligence Division. Division has Assistant Director Rosen and Inspector Malley in Front Office. Over-all administration of General Investigative Division appears satisfactory under new arrangement. Supervision of work adequate and in accordance with Bureau regulations. Inspector instituted yearly review of Interstate Transportation of Stolen Property cases for field offices unless more guidance needed by field office or large volume of cases warrant semiannual review. In connection with Bank Robbery cases, Inspector suggested (1) modus operandi (MO) memorandum be eliminated except in those instances where inclusion of all MO information in original teletype would make it unwieldy. Copies of this initial teletype to be sent airmail to adjoining offices. (2) Discontinue forwarding of voluminous reports to offices merely because they received MO information originally. (3) Re-emphasize to field that negative reporting not desired. (4) Discontinue semiannual review of closed Bank Robbery Cases as they are thoroughly reviewed at time of closing. Division agreed.

Suggestion submitted that Bribery and Fraud Unit collect data for preparation of Monograph to include fraudulent practices and investigative techniques used to uncover frauds. Division agreed. Inspector instructed when current involved special, which has bearing on work load in Bribery and Fraud Unit is completed, personnel needs should be re-evaluated. Name Check Section operations considered satisfactory.

Civil Rights Section instructed to give special attention to expected problems that will arise in connection with school integration in Fall. Civil Rights Section directed to send instructions to one office (Mobile) requiring more Agents participate in racial informant development. Civil Rights Section will comply. Administrative folders in Organizations Unit dealing with Sit Downs ordered purged of data over 6 months old and tabulation be maintained on calendar years basis on cover sheet. Civil Rights Section to destroy negative replies from field offices advising of problems and restrictions encountered in Civil Rights investigations. Civil Rights Unit maintained on fiscal year basic statistical data re Civil Rights cases. Inspector suggested to conserve space this data be maintained for one year and be destroyed when new data received. Division agreed.

Division showed slight increase in use of special messengers and was instructed to make efforts to reduce requests. Errors detected in correspondence for approximately 5-month period considered low but efforts to be made to eliminate. Stenographer and typists production 3.16 pages per hour which is above Bureau-wide

Memorandum to Mr. Mohr

Re: Inspection - General Investigative Division

E. C. Williams, Inspection Staff, May 9 - 26, 1961

production figures. Typist production down as compared to last year's figures which was due to relatively inexperienced typists new in Bureau's service. Registers, Time and Attendance and Leave Records checked and satisfactory. Two errors on sign-in register for Agents located. These had no bearing on over-time fringe benefits. Need for absolute accuracy stressed. Forms in use by division satisfactory.

Odd-hour shifts checked considered justified. Personnel from General Investigative Division man odd-hour shifts at present. Inspector pointed out at present personnel still familiar with functions of both divisions, however, with possible increase in specialized work of both divisions shifts should be periodically reviewed to determine if coverage should not be furnished by each division to its own spheres of interest. Inventory satisfactory as was the use of ticklers in the division. Defense Plans kept in accordance with instructions. Tickler ordered prepared to permit better follow-up on Defense Plans. No unnecessary records being created by division.

Personnel aware of Executive Order 10501 dealing with classification of data. Dissemination procedures satisfactory. Delinquency reported for pending work in Name Check Section. Other sections report no delinquency. Delinquency in Name Check Section due to location of files and processing of requests in other sections. Assistant Director to follow delinquency. Division aware of need for economy in all operations. Increase in long-distance calls were noted in three-month period (February - April, 1961) as compared with same three-month period in 1960. Division told to decrease telephone communications costs wherever possible by use of teletype or radiogram where urgency levels permit. Inquiries from outside sources handled in satisfactory manner. Division participates in items for Law Enforcement Bulletin in satisfactory manner. Rated very good in material submitted for FBI Notes and human interest.

PERSONNEL MATTERS VERY GOOD

Personnel considered well trained. Division told to incorporate into In-Service intensified and specialized training in major statistics-producing categories such as Interstate Transportation of Stolen Motor Vehicles, Theft From Interstate Shipment and Interstate Transportation of Stolen Property. Division agreed to incorporate this type of training into In-Service. Assistant Director told to follow work loads and consider shifting personnel within division when feasible. Voluntary overtime division average for 3 months (February, March, April, 1961) was 2:58. It was productive, unscheduled and work load equitably shared. All Agents rated very good or better on dictation ability. Division told to increase submissions to The Investigator. One Agent on limited duty and justified. Availability check found all Agents available. 9 position descriptions reviewed and found satisfactory.

Memorandum to Mr. Mohr

Re: Inspection - General Investigative Division
E. C. Williams, Inspection Staff,
May 9 - 26, 1961

Exit interviews handled in accordance with Bureau instructions. Employees spot checked and aware of beneficial services. Flower fund audited and found to be handled satisfactorily.

CONTACTS GOOD

Contacts furnished by division reviewed and appeared satisfactory. Division alert to items of importance in legislative matters. Assistant Director told to insure appropriate action taken in connection with legislative matters which may affect Bureau's interests. In connection with public speaking program, Assistant Director told that senior representatives should take more active part and to have a cadre of several qualified persons to adequately represent Bureau and be alert to initiate more participation in representative business conferences such as meetings of bankers, etc., to insure these groups fully aware of Bureau's responsibilities. Liaison on part of division satisfactory. Each section has liaison contacts within sphere of activity.

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

6-27-61

I certify that I have ~~received~~ the following Government property for official use:
returned

Zipper Brief Case

FILE

3-M

PER Wd

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.
DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY.

Very truly yours,

(Written
Signature)

Al Rosen

(Typed
Signature)

Alex Rosen

Handwritten: [unclear]
July 13, 1961

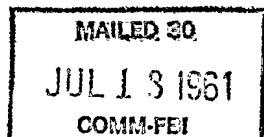
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am taking this opportunity to commend the personnel of the General Investigative Division for their splendid contributions to the accomplishments of the FBI during the fiscal year 1961.

This record is indeed excellent and can be attributed in a large degree to the highly skillful, diligent and interested manner in which the Bureau's responsibilities have been carried out by the personnel in your division. I want you to express my appreciation to them for their devoted efforts.

Sincerely yours,
J. Edgar Hoover



1 - Miss Usilton (sent direct)

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

OVER

Mr. Alex Rosen

Copies prepared and attached for placing in the following files:



T. W. Curran



J. R. Faulkner



H. A. Schutz

J. R. Boger



J. W. Burns

J. H. Gamble

J. A. Conley

W. A. Sullivan



R. J. Gallagher



L. H. Bunker

J. B. Cook

W. J. Hurley



A. C. Larson

J. T. Neagle



K. V. Hetherington

F. J. Bezdek



V. G. McCarthy



C. T. Gillespie

D. J. Green

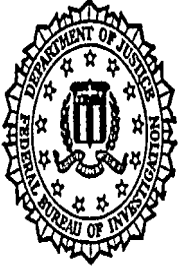
G. A. Trofast

H. S. Ursic

R. A. Garvey

A. J. McGrath

b6



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

WASHINGTON 25, D. C.

JUL 17 1961

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA Alex Rosen Assistant Director
(Type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name	Relationship	Date
Mrs. H. Rosen	Mother	7/17/61
Address		
2859 Hampton Road, S. E., Shaker Heights, Ohio		

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty, other than travel accidents.

Name	Relationship	Date
Mrs. H. Rosen	Mother	7/17/61
Address		
2859 Hampton Road, S. E., Shaker Heights, Ohio		

23 JUL 30 1961

Very truly yours,

Alex Rosen
Special Agent Assistant Director

3-mub

AL ROSEN

September 26, 1961

Mr. Tolson	✓
Mr. Belmont	
Mr. Mohr	✓
Mr. Callahan	✓
Mr. Conrad	
Mr. DeLoach	
Mr. Evans	
Mr. Malone	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Mr. Ingram	
Miss Gandy	

Dear Mr. Hoover,

I have been advised of the increase in the maximum per diem for unusual travel assignments which is being granted to me.

This is another of the many generous actions which you are constantly taking on our behalf. I am most appreciative.

Sincerely,

Al (Rosen)

Honorable John Edgar Hoover
Director
Federal Bureau of Investigation
Washington, D. C.

no reply

Al

3 - mail

67-1215-445
JAN 11 1962

26
6 SEP 26 1961

October 16, 1961

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I was greatly impressed with the spontaneous and enthusiastic support given by Bureau personnel to the 1961 United Givers Fund campaign which formally began on October 2, 1961. As of October 5, 1961, we were over our quota with 101 per cent. In fact, we are now over 102 per cent and the pledges are still coming in. I was highly pleased upon learning that each division, as well as the Executive Offices and Washington Field Office, exceeded its quota. This, in my opinion, reflects most favorably upon the tremendous concern for others among my associates in the Bureau.

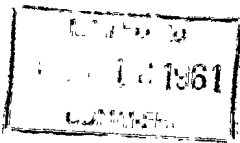
I want to take this opportunity to congratulate you and, through you, the members of your working committee, the Keymen and all of the individuals for their wonderful generosity and enthusiastic support of this worthy cause.

REC-488 67-
Sincerely yours,

446

61 10 2 3 - Miss Usilton (Sent Direct)

REC-488 67-
E3ICRD:afh
(4) 000
Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holloman _____
Gandy _____



MAIL ROOM ☐

TELETYPE UNIT ☐

ASSISTANT DIRECTOR
PORT OF MEDICAL EXAMINATION

F.B.I.

1. LAST NAME—FIRST NAME—MIDDLE NAME Rosen, Alex			2. GRADE AND COMPONENT OR POSITION Assistant Director		IDENTIFICATION NO.	
4. HOME ADDRESS (Number, street or R.F.D., city or town, zone and State) 10/4/61			5. PURPOSE OF EXAMINATION ANNUAL		6. DATE OF EXAMINATION 10/11/61	
7. SEX M	8. RACE W	9. TOTAL YEARS GOVERNMENT SERVICE MILITARY CIVILIAN		10. AGENCY		
11. ORGANIZATION UNIT		12. DATE OF BIRTH 9/14/65				
13. PLACE OF BIRTH N.Y. City		14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN				
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS N.Y.M.C.				16. OTHER INFORMATION		
17. RATING OR SPECIALTY		TIME IN THIS CAPACITY (Total)		LAST SIX MONTHS		

CLINICAL EVALUATION

NOR- MAL	(Check each item in appropriate col- umn; enter "NE" if not evaluated.)	ABNOR- MAL
	18. HEAD, FACE, NECK, AND SCALP	
	19. NOSE	
	20. SINUSES	
	21. MOUTH AND THROAT	
	22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
	23. DRUMS (Perforation)	
	24. EYES—GENERAL (Visual acuity and refraction under items 69, 60 and 67)	
	25. OPHTHALMOSCOPIC	
	26. PUPILS (Equality and reaction)	
	27. OCULAR MOTILITY (Associated parallel move-ments, nystagmus)	
	28. LUNGS AND CHEST (Include breasts)	
	29. HEART (Thrust, size, rhythm, sounds)	
	30. VASCULAR SYSTEM (Varicosities, etc.)	
	31. ABDOMEN AND VISCERA (Include hernia)	
	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate, if indicated)	
	33. ENDOCRINE SYSTEM	
	34. G-U SYSTEM	
	35. UPPER EXTREMITIES (Strength, range of motion)	
	36. FEET	
	37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)	
	38. SPINE, OTHER MUSCULOSKELETAL	
	39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	
	40. SKIN, LYMPHATICS	
	41. NEUROLOGIC (Equilibrium tests under item 72)	
	42. PSYCHIATRIC (Specify any personality deviation)	
	43. PELVIC (Females only) (Check how done)	
	<input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL	

NOTES. (Describe every abnormality in detail. Enter pertinent item number before each comment. Continue in item 73 and use additional sheets if necessary.)

→ Prostate normal. Small septimal skin tag.

REC-138

REC-138

67- 27 215-447
Searched
8 Oct 26 1961

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively.)																REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES no dental defects	
O—Restorable teeth I—Nonrestorable teeth X—Missing teeth XXX—Replaced by dentures (6 X 8)—Fixed bridge, brackets to include abutments																	
R	X	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	L
I	X	31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	E
G																	F
H																	T
T																	

LABORATORY FINDINGS

45. URINALYSIS: A. SPECIFIC GRAVITY 1.012		46. CHEST X-RAY (Place, date, film number and result) 18545-61 - See Report	
B. ALBUMIN neg		D. MICROSCOPIC neg	
C. SUGAR neg		49. BLOOD TYPE AND RH FACTOR	
47. SEROLOGY (Specify test used, and result) 3 FEB 3 1961 neg		50. OTHER TESTS	
48. EKG No sig. chng. Since H-27-60			

3/11/61

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT 72 in		52. WEIGHT 176 1/2		53. COLOR HAIR Gray		54. COLOR EYES Hazel		55. BUILD: <input type="checkbox"/> SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE			56. TEMPERATURE 98.4								
57. BLOOD PRESSURE (Arm at heart level)								58. PULSE (Arm at heart level)											
A. SITTING SYS. 144 DIAS. 90		B. RECUMBENT SYS. DIAS.		C. STANDING (3 min.) SYS. DIAS.		A. SITTING 84		B. AFTER EXERCISE		C. 2 MIN. AFTER		D. RECUMBENT		E. AFTER STANDING 3 MIN.					
59. DISTANT VISION								60. REFRACTION				61. 75 in NEAR VISION							
RIGHT 20/ 20 CORR. TO 20/				BY S. OX				CORR. TO 20/8 BY lens											
LEFT 20/ 20 CORR. TO 20/				BY S. OX				CORR. TO 20/8 BY lens											
62. HETEROPHORIA (Specify distance)																			
ES°		EX°		R. H.		L. H.		PRISM DIV.		PRISM CONV.		PC		PD					
63. ACCOMMODATION		64. COLOR VISION (Test used and result) AOC-1940 18/16																	
RIGHT LEFT		65. DEPTH PERCEPTION (Test used and score)																	
		68. RED LENS TEST																	
66. FIELD OF VISION		69. INTRAOCULAR TENSION																	
70. HEARING								71. AUDIOMETER								72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)			
RIGHT WV 15 /15 SV 15 /15								250 256 500 512 1000 1024 2000 2048 3000 2896 4000 4096 6000 6144 8000 8192											
LEFT WV 15 /15 SV 15 /15								RIGHT											
								LEFT											

73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY

REC'D - ADMIN. DIV.
FBI
OCT 23 10 23 AM '61

(Use additional sheets if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

B. P. upper limb of normal

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)						76. A. PHYSICAL PROFILE					
						P U L H E S					
77. EXAMINEE (Check) A. <input checked="" type="checkbox"/> IS QUALIFIED FOR B. <input type="checkbox"/> IS NOT QUALIFIED FOR						B. PHYSICAL CATEGORY					
78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER						A B C E					
79. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE P. R. Mcadden					
80. TYPED OR PRINTED NAME OF PHYSICIAN						SIGNATURE					
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)						SIGNATURE					
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY						SIGNATURE					
						NUMBER OF ATTACHED SHEETS					

REPORT OF MEDICAL HISTORY

F.B.I.

9-103

THIS INFORMATION IS FOR OFFICIAL USE ONLY AND WILL NOT BE RELEASED TO UNAUTHORIZED PERSONS

1. LAST NAME—FIRST NAME—MIDDLE NAME Rosen, Alex		2. GRADE AND COMPONENT OR POSITION Assistant Director		3. DENTAL CARE (If any)	
4. HOME ADDRESS (Number, street or R.F.D., city or town, zone and State) 10/25/1		5. PURPOSE OF EXAMINATION ANNUAL		6. DATE OF EXAMINATION 10/11/61	
7. SEX M		8. RACE W		9. TOTAL YEARS GOVERNMENT SERVICE MILITARY _____ CIVILIAN _____	
10. AGENCY		11. ORGANIZATION UNIT			
12. DATE OF BIRTH 9/4/05		13. PLACE OF BIRTH N.Y. City		14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN	
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS				16. OTHER INFORMATION	

17. STATEMENT OF EXAMINEE'S PRESENT HEALTH IN OWN WORDS. (Follow by description of past history, if complaint exists)

Excellent

18. FAMILY HISTORY					19. HAS ANY BLOOD RELATION (Parent, brother, sister, other) OR HUSBAND OR WIFE:				
RELATION	AGE	STATE OF HEALTH	IF DEAD, CAUSE OF DEATH	AGE AT DEATH	YES	NO	(Check each item)	RELATION(S)	
FATHER	—	<i>Deceased</i>	<i>Heart Attack</i>	<i>73</i>			HAD TUBERCULOSIS		
MOTHER	<i>92</i>	<i>Fair</i>					HAD SYPHILIS		
SPOUSE	—						HAD DIABETES		
BROTHERS	<i>52</i>	<i>Good</i>					HAD CANCER		
AND	—						HAD KIDNEY TROUBLE		
SISTER	—						HAD HEART TROUBLE		
							HAD STOMACH TROUBLE		
							HAD RHEUMATISM (Arthritis)		
CHILDREN	—						HAD ASTHMA, HAY FEVER, HIVES		
							HAD EPILEPSY (Fits)		
							COMMITTED SUICIDE		
							BEEN INSANE		

20. HAVE YOU EVER HAD OR HAVE YOU NOW (Place check at left of each item)											
YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)
<input checked="" type="checkbox"/>		SCARLET FEVER	<input checked="" type="checkbox"/>		GOITER	<input checked="" type="checkbox"/>		TUMOR, GROWTH, (CYST) CANCER	<input checked="" type="checkbox"/>		"TRICK" OR LOCKED KNEE
<input checked="" type="checkbox"/>		DIPHTHERIA	<input checked="" type="checkbox"/>		TUBERCULOSIS	<input checked="" type="checkbox"/>		RUPTURE	<input checked="" type="checkbox"/>		FOOT TROUBLE
<input checked="" type="checkbox"/>		RHEUMATIC FEVER	<input checked="" type="checkbox"/>		SOAKING SWEATS (Night sweats)	<input checked="" type="checkbox"/>		APPENDICITIS	<input checked="" type="checkbox"/>		NEURITIS
<input checked="" type="checkbox"/>		SWOLLEN OR PAINFUL JOINTS	<input checked="" type="checkbox"/>		ASTHMA	<input checked="" type="checkbox"/>		PILES OR RECTAL DISEASE	<input checked="" type="checkbox"/>		PARALYSIS (Inc. infantile)
<input checked="" type="checkbox"/>		MUMPS	<input checked="" type="checkbox"/>		SHORTNESS OF BREATH	<input checked="" type="checkbox"/>		FREQUENT OR PAINFUL URINATION	<input checked="" type="checkbox"/>		EPILEPSY OR FITS
<input checked="" type="checkbox"/>		WHOOPING COUGH	<input checked="" type="checkbox"/>		PAIN OR PRESSURE IN CHEST	<input checked="" type="checkbox"/>		KIDNEY STONE OR BLOOD IN URINE	<input checked="" type="checkbox"/>		CAR, TRAIN, SEA, OR AIR SICKNESS
<input checked="" type="checkbox"/>		FREQUENT OR SEVERE HEADACHE	<input checked="" type="checkbox"/>		CHRONIC COUGH	<input checked="" type="checkbox"/>		SUGAR OR ALBUMIN IN URINE	<input checked="" type="checkbox"/>		FREQUENT TROUBLE SLEEPING
<input checked="" type="checkbox"/>		DIZZINESS OR FAINTING SPELLS	<input checked="" type="checkbox"/>		PALPITATION OR POUNDING HEART	<input checked="" type="checkbox"/>		BOILS	<input checked="" type="checkbox"/>		FREQUENT OR TERRIFYING NIGHTMARES
<input checked="" type="checkbox"/>		EYE TROUBLE	<input checked="" type="checkbox"/>		HIGH OR LOW BLOOD PRESSURE	<input checked="" type="checkbox"/>		VENEREAL DISEASE	<input checked="" type="checkbox"/>		DEPRESSION OR EXCESSIVE WORRY
<input checked="" type="checkbox"/>		EAR, NOSE OR THROAT TROUBLE	<input checked="" type="checkbox"/>		CRAMPS IN YOUR LEGS	<input checked="" type="checkbox"/>		RECENT GAIN OR LOSS OF WEIGHT	<input checked="" type="checkbox"/>		LOSS OF MEMORY OR AMNESIA
<input checked="" type="checkbox"/>		RUNNING EARS	<input checked="" type="checkbox"/>		FREQUENT INDIGESTION	<input checked="" type="checkbox"/>		ARTHRITIS OR RHEUMATISM	<input checked="" type="checkbox"/>		BED WETTING
<input checked="" type="checkbox"/>		CHRONIC OR FREQUENT COLDS	<input checked="" type="checkbox"/>		STOMACH, LIVER OR INTESTINAL TROUBLE	<input checked="" type="checkbox"/>		BONE, JOINT, OR OTHER DEFORMITY	<input checked="" type="checkbox"/>		NERVOUS TROUBLE OF ANY SORT
<input checked="" type="checkbox"/>		SEVERE TOOTH OR GUM TROUBLE	<input checked="" type="checkbox"/>		GALL BLADDER TROUBLE OR GALL STONES	<input checked="" type="checkbox"/>		LAMENESS	<input checked="" type="checkbox"/>		ANY DRUG OR NARCOTIC HABIT
<input checked="" type="checkbox"/>		SINUSITIS	<input checked="" type="checkbox"/>		JAUNDICE	<input checked="" type="checkbox"/>		LOSS OF ARM, LEG, FINGER, OR TOE	<input checked="" type="checkbox"/>		EXCESSIVE DRINKING HABIT
<input checked="" type="checkbox"/>		HAY FEVER	<input checked="" type="checkbox"/>		ANY REACTION TO SERUM, DRUG OR MEDICINE	<input checked="" type="checkbox"/>		PAINFUL OR "TRICK" SHOULDER OR ELBOW	<input checked="" type="checkbox"/>		HOMOSEXUAL TENDENCIES

21. HAVE YOU EVER (Check each item)		22. FEMALES ONLY: A. HAVE YOU EVER—		B. COMPLETE THE FOLLOWING:	
<input checked="" type="checkbox"/> WORN GLASSES	<input checked="" type="checkbox"/> ATTEMPTED SUICIDE	<input checked="" type="checkbox"/> BEEN PREGNANT		AGE AT ONSET OF MENSTRUATION	
<input checked="" type="checkbox"/> WORN AN ARTIFICIAL EYE	<input checked="" type="checkbox"/> BEEN A SLEEP WALKER	<input checked="" type="checkbox"/> HAD A VAGINAL DISCHARGE		INTERVAL BETWEEN PERIODS	
<input checked="" type="checkbox"/> WORN HEARING AIDS	<input checked="" type="checkbox"/> LIVED WITH ANYONE WHO HAD TUBERCULOSIS	<input checked="" type="checkbox"/> BEEN TREATED FOR A FEMALE DISORDER		DURATION OF PERIODS	
<input checked="" type="checkbox"/> STUTTERED OR STAMMERED	<input checked="" type="checkbox"/> COUGHED UP BLOOD	<input checked="" type="checkbox"/> HAD PAINFUL MENSTRUATION		DATE OF LAST PERIOD	
<input checked="" type="checkbox"/> WORN A BRACE OR BACK SUPPORT	<input checked="" type="checkbox"/> BLED EXCESSIVELY AFTER INJURY OR TOOTH EXTRACTION	<input checked="" type="checkbox"/> HAD IRREGULAR MENSTRUATION		QUANTITY: <input type="checkbox"/> NORMAL <input type="checkbox"/> EXCESSIVE <input type="checkbox"/> SCANTY	
23. HOW MANY JOBS HAVE YOU HAD IN THE PAST THREE YEARS?	24. WHAT IS THE LONGEST PERIOD YOU HELD ANY OF THESE JOBS? MONTHS	25. WHAT IS YOUR USUAL OCCUPATION?	26. ARE YOU (Check one) <input type="checkbox"/> RIGHT HANDED <input type="checkbox"/> LEFT HANDED		

17-27215-447

YES	NO	CHECK EACH ITEM YES OR NO. EVERY ITEM CHECKED "YES" MUST BE FULLY EXPLAINED IN BLANK SPACE ON RIGHT
	<input checked="" type="checkbox"/>	27. HAVE YOU BEEN UNABLE TO HOLD A JOB BECAUSE OF: A. SENSITIVITY TO CHEMICALS, DUST, SUNLIGHT, ETC.
	<input checked="" type="checkbox"/>	B. INABILITY TO PERFORM CERTAIN MOTIONS
	<input checked="" type="checkbox"/>	C. INABILITY TO ASSUME CERTAIN POSITIONS
	<input checked="" type="checkbox"/>	D. OTHER MEDICAL REASONS (If yes, give reasons)
	<input checked="" type="checkbox"/>	28. HAVE YOU EVER WORKED WITH RADIOACTIVE SUBSTANCE?
	<input checked="" type="checkbox"/>	29. DID YOU HAVE DIFFICULTY WITH SCHOOL STUDIES OR TEACHERS? (If yes, give details)
	<input checked="" type="checkbox"/>	30. HAVE YOU EVER BEEN REFUSED EMPLOYMENT BECAUSE OF YOUR HEALTH? (If yes, state reason and give details)
	<input checked="" type="checkbox"/>	31. HAVE YOU EVER BEEN DENIED LIFE INSURANCE? (If yes, state reason and give details)
	<input checked="" type="checkbox"/>	32. HAVE YOU HAD, OR HAVE YOU BEEN ADVISED TO HAVE, ANY OPERATIONS? (If yes, describe and give age at which occurred)
	<input checked="" type="checkbox"/>	33. HAVE YOU EVER BEEN A PATIENT (committed or voluntary) IN A MENTAL HOSPITAL OR SANATORIUM? (If yes, specify when, where, why, and name of doctor, and complete address of hospital or clinic)
<input checked="" type="checkbox"/>		34. HAVE YOU EVER HAD ANY ILLNESS OR INJURY OTHER THAN THOSE ALREADY NOTED? (If yes, specify when, where, and give details)
	<input checked="" type="checkbox"/>	35. HAVE YOU CONSULTED OR BEEN TREATED BY CLINICS, PHYSICIANS, HEALERS, OR OTHER PRACTITIONERS WITHIN THE PAST 5 YEARS? (If yes, give complete address of doctor, hospital, clinic, and details)
	<input checked="" type="checkbox"/>	36. HAVE YOU TREATED YOURSELF FOR ILLNESSES OTHER THAN MINOR COLDS? (If yes, which illnesses)
	<input checked="" type="checkbox"/>	37. HAVE YOU EVER BEEN REJECTED FOR MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (If yes, give date and reason for rejection)
	<input checked="" type="checkbox"/>	38. HAVE YOU EVER BEEN DISCHARGED FROM MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (If yes, give date, reason, and type of discharge: whether honorable, other than honorable, for unfitness or unsuitability)
	<input checked="" type="checkbox"/>	39. HAVE YOU EVER RECEIVED, IS THERE PENDING, HAVE YOU APPLIED FOR, OR DO YOU INTEND TO APPLY FOR PENSION OR COMPENSATION FOR EXISTING DISABILITY? (If yes, specify what kind, granted by whom, and what amount, when, why)

*Myeloparson 1950
condition cured.*

I CERTIFY THAT I HAVE REVIEWED THE FOREGOING INFORMATION SUPPLIED BY ME AND THAT IT IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.
I AUTHORIZE ANY OF THE DOCTORS, HOSPITALS, OR CLINICS MENTIONED ABOVE TO FURNISH THE GOVERNMENT A COMPLETE TRANSCRIPT OF MY MEDICAL RECORD FOR PURPOSES OF PROCESSING MY APPLICATION FOR THIS EMPLOYMENT OR SERVICE.

TYPED OR PRINTED NAME OF EXAMINEE

SIGNATURE

✓ Alex Rosen

40. PHYSICIAN'S SUMMARY AND ELABORATION OF ALL PERTINENT DATA (Physician shall comment on all positive answers in items 20 thru 39)

no significant medical or surgical history.

*Does have sl. paresthesia left 4th & 5th fingers.
wishes to see own doctor & return for neurology consult
if desired.*

TYPED OR PRINTED NAME OF PHYSICIAN OR EXAMINER

DATE

SIGNATURE

D. McDevitt

NUMBER OF ATTACHED SHEETS

PATIENT'S LAST NAME — FIRST NAME — MIDDLE NAME		REGISTER NO.	WARD NO. STAFF CLNC
ROSEN, Alex ASSISTANT DIRECTOR F.B.I.		AGE	SEX
		(Check one) <input type="checkbox"/> BEDSIDE, WHEELCHAIR, OR STRETCHER <input type="checkbox"/> BED <input type="checkbox"/> PATIENT <input type="checkbox"/> AMBULATORY	
		EXAMINATION REQUESTED	
(Above space for mechanical imprinting, if used)		REQUESTED BY	DATE OF REQUEST
PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS, AND PROVISIONAL DIAGNOSIS			

FILM NO. 18545	DATE OF REPORT
----------------	----------------

RADIOGRAPHIC REPORT

11 OCT 1961.

PA CHEST: There is a large rounded calcified density in the left mid lung field with many small areas of calcification in the left hilar nodes. This is considered ~~with~~ a Ranke complex. No other abnormalities are noted. JAU:pas
to be

Department of Radiology
U.S. Naval Hospital
National Naval Medical Center
Bethesda 14, Maryland

J. A. USSELMAN
LTJ MC USN

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

Standard Form 519-A (Rev. Aug. 1954)
Bureau of the Budget
Circular A-32 (Rev.)
RADIOGRAPHIC REPORT
519-205

NAME OF HOSPITAL OR OTHER MEDICAL FACILITY

67-27215-477

ASSISTANT DIRECTOR

Attachment to Standard Form 88, Report of Medical Examination
For Information and Guidance of Medical Examiner

Name of Examinee Rosen, Alex
(Type or print) *Last First Middle*

The following portions of the attached examination report form need not be completed:

2	62
3	65
4	67
9	68
11	69
14	72
17	76

46. Is necessary unless facilities for affording same are not readily available.
48. Not required unless examinee is over 35 years of age or examination indicates such is desirable.
49. Is necessary unless facilities for affording same are not readily available.
71. Audiometer examinations should be afforded whenever possible.

For All Examinees, Whether Clerical or Special Agent Applicants or Employees:

The medical examiner should answer the following question:

Examinee ☒ is ☐ is not qualified for strenuous physical exertion.

To be Answered in the Case of All Male Employees and Male Applicants:

1. Does examinee have any defects restricting or prohibiting his participation in defensive tactics and dangerous assignments which might entail the practical use of firearms?

☒ No ☐ Yes If "yes" please specify defects. _____

2. Does examinee have any defects prohibiting safe operation of motor vehicles?

☒ No ☐ Yes If "yes" please specify defects. _____

If examinee has defective vision, should he wear corrective glasses while operating a motor vehicle? ☐ Yes ☐ No

67-27215-447

Desirable Weight Ranges for Males

Height	Small Frame	Medium Frame	Large Frame
5' 4"	117 - 125	123 - 135	131 - 148
5' 5"	120 - 129	126 - 139	134 - 152
5' 6"	124 - 133	130 - 143	138 - 157
5' 7"	128 - 137	134 - 148	143 - 162
5' 8"	132 - 141	138 - 152	147 - 166
5' 9"	136 - 146	142 - 156	151 - 170
5' 10"	140 - 150	146 - 161	155 - 175
5' 11"	144 - 154	150 - 166	160 - 180
6'	148 - 158	154 - 171	164 - 185
6' 1"	152 - 163	158 - 176	169 - 190
6' 2"	156 - 167	163 - 181	174 - 195
6' 3"	160 - 171	168 - 186	178 - 200
6' 4"	169 - 180	178 - 196	188 - 210
6' 5"	174 - 185	182 - 202	192 - 216

3. Examinee's frame is ☐ small ☐ medium ☒ large
4. Considering above weight table, the examinee's frame, and other individual physical characteristics, I consider his present weight ☒ Satisfactory ☐ Excessive ☐ Deficient
5. Under proper medical supervision, examinee should ☐ lose _____ pounds
☐ gain _____ pounds

Remarks: _____

R. R. Mcadden
 (Signature of Medical Examiner)

10-11-61
 (Date)

October 16, 1961

PERSONAL

Dear Al:

I did want to extend to you my warmest best wishes and sincerest congratulations on your Twenty-eighth Anniversary with the Bureau. Your loyalty means a great deal to me, and I hope you will celebrate many more such happy occasions in the service.

Sincerely,

J. EDGAR HOOVER

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

REC-112

67-	948
SEARCHED	INDEXED
SERIALIZED	FILED
OCT 20 1961	

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Ingram _____
Gandy _____

JEH:edm (3)
Anniversary 10/16 - Mon.

SENT FROM D. O.	
TIME	8:20 AM
DATE	10-16-61
BY	RSU

MAIL ROOM ☐ TELETYPE UNIT ☐

AL ROSEN

April 27, 1962

Mr. Tolson	✓
Mr. Belmont	
Mr. Mohr	✓
Mr. Callahan	✓
Mr. Conrad	
Mr. DeLoach	
Mr. Evans	
Mr. Malone	
Mr. Rosen	
Mr. Sullivan	
Mr. Tavel	
Mr. Trotter	
Tele. Room	
Miss Holmes	
Miss Gandy	

Dear Mr. Hoover,

I have received your letter of April 25, 1962, enclosing my performance rating and the incentive award which you recommended to the Efficiency Awards Committee of the Department.

I am most appreciative of the confidence you have expressed in me. I hope that I am able to carry out this responsibility in the highest traditions of the FBI, which traditions are all embodied in the example, which you set for all of us from day to day.

Sincerely,

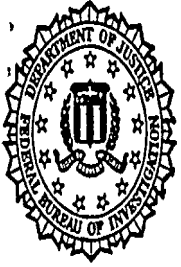
Al Rosen
Al Rosen

Honorable John Edgar Hoover
Director
Federal Bureau of Investigation
Washington, D. C.

REC-136

449

3/10/64



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

WASHINGTON 25, D. C.

FEB 27 1962

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA Alex Rosen
(Type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

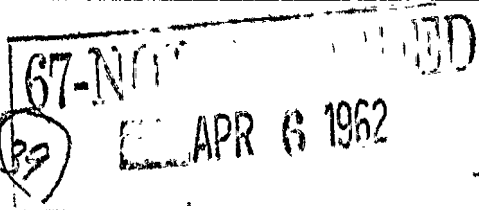
Name	Relationship	Date
Mrs. H. Rosen	Mother	2/23/62
Address		
2859 Hampton Rd., SE, Shaker Heights, Ohio		

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty, other than travel accidents.

Name	Relationship	Date
Mrs. H. Rosen	Mother	2/23/62
Address		
2859 Hampton Road, S. E., Shaker Heights, Ohio		

Very truly yours,

Alex Rosen
Special Agent Assistant Director



3-1-62

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

3-7-62

I certify that I have ~~received~~ the following Government property for official use:
returned

~~First Floor Master Key~~
~~Key to Basement Gymnasium~~
Key to Room 5706 167 ✓

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

Very truly yours,

FILE

3M
PER

(Written
Signature)

Alex Rosen

(Typed
Signature)

Alex Rosen

100-1-10 167

24

February 8, 1962

Mr. Alex Rosen
Apartment 434
2480 16th Street, Northwest
Washington, D. C.

FEB 8 1 08 PM '62
REC'D--READING ROOM
FBI

Dear Al:

I am certainly sorry to learn of your illness, and hope that you will stay home and take good care of yourself. That should reduce the chance of complications, and restore you more rapidly to good health.

Please do not worry about the office, and if I can be of any assistance, let me know.

Sincerely,

WEC

MAILED 5
FEB 9 - 1962
COMM-FBI

WEC:jfc
(4)

b6

NOTE: Assistant Director Rosen did not feel well last weekend and was on sick leave Monday and Tuesday of this week. He came to work Wednesday. Wednesday afternoon the doctor told him to remain at home under medication the rest of the week and Mr. Rosen left the office in the late afternoon. He is on sick leave today. He is understood to have contracted some sort of virus. *Flowers ordered from Director and associates.*

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Ingram _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Mohr

DATE: 11/9/61

FROM : J. F. Malone

SUBJECT: APPEARANCE OF ASSISTANT DIRECTORS
BEFORE IN-SERVICE CLASSES

Tolson _____
 Belmont _____
 Mohr _____
 Callahan _____
 Conrad _____
 DeLoach _____
 Evans _____
 Malone _____
 Rosen _____
 Sullivan _____
 Tavel _____
 Trotter _____
 Tele. Room _____
 Ingram _____
 Gandy _____

The attached memorandum set forth dates on which Assistant Directors did not appear before In-Service Classes during the past 3 weeks. Mr. Tolson instructed that explanations be obtained as to why the Assistant Directors did not appear and the Director approved.

Set forth below is the explanation submitted by each Assistant Director with the dates on which he did not appear before the In-Service Classes:

MR. CALLAHAN, 10/16, 10/20, 11/2 and 11/3/61:

My failure to appear on the dates indicated is attributable to the need to afford my personal attention to official matters which I considered at the time to be of a more pressing nature. The seminar is conducted normally by me and representatives of this division consisting primarily of the Personnel Officer and the Section Chief of the Voucher-Statistical Section. The majority of the matters generally raised by the class concern travel and expense account problems.

MR. CONRAD, 10/20/61:

I am regularly scheduled for Laboratory Policy Seminar for all In-Service and New Agents' Classes and I handle this assignment personally when present. It is noted that on October 20, I was suffering from a very severe cold which, during the course of the day, developed into frequent coughing and almost complete loss of voice through hoarseness. Accordingly, being unable, because of this illness to appear before the class, I designated Laboratory Division Number One Man, Dr. Briggs J. White, to handle the Policy Seminar on that date.

MR. DeLOACH, 10/27/61:

The Director had approved my attending the National Executive Committee meetings of The American Legion in Indianapolis, Indiana, on the first three days of the week, October 23, 24 and 25, 1961. As a result, I had considerable

Enclosure

JKP:dlh

(3)

XEROX

DEC 19 1961

PER. RES. UNIT

Memo to Mr. Mohr
Re: APPEARANCE OF ASSISTANT DIRECTORS
BEFORE IN-SERVICE CLASSES

work on my desk of an expedite nature which was absolutely necessary to handle without delay. My overtime for that particular week will attest to this fact. Furthermore, I had three appointments that particular morning in connection with people who were here from out of town to prepare articles for the FBI. Consequently, Inspector Kemper was assigned to handle the In-Service that particular morning. I try to lecture before all In-Services where it is humanly possible.

MR. EVANS, 10/27, 10/31 and 11/2/61:

I make it a practice to appear before In-Service and New Agents training classes personally whenever possible. With reference to my nonappearance on October 27 and 31 and November 2, I was in the Department at the times of these In-Service lectures meetings with the Executive Assistant to the Attorney General relative to "communist doctrine, tactics, strategy and objectives, instructions for high school and college students"; with Deputy Attorney General White as to cooperation in law enforcement and with Assistant Attorney General Miller concerning a personnel matter involving former Special Agent

MR. ROSEN, 10/31 and 11/2/61:

With reference to not having appeared before In-Service Class on 10/31 and 11/2, I have checked and found that I was in the office. I am unable at this time to recall the reason for not attending. I will endeavor to make every effort to attend these scheduled lectures, which have been previously covered by me in the absence of the pressure of other matters.

MR. SULLIVAN, 10/23/61:

(Explanation furnished by Inspector Joseph A. Sizoo for Mr. Sullivan who is at Albuquerque on a speaking engagement.)

Mr. Sullivan had an appointment on 10/23/61 with Colonel Kintner of the Foreign Research Institute, University of Pennsylvania. The appointment had been made a week before and Mr. Sullivan was to meet Colonel Kintner at the Statler Hotel the morning of 10/23/61. Attempts were made to contact Colonel Kintner at the Statler but he had gone to the Treasury Department for appointments with Treasury officials. It was then necessary for Mr. Sullivan to proceed to the Statler and keep the appointment with Colonel Kintner. Inspector Sizoo substituted for him in handling the In-Service lecture.

Memo to Mr. Mohr
Re: APPEARANCE OF ASSISTANT DIRECTORS
BEFORE IN-SERVICE CLASSES

W S,
MR. TAVEL, 11/2/61:

The time allotted to Files and Communications Division for appearance before In-Service classes is devoted to taking the class on a tour of our space in the Justice Building and explaining our operations on the spot, rather than classroom lecture. I make it a point to appear before each class to explain the purpose of the tour and encourage questions. On November 2, I recall that I had gone to our division's space in the Identification Building, where we have several hundred employees. I attempt to inspect this space several times a week, and on this occasion was detained due to a discussion with supervisory personnel of installation of new ventilation equipment in the room containing our lamination machine used for file repair, and several other matters pertaining to the work of the division. I was unable to return to the Justice Building until shortly after the In-Service class arrived for the tour, and Mr. Waikart, Number One Man of the Division, appeared before the class in my absence. I will insure in the future that I continue to appear before all In-Service classes, and afford such appearances top priority.

RECOMMENDATION:

For the information of the Director.

4/10
PMV
I consider it most important that the top executives appear before training classes unless some very substantial reason prevents it.
J

*Hereafter,
any failure
of an asst Dir
to appear
as scheduled
when he is in
town should
be reported
by the
Training Div
with an
explanation*

Best Copy Available

MEDICAL REPORTS

Personnel File of Rosen, Alex

Personnel File No. _____

NOT RECORDED

3/1/51

REPORT OF MEDICAL EXAMINATION

#6 **F.B.I.**

1. LAST NAME—FIRST NAME—MIDDLE NAME (Type or print) ROSEN ALEX		2. GRADE AND COMPONENT OR POSITION ASSISTANT DIRECTOR	3. IDENTIFICATION
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)		5. PURPOSE OF EXAMINATION ANNUAL	6. DATE OF EXAMINATION 4-27-60
7. SEX M	8. RACE W	9. TOTAL YEARS GOVERNMENT SERVICE MILITARY _____ CIVILIAN _____	
10. AGENCY		11. ORGANIZATION UNIT	
12. DATE OF BIRTH 1905		13. PLACE OF BIRTH NEW YORK CITY	
14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN		15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS NNMC	
16. OTHER INFORMATION		17. RATING OR SPECIALTY	
TIME IN THIS CAPACITY (Total)		LAST SIX MONTHS	

NOR-MAL	CLINICAL EVALUATION (Check each item in appropriate column; enter "NE" if not evaluated.)	ABNOR-MAL
<input checked="" type="checkbox"/>	18. HEAD, FACE, NECK AND SCALP	
<input checked="" type="checkbox"/>	19. NOSE	
<input checked="" type="checkbox"/>	20. SINUSES	
<input checked="" type="checkbox"/>	21. MOUTH AND THROAT	
<input checked="" type="checkbox"/>	22. EARS—GENERAL (Int. & ext. canals) (Auditory acuity under items 70 and 71)	
<input checked="" type="checkbox"/>	23. DRUMS (Perforation)	
<input checked="" type="checkbox"/>	24. EYES—GENERAL (Visual acuity and refraction under items 53, 60 and 67)	
<input checked="" type="checkbox"/>	25. OPHTHALMOSCOPIC	
<input checked="" type="checkbox"/>	26. PUPILS (Equality and reaction)	
<input checked="" type="checkbox"/>	27. OCULAR MOTILITY (Associated parallel movements, nystagmus)	
<input checked="" type="checkbox"/>	28. LUNGS AND CHEST (Include breasts)	
<input checked="" type="checkbox"/>	29. HEART (Thrust, size, rhythm, sounds)	
<input checked="" type="checkbox"/>	30. VASCULAR SYSTEM (Varicosities, etc.)	
<input checked="" type="checkbox"/>	31. ABDOMEN AND VISCERA (Include hernia)	
<input checked="" type="checkbox"/>	32. ANUS AND RECTUM (Hemorrhoids, fistulae) (Prostate, if indicated)	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	33. ENDOCRINE SYSTEM	
<input checked="" type="checkbox"/>	34. G-U SYSTEM	
<input checked="" type="checkbox"/>	35. UPPER EXTREMITIES (Strength, range of motion)	
<input checked="" type="checkbox"/>	36. FEET	
<input checked="" type="checkbox"/>	37. LOWER EXTREMITIES (Except feet) (Strength, range of motion)	
<input checked="" type="checkbox"/>	38. SPINE, OTHER-MUSCULOSKELETAL	
<input checked="" type="checkbox"/>	39. IDENTIFYING BODY MARKS, SCARS, TATTOOS	
<input checked="" type="checkbox"/>	40. SKIN, LYMPHATICS	
<input checked="" type="checkbox"/>	41. NEUROLOGIC (Equilibrium tests under item 72)	
<input checked="" type="checkbox"/>	42. PSYCHIATRIC (Specify any personality deviation)	
<input checked="" type="checkbox"/>	43. PELVIC (Females only) (Check how done) <input type="checkbox"/> VAGINAL <input type="checkbox"/> RECTAL	

NOTES. (Describe every abnormality in detail. Enter pertinent item number before each comment. Continue in item 73 and use additional sheets if necessary.)

#32 Small external tag -

Copy made and sent to file.
SRC
6-21-60

(Continue in item 73)

44. DENTAL (Place appropriate symbols above or below number of upper and lower teeth, respectively.)		REMARKS AND ADDITIONAL DENTAL DEFECTS AND DISEASES																																																
O—Restorable teeth /—Nonrestorable teeth X—Missing teeth XXX—Replaced by dentures (XN)—Fixed bridge, brackets to include abutments	<table border="1"> <tr> <td>R</td><td>2</td><td>3</td><td>4</td><td>5</td><td>6</td><td>7</td><td>8</td><td>9</td><td>10</td><td>11</td><td>12</td><td>13</td><td>14</td><td>15</td><td>L</td> </tr> <tr> <td>I</td><td>31</td><td>30</td><td>29</td><td>28</td><td>27</td><td>26</td><td>25</td><td>24</td><td>23</td><td>22</td><td>21</td><td>20</td><td>19</td><td>18</td><td>F</td> </tr> <tr> <td>G</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>T</td> </tr> </table>	R	2	3	4	5	6	7	8	9	10	11	12	13	14	15	L	I	31	30	29	28	27	26	25	24	23	22	21	20	19	18	F	G															T	meets Dental Standards
R	2	3	4	5	6	7	8	9	10	11	12	13	14	15	L																																			
I	31	30	29	28	27	26	25	24	23	22	21	20	19	18	F																																			
G															T																																			

LABORATORY FINDINGS

45. URINALYSIS: A. SPECIFIC GRAVITY 1.008		46. CHEST X-RAY (Place, date, film number and result) 4-27-60 (14417)	
B. ALBUMIN neg.		D. MICROSCOPIC neg.	
C. SUGAR neg.		E. OTHER TESTS 10242-60 See report	
47. SEROLOGY (Specify test used and result) neg.		48. EKG See report	
49. BLOOD TYPE AND RH FACTOR		50. OTHER TESTS	

This report has been reviewed and initialed by agent.

T. AMF-LARIC

MEASUREMENTS AND OTHER FINDINGS

51. HEIGHT 72	52. WEIGHT 178	53. COLOR HAIR Gray	54. COLOR EYES Hazel	55. BUILD: <input type="checkbox"/> SLENDER <input type="checkbox"/> MEDIUM <input checked="" type="checkbox"/> HEAVY <input type="checkbox"/> OBESE	56. TEMPERATURE 98
------------------	-------------------	------------------------	-------------------------	---	-----------------------

57. BLOOD PRESSURE (Arm at heart level)				58. PULSE (Arm at heart level)			
A. SITTING SYS. 142 DIAS. 88	B. RECUMBENT SYS. DIAS.	C. STANDING (3 min.) SYS. DIAS.	A. SITTING 80	B. AFTER EXERCISE	C. 2 MIN. AFTER	D. RECUMBENT	E. AFTER STANDING 3 MIN.

59. DISTANT VISION		60. REFRACTION		61. NEAR VISION	
RIGHT 20/ 20	CORR. TO 20/	BY	S.	OX	20 16 CORR. TO 20-6 BY Lense
LEFT 20/ 20	CORR. TO 20/	BY	S.	OX	20-16 CORR. TO 20-6 BY "

62. HETEROPHORIA (Specify distance)

ES°	EX°	R. H.	L. H.	PRISM DIV.	PRISM CONV. CT	PC	PD
-----	-----	-------	-------	------------	----------------	----	----

63. ACCOMMODATION	64. COLOR VISION (Test used and result) HOC 1940 18-18	65. DEPTH PERCEPTION (Test used and score)	UNCORRECTED
RIGHT			CORRECTED
66. FIELD OF VISION	67. NIGHT VISION (Test used and score)	68. RED LENS TEST	69. INTRAOCULAR TENSION

70. HEARING	71. AUDIOMETER	72. PSYCHOLOGICAL AND PSYCHOMOTOR (Tests used and score)
RIGHT WV /15 SV		
LEFT WV /15 SV		

73. NOTES (Continued) AND SIGNIFICANT OR INTERVAL HISTORY

(Use additional sheets if necessary)

74. SUMMARY OF DEFECTS AND DIAGNOSES (List diagnoses with item numbers)

75. RECOMMENDATIONS—FURTHER SPECIALIST EXAMINATIONS INDICATED (Specify)	76. A. PHYSICAL PROFILE					
	P	U	L	H	E	S

77. EXAMINEE (Check) A. <input checked="" type="checkbox"/> IS QUALIFIED FOR B. <input type="checkbox"/> IS NOT QUALIFIED FOR	76. B. PHYSICAL CATEGORY

78. IF NOT QUALIFIED, LIST DISQUALIFYING DEFECTS BY ITEM NUMBER.	A	B	C	E
--	---	---	---	---

79. TYPED OR PRINTED NAME OF PHYSICIAN	SIGNATURE Van C. Lepton
80. TYPED OR PRINTED NAME OF PHYSICIAN	SIGNATURE
81. TYPED OR PRINTED NAME OF DENTIST OR PHYSICIAN (Indicate which)	SIGNATURE JB Ferris
82. TYPED OR PRINTED NAME OF REVIEWING OFFICER OR APPROVING AUTHORITY	SIGNATURE NUMBER OF ATTACHED SHEETS

PATIENT'S LAST NAME - FIRST NAME - MIDDLE NAME

REGISTER NO.

WARD NO.

033

Staff clinic

ROSEN, ALEX FBI

AGE SEX (Check one)

☐ BEDSIDE, WHEELCHAIR,
OR STRETCHER

☐ BED
PATIENT

☐ AMBULATORY

EXAMINATION REQUESTED

REQUESTED BY

DATE OF REQUEST

(Above space for mechanical imprinting, if used)

PERTINENT CLINICAL HISTORY, OPERATIONS, PHYSICAL FINDINGS, AND PROVISIONAL DIAGNOSIS

FILM NO.

10242-60

DATE OF REPORT

RADIOGRAPHIC REPORT

4/27/60 CHEST: Examination and a review of previous films demonstrates no interval change when comparison is made with films of April 1959. Calcification is noted within nodes of the left hilum and there are two well defined calcific densities in the left lateral mid-lung field which are felt to be indicative of previous inflammatory or granulomatous disease with calcification. DEM:egc 033

D. E. MAC CARTY
LT MC USN

Department of Radiology

U.S. Naval Hospital

National Naval Medical Center

Bethesda 14, Maryland

SIGNATURE: (Specify location of laboratory if not part of requesting facility)

Standard Form 519A (Rev. Aug. 1954)
Promulgated by Bureau of the Budget
Circular A-32 (Rev.)

RADIOGRAPHIC REPORT

(NAME OF HOSPITAL OR OTHER MEDICAL FACILITY)

REPORT OF MEDICAL HISTORY

THIS INFORMATION IS FOR OFFICIAL USE ONLY AND WILL NOT BE RELEASED TO UNAUTHORIZED PERSONS

F.B.I.

1. LAST NAME—FIRST NAME—MIDDLE NAME ROSEN ALEX		2. GRADE AND COMPONENT OR POSITION ASSISTANT DIRECTOR	3. IDENTIFICATION NUMBER
4. HOME ADDRESS (Number, street or RFD, city or town, zone and State)		5. PURPOSE OF EXAMINATION ANNUAL	6. DATE OF EXAMINATION 4-27-60
7. SEX M	8. RACE W	9. TOTAL YRS. GOVT. SERVICE MILITARY CIVILIAN	10. DEPARTMENT, AGENCY, OR SERVICE
11. ORGANIZATION UNIT		12. DATE OF BIRTH 1905	
13. PLACE OF BIRTH New York City		14. NAME, RELATIONSHIP, AND ADDRESS OF NEXT OF KIN	
15. EXAMINING FACILITY OR EXAMINER, AND ADDRESS		16. OTHER INFORMATION	
17. STATEMENT OF EXAMINEE'S PRESENT HEALTH IN OWN WORDS. (Follow by description of past history, if complaint exists)			

Good

18. FAMILY HISTORY					19. HAS ANY BLOOD RELATION (Parent, brother, sister, other) OR HUSBAND OR WIFE:			
RELATION	AGE	STATE OF HEALTH	IF DEAD, CAUSE OF DEATH	AGE AT DEATH	YES	NO	(Check each item)	RELATION(S)
FATHER		deceased	Heart Attack	72		<input checked="" type="checkbox"/>	HAD TUBERCULOSIS	
MOTHER	71	good				<input checked="" type="checkbox"/>	HAD SYPHILIS	
SPOUSE						<input checked="" type="checkbox"/>	HAD DIABETES	
	50	good				<input checked="" type="checkbox"/>	HAD CANCER	
BROTHERS						<input checked="" type="checkbox"/>	HAD KIDNEY TROUBLE	
AND						<input checked="" type="checkbox"/>	HAD HEART TROUBLE	
SISTERS						<input checked="" type="checkbox"/>	HAD STOMACH TROUBLE	
						<input checked="" type="checkbox"/>	HAD RHEUMATISM (Arthritis)	
CHILDREN						<input checked="" type="checkbox"/>	HAD ASTHMA, HAY FEVER, HIVES	
						<input checked="" type="checkbox"/>	HAD EPILEPSY (Fits)	
						<input checked="" type="checkbox"/>	COMMITTED SUICIDE	
						<input checked="" type="checkbox"/>	BEEN INSANE	

20. HAVE YOU EVER HAD OR HAVE YOU NOW (Place check at left of each item)											
YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)	YES	NO	(Check each item)
<input checked="" type="checkbox"/>		SCARLET FEVER, ERYSIPELAS		<input checked="" type="checkbox"/>	GOITER		<input checked="" type="checkbox"/>	TUMOR, GROWTH, CYST, CANCER		<input checked="" type="checkbox"/>	"TRICK" OR LOCKED KNEE
	<input checked="" type="checkbox"/>	DIPHTHERIA		<input checked="" type="checkbox"/>	TUBERCULOSIS		<input checked="" type="checkbox"/>	RUPTURE		<input checked="" type="checkbox"/>	FOOT TROUBLE
	<input checked="" type="checkbox"/>	RHEUMATIC FEVER		<input checked="" type="checkbox"/>	SOAKING SWEATS (Night sweats)		<input checked="" type="checkbox"/>	APPENDICITIS		<input checked="" type="checkbox"/>	NEURITIS
	<input checked="" type="checkbox"/>	SWOLLEN OR PAINFUL JOINTS		<input checked="" type="checkbox"/>	ASTHMA		<input checked="" type="checkbox"/>	PILES OR RECTAL DISEASE		<input checked="" type="checkbox"/>	PARALYSIS (Inc. infantile)
<input checked="" type="checkbox"/>		MUMPS		<input checked="" type="checkbox"/>	SHORTNESS OF BREATH		<input checked="" type="checkbox"/>	FREQUENT OR PAINFUL URINATION		<input checked="" type="checkbox"/>	EPILEPSY OR FITS
	<input checked="" type="checkbox"/>	WHOOPING COUGH		<input checked="" type="checkbox"/>	PAIN OR PRESSURE IN CHEST		<input checked="" type="checkbox"/>	KIDNEY STONE OR BLOOD IN URINE		<input checked="" type="checkbox"/>	CAR, TRAIN, SEA, OR AIR SICKNESS
	<input checked="" type="checkbox"/>	FREQUENT OR SEVERE HEADACHE		<input checked="" type="checkbox"/>	CHRONIC COUGH		<input checked="" type="checkbox"/>	SUGAR OR ALBUMIN IN URINE		<input checked="" type="checkbox"/>	FREQUENT TROUBLE SLEEPING
	<input checked="" type="checkbox"/>	DIZZINESS OR FAINTING SPELLS		<input checked="" type="checkbox"/>	PALPITATION OR POUNDING HEART		<input checked="" type="checkbox"/>	BOILS		<input checked="" type="checkbox"/>	FREQUENT OR TERRIFYING NIGHTMARES
	<input checked="" type="checkbox"/>	EYE TROUBLE		<input checked="" type="checkbox"/>	HIGH OR LOW BLOOD PRESSURE		<input checked="" type="checkbox"/>	VENEREAL DISEASE		<input checked="" type="checkbox"/>	DEPRESSION OR EXCESSIVE WORRY
	<input checked="" type="checkbox"/>	EAR, NOSE OR THROAT TROUBLE		<input checked="" type="checkbox"/>	CRAMPS IN YOUR LEGS		<input checked="" type="checkbox"/>	RECENT GAIN OR LOSS OF WEIGHT		<input checked="" type="checkbox"/>	LOSS OF MEMORY OR AMNESIA
	<input checked="" type="checkbox"/>	RUNNING EARS		<input checked="" type="checkbox"/>	FREQUENT INDIGESTION		<input checked="" type="checkbox"/>	ARTHRITIS OR RHEUMATISM		<input checked="" type="checkbox"/>	BED WETTING
	<input checked="" type="checkbox"/>	CHRONIC OR FREQUENT COLDS		<input checked="" type="checkbox"/>	STOMACH, LIVER OR INTESTINAL TROUBLE		<input checked="" type="checkbox"/>	BONE, JOINT, OR OTHER DEFORMITY		<input checked="" type="checkbox"/>	NERVOUS TROUBLE OF ANY SORT
	<input checked="" type="checkbox"/>	SEVERE TOOTH OR GUM TROUBLE		<input checked="" type="checkbox"/>	GALL BLADDER TROUBLE OR GALL STONES		<input checked="" type="checkbox"/>	LAMENESS		<input checked="" type="checkbox"/>	ANY DRUG OR NARCOTIC HABIT
	<input checked="" type="checkbox"/>	SINUSITIS		<input checked="" type="checkbox"/>	JAUNDICE		<input checked="" type="checkbox"/>	LOSS OF ARM, LEG, FINGER, OR TOE		<input checked="" type="checkbox"/>	EXCESSIVE DRINKING HABIT
	<input checked="" type="checkbox"/>	HAY FEVER		<input checked="" type="checkbox"/>	ANY REACTION TO SERUM, DRUG OR MEDICINE		<input checked="" type="checkbox"/>	PAINFUL OR "TRICK" SHOULDER OR ELBOW		<input checked="" type="checkbox"/>	HOMOSEXUAL TENDENCIES

21. HAVE YOU EVER (Check each item)		22. FEMALES ONLY: A. HAVE YOU EVER—		B. COMPLETE THE FOLLOWING:	
<input checked="" type="checkbox"/>	WORN GLASSES	<input checked="" type="checkbox"/>	ATTEMPTED SUICIDE		AGE AT ONSET OF MENSTRUATION
<input checked="" type="checkbox"/>	WORN AN ARTIFICIAL EYE	<input checked="" type="checkbox"/>	BEEN A SLEEP WALKER		INTERVAL BETWEEN PERIODS
<input checked="" type="checkbox"/>	WORN HEARING AIDS	<input checked="" type="checkbox"/>	LIVED WITH ANYONE WHO HAD TUBERCULOSIS		DURATION OF PERIODS
<input checked="" type="checkbox"/>	STUTTERED OR STAMMERED	<input checked="" type="checkbox"/>	COUGHED UP BLOOD		DATE OF LAST PERIOD
<input checked="" type="checkbox"/>	WORN A BRACE OR BACK SUPPORT	<input checked="" type="checkbox"/>	BLED EXCESSIVELY AFTER INJURY OR TOOTH EXTRACTION		QUANTITY: <input type="checkbox"/> NORMAL <input type="checkbox"/> EXCESSIVE <input type="checkbox"/> SCANTY
23. HOW MANY JOBS HAVE YOU HAD IN THE PAST THREE YEARS?		24. WHAT IS THE LONGEST PERIOD YOU HELD ANY OF THESE JOBS? MONTHS		25. WHAT IS YOUR USUAL OCCUPATION?	
				26. ARE YOU (Check one) <input type="checkbox"/> RIGHT HANDED <input type="checkbox"/> LEFT HANDED	

YES	NO	CHECK EACH ITEM YES OR NO. EVERY ITEM CHECKED "YES" MUST BE FULLY EXPLAINED IN BLANK SPACE ON RIGHT
	<input checked="" type="checkbox"/>	27. HAVE YOU BEEN UNABLE TO HOLD A JOB BECAUSE OF:
	<input checked="" type="checkbox"/>	A. SENSITIVITY TO CHEMICALS, DUST, SUNLIGHT, ETC.
	<input checked="" type="checkbox"/>	B. INABILITY TO PERFORM CERTAIN MOTIONS
	<input checked="" type="checkbox"/>	C. INABILITY TO ASSUME CERTAIN POSITIONS
	<input checked="" type="checkbox"/>	D. OTHER MEDICAL REASONS (If yes, give reasons)
	<input checked="" type="checkbox"/>	28. HAVE YOU EVER WORKED WITH RADIOACTIVE SUBSTANCE?
	<input checked="" type="checkbox"/>	29. DID YOU HAVE DIFFICULTY WITH SCHOOL STUDIES OR TEACHERS? (If yes, give details)
	<input checked="" type="checkbox"/>	30. HAVE YOU EVER BEEN REFUSED EMPLOYMENT BECAUSE OF YOUR HEALTH? (If yes, state reason and give details)
	<input checked="" type="checkbox"/>	31. HAVE YOU EVER BEEN DENIED LIFE INSURANCE? (If yes, state reason and give details)
	<input checked="" type="checkbox"/>	32. HAVE YOU HAD, OR HAVE YOU BEEN ADVISED TO HAVE, ANY OPERATIONS? (If yes, describe and give age at which occurred)
	<input checked="" type="checkbox"/>	33. HAVE YOU EVER BEEN A PATIENT (committed or voluntary) IN A MENTAL HOSPITAL OR SANATORIUM? (If yes, specify when, where, why, and name of doctor, and complete address of hospital or clinic)
<input checked="" type="checkbox"/>		34. HAVE YOU EVER HAD ANY ILLNESS OR INJURY OTHER THAN THOSE ALREADY NOTED? (If yes, specify when, where, and give details)
<input checked="" type="checkbox"/>		35. HAVE YOU CONSULTED OR BEEN TREATED BY CLINICS, PHYSICIANS, HEALERS, OR OTHER PRACTITIONERS WITHIN THE PAST 5 YEARS? (If yes, give complete address of doctor, hospital, clinic, and details)
	<input checked="" type="checkbox"/>	36. HAVE YOU TREATED YOURSELF FOR ILLNESSES OTHER THAN MINOR COLDS? (If yes, which illnesses)
	<input checked="" type="checkbox"/>	37. HAVE YOU EVER BEEN REJECTED FOR MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (If yes, give date and reason for rejection)
	<input checked="" type="checkbox"/>	38. HAVE YOU EVER BEEN DISCHARGED FROM MILITARY SERVICE BECAUSE OF PHYSICAL, MENTAL, OR OTHER REASONS? (If yes, give date, reason, and type of discharge: whether honorable, other than honorable, for unfitness or unsuitability)
	<input checked="" type="checkbox"/>	39. HAVE YOU EVER RECEIVED, IS THERE PENDING, HAVE YOU APPLIED FOR, OR DO YOU INTEND TO APPLY FOR PENSION OR COMPENSATION FOR EXISTING DISABILITY? (If yes, specify what kind, granted by whom, and what amount, when, why)

temporary stomach distress, pylorospasm, 7 yrs. ago
 shoulder muscular + sacroiliac periodic
 discomfort of temporary transitory
 nature. treated by
 Dr. Stephen J. Keaton Jr.
 1734 K. St. personal physician

I CERTIFY THAT I HAVE REVIEWED THE FOREGOING INFORMATION SUPPLIED BY ME AND THAT IT IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.
 I AUTHORIZE ANY OF THE DOCTORS, HOSPITALS, OR CLINICS MENTIONED ABOVE TO FURNISH THE GOVERNMENT A COMPLETE TRANSCRIPT OF MY MEDICAL RECORD FOR PURPOSES OF PROCESSING MY APPLICATION FOR THIS EMPLOYMENT OR SERVICE.

TYPED OR PRINTED NAME OF EXAMINEE

SIGNATURE

Alvin Rosen

40. PHYSICIAN'S SUMMARY AND ELABORATION OF ALL PERTINENT DATA (Physician shall comment on all positive answers in items 20 thru 39)

I.H. Non contributory

TYPED OR PRINTED NAME OF PHYSICIAN OR EXAMINER

DATE

27 Apr '60

SIGNATURE

David B. F...

NUMBER OF ATTACHED SHEETS

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Tolson

DATE: April 5, 1962

FROM : Mr. Mohr

SUBJECT: ALEX ROSEN
WILLIAM C. SULLIVAN
CARTHA D. DE LOACH
Assistant Directors

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

OUTSTANDING ANNUAL PERFORMANCE RATINGS

There are attached for approval annual ratings for Messrs. Rosen, Sullivan and DeLoach, covering the period from April 1, 1961, through March 31, 1962, rating their services as Outstanding. I have signed the rating of Mr. DeLoach and Mr. Belmont has signed the ratings of Messrs. Rosen and Sullivan as the rating official.

In the event you approve these ratings, I respectfully request that you sign both the original and copy of each of them as the Reviewing Official and the Director sign both the original and copy of each of them as the Approving Official. Thereafter they must be submitted to Deputy Attorney General Byron R. White in the Department for approval by the Efficiency Awards Committee. Upon approval of these ratings by the Efficiency Awards Committee, they will be returned to the Bureau and Messrs. Rosen, Sullivan and DeLoach will be furnished copies of their ratings. They will also be entitled to cash incentive awards, under the provisions of the Incentive Awards Plan. You will recall that you have authorization under delegation from the Attorney General to approve such awards up to \$500, which is the customary amount that has been approved for Assistant Directors and above. For officials below the level of Assistant Director, who are in Grade GS 16 or above, it has been customary to approve awards of \$400. For those below Grade GS 16, awards of \$300 have been approved.

Should you agree with the foregoing, these ratings will be forwarded to the Department for approval at such time as the Outstanding ratings on the Special Agents in Charge have been prepared and approved in the Bureau. When these ratings have been approved by the Department, necessary checks will be drawn and letters prepared for the Director's signature.

RECOMMENDATION:

It is recommended that you, as Reviewing Official, and the Director, as Approving Official, sign the original and copy of the attached Outstanding performance ratings for Messrs. Rosen, Sullivan and DeLoach and that upon approval of these ratings each be approved for an incentive award of \$500.

Enclosures

RRB:crt (4)

- 1 - Personnel File of Mr. William C. Sullivan
1 - Personnel File of Mr. Cartha D. DeLoach

REC-144

67-27215-450
Searched _____ Numbered 44
3 MAY 17 1962

44

CKH

304

Mr. Byron R. White
Deputy Attorney General

April 12, 1962

Director, FBI

OUTSTANDING PERFORMANCE RATINGS
Federal Bureau of Investigation

There are enclosed the annual performance ratings on the below-listed employees, covering the period from April 1, 1961, through March 31, 1962, wherein they have been given the adjective rating of Outstanding.

b6

Alan H. Belmont
Bernard C. Brown
Joseph J. Casper
Ivan W. Conrad
Cartha D. DeLoach
Courtney A. Evans

W. Mark Felt
Harvey G. Foster
[redacted]
James H. Gale
Edward E. Hargett
[redacted]
Leo L. Laughlin

Edmund D. Mason
James E. Milnes
Frank L. Price
~~Alex~~ Rosen
J. Gordon Shanklin
William C. Sullivan

In accordance with existing requirements, these ratings are transmitted for final approval by the Efficiency Awards Committee of the Department. I would appreciate it if you would promptly advise me when these ratings have been approved, in order that they can be considered official. To facilitate such processing, my signature on the ratings will also serve as approval in my capacity as a member of the Efficiency Awards Committee.

Enclosures (19)

DUPLICATE YELLOW

RRB:crt
(23)

Copies prepared and attached for personnel files of the above.

FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE

REPORT OF PERFORMANCE RATING

Name of Employee: ALEX ROSEN

Where Assigned: GENERAL INVESTIGATIVE DIVISION
(Division) (Section, Unit)

Official Position Title: ASSISTANT DIRECTOR

Rating Period: from APRIL 1, 1961 to MARCH 31, 1962

ADJECTIVE RATING: OUTSTANDING Employee's Initials _____
Outstanding, Excellent, Satisfactory, Unsatisfactory

Rated by:	<u><i>W. Belmont</i></u> Signature	<u>Assistant to the Director</u> Title	<u>4-2-62</u> Date
Reviewed by:	<u><i>Clyde A. Tolson</i></u> Signature	<u>Associate Director</u> Title	<u>4-2-62</u> Date
Rating Approved by:	<u><i>J. Edgar Hoover</i></u> Signature	<u>Director</u> Title	<u>4-2-62</u> Date

TYPE OF REPORT

☒ Official
☒ Annual

REC-144 67-27215-451
☐ Administrative
☐ 60-Day
☐ 90-Day
☐ Transfer
☐ Separation from Service
☐ Special

44

NARRATIVE COMMENTS

Note: The regulations require that OUTSTANDING ratings be supported by a statement in writing setting forth IN DETAIL the performance IN EVERY ASPECT and the REASONS for considering each worthy of SPECIAL COMMENDATION.
UNSATISFACTORY ratings must be supported by a statement in writing stating (1) WHEREIN the performance is unsatisfactory, (2) the facts of the (90 day) PRIOR WARNING, and (3) the efforts made AFTER THE WARNING TO HELP the employee bring his performance up to a satisfactory level.

ALEX ROSEN
ASSISTANT DIRECTOR
GENERAL INVESTIGATIVE DIVISION
ENTERED ON DUTY OCTOBER 16, 1933

As Assistant Director in charge of the General Investigative Division, Mr. Rosen is responsible for the conduct of investigation of general criminal violations, civil rights violations and accounting and fraud matters. He has performed his many duties in such a superior manner that he is most deserving of an Outstanding rating for the annual rating period April 1, 1961, through March 31, 1962.

During his more than twenty-eight years of impressive service, Mr. Rosen has had extensive experience as an investigator, supervisor, administrator and executive, both in the field and at the Seat of Government. He has been a capable administrator and top-level executive for twenty years and is a foremost authority on criminal violations within the Bureau's jurisdiction. He provides the vigorous leadership necessary to obtain maximum results in matters under his direction.

Mr. Rosen presents an impeccable personal appearance, has a striking personality and is most influential in his personal contacts. His performance has been highlighted by his unquestioned interest, enthusiasm, loyalty and tireless devotion to duty. He has done an exceptionally fine job in handling his multiple executive responsibilities which is reflected in our statistical accomplishments. Although the obligations of the Bureau have continued to increase during the past year Mr. Rosen has willingly and eagerly accepted additional duties and fulfilled them in his usual competent and judicious manner. During the rating period the Bureau has enjoyed unsurpassed success in the solution of crimes of national implication and tremendous

ramifications. Mr. Rosen through his adroit planning played a material part in the direction of these matters from the Seat of Government as well as in personal, on-the-scene supervision as necessary.

Mr. Rosen has performed in every respect in a splendid manner and has played a vital role in the continued efficient discharge of the Bureau's ever-increasing responsibilities. His services have been invaluable and as a result of his great desire to serve the Bureau and the Nation to the best of his ability he has certainly earned this Outstanding annual performance rating.

REC-144

April 25, 1962

PERSONAL

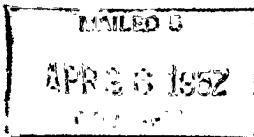
Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Al:

I am happy to advise that you have been afforded an Outstanding performance rating for the period April 1, 1961, to March 31, 1962, which has been approved by the Efficiency Awards Committee of the Department. You may retain the enclosed copy of this rating.

It is also a great pleasure to advise you that in recognition of your splendid services I have approved an incentive award for you in the amount of \$500.00. There is enclosed a check for \$410.00, which represents this award less withholding tax. The superb manner in which you have discharged your responsibilities is certainly noteworthy. I am indeed appreciative of your valuable services.

Sincerely,
J. Edgar Hoover



Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

Enclosures (2)

1 - [redacted] (Sent Direct)

LRH:cmt

(4)

NOTE: Salutation per file.

Award #832-62

MAIL ROOM ☐ TELETYPE UNIT ☐

b6

44-38861-20264-25
FBI
MAY 14 1962

June 1, 1962

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

JUN 1 10 08 AM '62
FBI
READING ROOM

Dear Mr. Rosen:

I have received your explanation with respect to the failure of the General Investigative Division to immediately advise me of the fact that a prior Bureau investigation of Billie Sol Estes had been conducted in 1961. In addition to the derelictions of your subordinates in this matter, you were personally at fault in failing to insure that I was promptly informed of this vital information.

This matter was atrociously handled in your division and I shall hold you accountable for making certain that there are no similar weaknesses in the future.

Very truly yours,

John Edgar Hoover
Director

452

1 - Mr. Belmont (Personal Attention)

1 - General Investigative Division Personnel File

JIC:mkb

(5)

Based on memo, Mr. Rosen to Mr. Belmont, 5-25-62, AR:cag:mpd

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

REC'D TO

MAIL ROOM ☐ TELETYPE UNIT ☐

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont *AB*

DATE: May 25, 1962

FROM : A. Rosen *AR*SUBJECT: BILLIE SOL ESTES
INTERSTATE TRANSPORTATION OF
STOLEN PROPERTY - BRIBERY

Tolson	_____
Belmont	_____
Mohr	_____
DeLoach	_____
Evans	_____
Malone	_____
Rosen	_____
Sullivan	_____
Tavel	_____
Trotter	_____
Tele. Room	_____
Holmes	_____
Gandy	_____

In accordance with the Director's instructions that personnel responsible are to be censured for not calling to the Director's attention the 1961 closed case concerning Billie Sol Estes, when this matter came into prominence, the following persons are responsible:

Special Agent Supervisor Thomas W. Curran and Section Chief William B. Welte, both who were aware of the prior information concerning Estes.

Inspector James R. Malley who was aware of the information having had it brought to his attention some time after the instant investigation came into prominence.

I have gone over in detail the manner in which the above men handled this matter and they have emphatically told me that there was absolutely no intention to withhold this information. They have advised me that they are mortified of the fact that this information was not called to the Director's attention when this matter came into prominence but they had been working around the clock on this matter and it was only through inadvertence and because so many items of current and immediate interest were occurring that required immediate handling that this situation developed. They deeply regret that this occurred and wish to assure the Director that such a situation will not again occur.

Supervisor John B. Cook who overheard a conversation between two Departmental Attorneys either on May 22 or May 23, 1962, during which they said they agreed with the U. S. Attorney's decision in 1961 declining action in the Billie Sol Estes case. Mr. Cook was in the Department on May 22 or 23, 1962, on another matter not involving the Estes case. He is the Supervisor who handled the 1961 closed case concerning Estes, and while in the Department overheard the conversation. On Wednesday night, May 23, at the time this matter was being checked into, having been called to our

1 - Administrative Division

AR:cag:mpd (5)

SENT DIRECTOR

5-28-62

JUN 19 1962

Memo to Mr. Belmont from A. Rosen
Re: Billie Sol Estes

attention the evening of May 23, Supervisor Cook recalled this conversation and it was then incorporated in the memorandum prepared on May 24, 1962.

Assistant Director Rosen for the manner in which this case was handled in the General Investigative Division. I was not aware of the 1961 closed case concerning Billie Sol Estes, however, when it was called to my attention on the evening of May 23, 1962, an immediate inquiry was instituted into the situation in order to determine the facts and the results thereof were prepared for the Director's information. I deeply regret that this information was not made available to the Director the first thing the morning of May 24, 1962, when the results were called to my attention.

R
ADDENDUM (5/23/62):

Mr. Rosen advised me of the previous inquiry concerning Estes on the evening of 5/23/62, at which time we agreed that a memorandum should be prepared giving the Director the full facts on this, for the Director's information.

I went to the Bethesda Hospital at 9:45 a.m. the next day, 5/24, for a checkup, at which time the memorandum had not arrived at my office. I should have insured that the Director was notified of this situation prior to my departure for the hospital.

It is recommended that the Bureau personnel mentioned in this memorandum, namely Curran, Welte, Malley, Cook, Rosen and Belmont, be censured for failure to keep the Director advised promptly.

you.
S
A. H. BELMONT
W. J. ...

AL ROSEN

July 13, 1962

Mr. Tolson	✓
Mr. Belmont	✓
Mr. Mohr	✓
Mr. Callahan	✓
Mr. Conrad	_____
Mr. DeLoach	_____
Mr. Evans	_____
Mr. Malone	_____
Mr. Rosen	_____
Mr. Sullivan	_____
Mr. Tavel	_____
Mr. Trotter	_____
Tele. Room	_____
Miss Holmes	_____
Miss Gandy	_____

Dear Mr. Hoover,

I want to assure you that every effort is being made to preclude the recurrence of a situation as developed in the Estes case this past week. I deeply regret that this occurred.

I wish to assure you the personnel of this Division, who are working on the Estes case, will continue to exert every effort to fulfill their duties, in an exemplary manner and the fact that administrative action was taken will not in any way dim their enthusiasm nor attitude in performing these most important tasks, which have been assigned to them.

Sincerely,

Al Rosen
Al Rosen

Honorable John Edgar Hoover
Director
Federal Bureau of Investigation—
Washington, D. C.

REC-141

67-27213-453
SEARCHED _____
JUL 18 1962

137

147

3/11C

UNITED STATES GOVERNMENT

Memorandum

TO : MR. MALONE

DATE: 6/4/62

FROM : A. ROSEN

SUBJECT: SEMI-ANNUAL FIELD INSPECTORS'
CONFERENCE, JUNE 18 - 19, 1962*Inspections General*

I will personally discuss the following matters at the Field Inspectors' Conference to be held on June 18 - 19, 1962:

1. Labor matters
2. Civil Rights & Racial Matters
3. Accomplishments
4. Case Load - Liaison with Sources of New Cases.
5. Department of Defense Memorandum of Understanding
6. Relations with USA's
7. Field Supervision

Approximately 1/2 hour will be sufficient to discuss these matters and in the event I am unable to appear before the conference, Mr. J. R. Malley will discuss these items.

AR:WW
(3)

REC-132

11 JUN 26 1962

29m
XEROX
JUL 5 1962

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

Feig Me
JUN 8 11 35 AM '62

Cyrt
PBR *parry*

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont

DATE: May 24, 1962

FROM : A. Rosen

SUBJECT: BILLIE SOL ESTES
INTERSTATE TRANSPORTATION OF
STOLEN PROPERTY - BRIBERY

Tolson	✓
Belmont	✓
Mohr	✓
Callahan	✓
Conrad	✓
DeLoach	✓
Evans	✓
Malone	✓
Rosen	✓
Sullivan	✓
Tavel	✓
Trotter	✓
Tele. Room	✓
Holmes	✓
Gandy	✓

Reference is made to Mr. Tolson's memorandum of May 24, 1962, wherein he refers to Mr. DeLoach's memorandum dated May 24, 1962, reflecting a telephone call from [redacted] of [redacted] Office at 9:45 a.m., May 24, 1962, and a subsequent call the same day at 1:00 p.m. There is also attached a copy of the teletype from the Special Agent in Charge (SAC) at El Paso, which was received in the Teletype Unit of the Bureau at 1:34 a.m., May 24, 1962.

It is noted that the teletype was furnished by the El Paso Office at the specific request of the Bureau. The information in the teletype is incorporated in my memorandum to Mr. Belmont dated May 24, 1962, which was gotten together late the evening of May 23, 1962, and the morning of May 24, 1962, after the teletype from the El Paso Office was analyzed and in order that a complete picture would be presented to the Director inasmuch as the El Paso teletype did not reflect the action taken at the Seat of Government.

It is noted that the information requested of the El Paso Office was based upon the fact that Mr. DeLoach had indicated on the evening of May 23, 1962, that [redacted] allegedly had spoken to the FBI back in 1961, concerning Billie Sol Estes. Mr. DeLoach indicated that his inquiry was based upon a call which he had received on May 23, 1962, from [redacted] Office. At the time Mr. DeLoach's memorandum of May 24, 1962, was received reflecting his telephone call from [redacted] of [redacted] Office at 9:45 a.m., May 24, 1962, and again at 1:00 p.m., May 24, 1962, my memorandum of May 24, 1962, reviewing this situation was actually prepared but had not been transmitted.

- 1 - Mr. DeLoach
- 1 - Mr. Evans

AR:1mc

(8)

JUN 20 1962

JUN 20 1962

79

Memorandum to Mr. Belmont
RE: BILLIE SOL ESTES

b6
b7D

The teletype dated at El Paso, May 23, 1962, which was received in the Teletype Unit at 1:34 a.m., May 24, 1962, was not called to the Director's attention inasmuch as the substance of the information was incorporated in my memorandum of May 24, 1962, and as the El Paso teletype was not complete.

When the Billie Sol Estes case recently came into prominence, the closed case involving Billie Estes in which the United States Attorney (USA) at El Paso, Texas, had declined prosecution, should have been called to the Director's attention. It is deeply regretted this was not done heretofore. The current case which was initiated on the basis of a specific allegation received from [redacted] on March 6, 1962, which resulted in an arrest and indictment as a result of the FBI investigation, has mushroomed into so many facets that through inadvertence the closed matter was not heretofore mentioned. Nevertheless, it should have been called to the Director's attention.

With specific reference to the handling of the 1961 matter concerning Billie Sol Estes, the information growing out of information furnished the Bureau was given to the main Department of Justice. The information was furnished on July 24, 1961. The Department of Justice was also furnished a copy of a memorandum prepared by our El Paso, Texas, Office dated July 17, 1961, captioned "Billie Sol Estes, Pecos, Texas, Possible Federal Reserve Act." This was actually forwarded under date of July 24, 1961, to the Records Administration Office of the Department of Justice and was specifically checked for the attention of the Criminal Division. There is attached for your ready reference a copy of the memorandum of July 17, 1961.

An identical copy of the above memorandum of July 17, 1961, was also transmitted to the Department of Agriculture on October 27, 1961, pursuant to a request for a name check on Billie Sol Estes.

Insofar as the main Department of Justice is concerned, in reference to the decision of the USA at El Paso, there is set forth the procedure followed by the Criminal Division to point out the manner in which the Department handles and treats our reports. Upon receipt

Memorandum to Mr. Belmont
RE: BILLIE SOL ESTES

of memoranda or reports which set forth the opinions of USAs and in those instances when the USA reaches the conclusion that no further action is warranted, it is understood that the attorney in the Department prepares a brief analysis of the facts and indicates whether he concurs with the opinion submitted by the USA.

b6

This procedure is true in Federal Reserve Act cases and the case which was submitted to the Department initially in the Billie Sol Estes matter was carried under the caption of Federal Reserve Act. It is particularly noteworthy to point out that our memorandum of July 17, 1961, which was sent to the Department on July 24, 1961, closing this case and incorporating the opinion of the USA, was analyzed by the Departmental Attorneys. In this connection a supervisor who maintains liaison with the Departmental Attorneys handling Federal Reserve Act matters recalls a conversation which he overheard the early part of this week in reference to the Billie Sol Estes case. He overheard a conversation between Departmental Attorneys [redacted] and [redacted] who were discussing the Estes case. [redacted] stated that he recalled having analyzed the Federal Reserve Act aspects of the Estes matter. [redacted] said he wrote a memorandum concurring with the USA's declination opinion. [redacted] stated that he also recalled this matter as he had approved it at the time he was acting as Section Chief.

Memo Belmont
from AR
5/25/62 AR
Concerns are the
made of personnel
knowing or rather
mishandling the
phases of this matter.

Went to [redacted] and reported to me [redacted] why was this
The mishandling of this
is a [redacted] by Belmont & Rosen
by [redacted] & by [redacted] on my [redacted]
that this [redacted] is of [redacted]
importance & [redacted] [redacted]
completely in the [redacted] [redacted]
important development [redacted]
neither Belmont nor [redacted]
pay the slightest attention to
my instructions. H.

Memo to Belmont
5/25/62 - [redacted]

UNITED STATES GOVERNMENT

Memorandum

TO : DIRECTOR, FBI

DATE: 7/10/62

FROM : SAC, MOBILE (80-356)

SUBJECT: UNITED STATES DISTRICT JUDGE
FRANK M. JOHNSON, JR.
MIDDLE DISTRICT OF ALABAMA

ReBuLet 6/20/62, to Mobile captioned as above.

While in Montgomery, Ala. on 7/9/62 in connection with other matters, I had occasion to visit briefly with Judge JOHNSON. The Judge gave me a detailed account of his recent visit to the Bureau, stating that he was very much impressed by what he saw and by the way that he was treated by all with whom he had contact. He was most apologetic for not being able to recall the names of all of those who played a part in making his visit so pleasant, and expressed the hope that there might be some way in which his appreciation could be made known to them.

He also stated that he had the opportunity to have a very pleasant visit with Assistant Director A. ROSEN and was most appreciative of the time that Mr. ROSEN spent with him. He then volunteered the observation that he had long been a great admirer of Director HOOVER, but that he now appreciated him even more after having the opportunity to observe the caliber of individuals whom Mr. HOOVER picked for his assistants.

The above is being brought to the Bureau's attention in view of the Judge's complimentary remarks.

2 - Bureau
1 - Mobile
RGH:Am1
(3)

REC-104

67-

454

57
JUL 25 1962

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Callahan

DATE: 7-23-62

FROM : C. R. Davidson *CRD*

SUBJECT: ALEX ROSEN
 Assistant Director
 General Investigative Division
 ON PROBATION since 7-11-62
 EOD 10-16-33
 GS-18, \$18,500

Tolson _____
 Belmont _____
 Mohr _____
 Callahan _____
 Conrad _____
 DeLoach _____
 Evans _____
 Malone _____
 Rosen _____
 Sullivan _____
 Tavel _____
 Trotter _____
 Tele. Room _____
 Holmes _____
 Gandy _____

This is a brief summary of Mr. Rosen's record for the Director's use.

Mr. Rosen entered on duty on 10-16-33 and is presently serving as Assistant Director in the General Investigative Division. He was rated OUTSTANDING on his 1962 annual performance report and he received a CASH AWARD of \$500 in recognition of the outstanding rating. In the past year he was COMMENDED once.

By letter dated 6-1-62 he was CENSURED for the failure of his division to immediately advise the Director of the fact that a prior Bureau investigation of Billie Sol Estes had been conducted in 1961.

On 7-11-62 he was CENSURED & PLACED ON PROBATION for his failure to advise the Director of extremely important information relating to the Bureau's investigation regarding Billie Sol Estes, namely a request by the Mc Clellan Committee that we hold in abeyance interviews with Louie N. Dumas and Arthur D. Stone who were scheduled to testify before this group.

By letter dated 7-20-62 he was CENSURED & CONTINUED ON PROBATION for the failure of the Oklahoma City Office and the General Investigative Division to set out information regarding a previous investigation and conviction of Louie N. Dumas. In addition to the shortcomings on the part of his division, it was obvious that he was most culpable in failing to properly brief the SAC of the Oklahoma City Office concerning the importance of the Billie Sol Estes case and the absolute necessity of insuring that the Bureau's responsibilities were completely covered. In connection with the handling of the Billie Sol Estes case by the General Investigative Division, the Director indicated that there was too much "executiveitis" in that division and that there were too many generals and too few top sergeants.

By letter dated 7-13-62 Mr. Rosen expressed regret in connection with the mishandling of the Estes case.

FDH/jas (3)
 permanent brief attached.

1 JUL 31 1962

67-27215-455
 8 JUL 26 1962

OVER

ALEX ROSEN
ASSISTANT DIRECTOR
GENERAL INVESTIGATIVE DIVISION

An inspection of the General Investigative Division began 7-2-62, by Mr. Herbert E. Hoxie, of the Inspection Staff. Set out below are tentative ratings, and findings of the inspection. These ratings are tentative and subject to change when the final evaluation is made, and the conclusions submitted for official approval. Tentative ratings are as follows:

Physical Condition and Maintenance	Very Good
Specific Division Operations	Fair
Administrative Operations	Unsatisfactory
Personnel Matters.....	Fair

Contacts Very Good

The tentative ratings of "fair on Specific Division Operations and Personnel Matters and the "unsatisfactory" on Administrative Operations are based on various deficiencies of the Billie Sol Estes Case.

*noted
E.H.
JRM*

✓

By memorandum dated 7-23-62 Mr. Malone recommended that Mr. Rosen be censured for failing to obtain appropriate recommendations for administrative action from the El Paso Office for an apparent breach of Bureau instructions involving failure to properly encode a teletype sent to the Bureau on 7-7-62 in connection with the Billie Sol Estes case. This teletype mentioned that a confidential source had been established on material copied by the McClellan Committee and was not properly encoded to insure complete security of the confidential technique utilized. This recommendation is presently under consideration.

*noted
JRM*

Best Copy Available

July 23, 1962

RECEIVED JUL 24 1962

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

The results of the recent inspection of the General Investigative Division have been reviewed. The various operations are rated as follows: Physical Condition and Maintenance and Contacts - Very Good; Personnel Matters - Fair; and Specific Division Operations and Administrative Operations - Unsatisfactory.

Division space was found to be secure and maintained in an orderly fashion. It was noted the realignment since the last inspection has resulted in adequate privacy and convenient arrangement of the sections for their operational requirements. You should personally follow the recommendations of the Inspector for improvements in the offices of the Section Chief and Number One Man of the Name Check Section.

Increases in the work load in all sections emphasize the need to exercise the utmost efficiency to insure maximum productivity. You should continue to assure that antitrust, civil rights, labor cases and related matters receive close supervision in view of the extreme interest manifested by the Department in these cases.

The contributions of the General Investigative Division to the over-all increases in statistical accomplishments for the 1962 Fiscal Year are gratifying. Although the existing surveys and intensification programs supervised by your Division are presently justified, you must continue to re-evaluate their worth in the light of ever-changing conditions. You must also continue to give close scrutiny to cases wherein we have no clear-cut jurisdiction. Careful study should

- 1 - Personnel file of Assistant Director Alex Rosen
- 1 - Mr. Callahan (Attn: Mr. C.R. Davidson) (enclosure)
- 1 - [redacted] Room 4525
- 1 - Movement Unit

NOTE: Based on memo J.F. Malone to Mr. Moar, 7/25/62, re: Inspection - Gen'l Investigative Div., Herbert L. Moxie, Inspection Staff, 7/2-24/62, HHH:ejw.

HHH:waj

(8)

DUPLICATE YELLOW

Mr. Alexander

be given to the suggestion that regional conferences or specialized in-service training be instituted regarding White Slave Traffic Act matters in order to intensify and improve our coverage in this important field.

The mishandling of various aspects of the Miller case has caused a number of grave concerns to me. You have been previously advised of my displeasure over certain derelictions for which there was no excuse. The analysis conducted by the Inspector into the administrative handling of this case at the Seat of Government reveals additional delinquencies which reflect adversely on your entire administrative and Division operations. The total absence of any special guidelines for the search of indices regarding witnesses or others prominently involved in the Miller case is a serious deficiency. In a case of this magnitude such guidelines are essential and follow-up procedures are necessary to assure that pertinent information in Bureau files is known. Although you have pointed out numerous communications revealing detailed instructions to the field in this case, the obvious breakdown in procedures by the Chicago Office in the record checks at Meos, Texas, reveals that you and your subordinates failed to sufficiently impress Special Agent in Charge Crosby of the need for thorough investigation and the establishment of proper supervisory checks. You have failed to give sufficient guidance to subordinates and have not kept in touch with day-to-day operations. This conclusion is further substantiated by your utter inability to respond to my questions in the Lachar securities case regarding basic facts and investigation conducted by our New York Office. This intolerable situation cannot be allowed to continue.

The organizational structure of the various sections within your Division must be closely followed to prevent in the future a breakdown in administrative controls necessary in the many important categories under the supervision of your Division. Immediate attention must be given to the number of delinquent administrative review letters to the field within the Criminal Section. Destruction of the file copies of the old Special Inquiry Memoranda should be followed in January, 1933. Continued stress must be placed on assuring the even flow of mail.

Although the stenographic production of the Division pool was considered excellent in view of the number of new employees, the high rate of retypes was excessive. You should follow the Inspector's instructions to use ink corrections for minor changes on memoranda to be retained within the Bureau.

The Accounting and Travel Section should continue to gather data and follow preparation of the monthly report on fraudulent practices and investigative

Mr. Allen Mason

techniques. I am advised that the conferences monitored during the inspection were constructive, informative and well directed. This should be continued.

The number of personnel currently assigned to your division was found to be adequate but not excessive. The progress of the Lutes case must be closely followed to assure that the three temporary supervisors approved for your division are released at the earliest practical opportunity. The utmost economy in man power must be utilized. Morale throughout the division was found to be good. Voluntary overtime performed was substantial, productive and essential. Although his status was found to be justified, you should follow the progress of the one Agent on limited duty. Continued attention must be given to the listing of all employees eligible for the promotional availability list.

I was pleased to note that division personnel are effectively participating in the contact program and that the liaison with department attorneys has served to keep the Bureau informed of pertinent developments.

In view of the gross deficiencies which have been detected in the Lutes and Lachis cases, you are being continued on probation. I shall expect that immediate steps will be taken to effect a vast improvement in the operations of the General Investigative Division.

You and the Number One man should carefully review the findings in the inspection report and work papers left with you. I should be advised within thirty days from the date of this letter of the action taken to correct the delinquencies noted.

Very truly yours,

John Edgar Hoover
Director

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont

DATE: July 9, 1962

FROM : A. Rosen

SUBJECT: BILLIE SOL ESTES
INTERSTATE TRANSPORTATION OF
STOLEN PROPERTY - BRIBERY

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

JUL 13 10 34 AM '62

This is to set forth recommendations for administrative action with reference to the failure of Agents examining the Estes' records to take appropriate action on a check in the amount of \$1640. 80, which check pertained to the payment of money to former employees of the Agriculture Stabilization and Conservation Service (ASC), Louis N. Dumas and Arthur D. Stone, and also for not advising the Director and the Department of the McClellan Committee request to withhold interviews with the two employees.

Records of the El Paso Office reflect that check No. 5182 on the First National Bank, Pecos, payable to the First National Bank, Pecos, in the amount of \$1640. 80, with the notation on the voucher which was attached, "ASC Dumon and Stone" was turned over to Special Agent Accountant Robert L. Snyder on May 31, 1962, by McClellan Committee investigators who were checking the records at the same time as our Agents were reviewing the records. SAA Snyder listed this check on his work papers as having received it. The work papers reflected that he handed it to SAA Darwin Bare for proper handling. Agent Snyder advises now that he recalls the receipt of this item and whatever action appeared on his work papers was the action that he had taken. Agent Snyder is assigned to the Minneapolis Office and was on the Estes special at Pecos, Texas.

REC-133

67-27215-456

SAA Darwin Bare advised the records concerning political contributions and/or gifts and favors to political figures and Government employees were either located by him through examination of general-ledger-expense accounts or in most instances were brought to his attention by other Agents who were making examinations of cancelled checks and vouchers. He maintained that he did not eliminate any such items and without exception, all such items were immediately entered from the original record on detailed work papers which he maintained and which would be on file at the El Paso Office.

1 - Mr. Evans
1 - Mr. DeLoach

1 - Mr. Callahan
1 - Mr. Mohr

AUG 3 1962

REC.D.
AR:mpd(11)

Let's get cancelled checks, crossed, to Rosen, Mohr, Callahan, Bare, Snyder, Thompson, HOB.

JUL 16 1962

Memo to Mr. Belmont from A. Rosen

Regarding check No. 5182 and the voucher, SA Bare states he has positively no recollection of this check being brought to his attention and that he is positive the voucher was never brought to his attention, that he did not observe the voucher at any time. The cancelled check and voucher were not entered on his work schedule prepared by him and definitely would have been entered if brought to his attention. The El Paso Office examined the work papers prepared by Agent Bare and a review of these fails to reflect any entry covering the cancelled check and voucher No. 5182. SA Bare is assigned to the Albuquerque Office and was on the Estes special at El Paso, Texas.

In connection with our investigation into allegations of irregularities in cotton allotments, our Oklahoma City Office interviewed Louis N. Dumas and obtained from him a signed sworn statement on May 28, 1962. Among other things, Dumas stated, "that at no time was any offer made to Arthur Stone or myself of financial reward or any other material gain to get us to help in the transfer of the cotton allotments."

On May 29, 1962, our Oklahoma City Office interviewed Arthur D. Stone under oath and obtained a signed sworn statement. He also denied any bribe offer to him or Dumas in connection with the transfer of cotton allotments.

On May 30, 1962, our Dallas Office interviewed Parnell Edward Biggerstaff in connection with his association with and dealings on behalf of Estes. He admitted being a "finder" for Estes and selling cotton land to farmers who would transfer their cotton allotment to Texas. He denied having any contacts with Government employees and did not know of anyone being "paid off."

The results of the above interviews were furnished to the Criminal Division of the Department in FBI reports of June 5 and June 19, 1962. On June 20, 1962, Biggerstaff advised that after his prior interview with the FBI, he conferred with his attorney who advised him to furnish all information to the FBI. A signed sworn statement was taken from Biggerstaff in which he stated that in July of 1961, he learned in conversation with Bobbie Frank Estes and Glenn Blake that they were paying a farmer in Pittsburg County, Oklahoma, \$5.00 per acre for locating farmers who would purchase land in the Texas area. Biggerstaff made the statement that the "boys in the ASC (Agriculture Stabilization and Conservation Service) office in McIntosh County, Oklahoma, should get something for their trouble." Biggerstaff stated that he was then given an envelope for delivery to the ASC office which, in his opinion, con-

Memo to Mr. Belmont from A. Rosen

retained around \$1600. He placed the envelope on the desk of Dumas, stating to him, "here is a little present for you." According to Biggerstaff, Dumas made no statement and was not seen to touch the envelope and he had no further contact with Dumas since that time.

b6

On interview on June 20, 1962, Biggerstaff advised that he had been interviewed by [redacted] an investigator for the McClellan Committee, in early June, 1962, and had been subpoenaed to appear in Washington on June 27, 1962, for hearing. Biggerstaff stated that he did not furnish the McClellan Committee investigator with information as to the payoff of ASC officials.

The results of the interview of Biggerstaff were furnished to the Department on the morning of June 21, 1962, and set forth in our weekly memorandum to the Attorney General on June 21, 1962.

Based on this information, the Oklahoma City Office was instructed to locate and interview Dumas and Stone. On June 23, 1962, Oklahoma City advised that it had learned from the Agriculture Department's office at Eufaula, Oklahoma, that Dumas and Stone had departed the past week end for McClellan Committee hearings in Washington. The office was not aware of the manner in which they could be contacted while in attendance at Committee hearings. Dumas had hoped to return to Eufaula within the next three or four days.

The Washington Field Office attempted to locate Dumas and Stone for interview concerning Biggerstaff's allegations of June 20, 1962, and on June 27, 1962, contacted the McClellan Committee to ascertain if they were at the Committee and where they were staying in Washington if that were known. At that time, we learned that Dumas and Stone were in the Committee offices for interview.

On June 28, 1962, the Washington Field Office again checked with the McClellan Committee and learned that Dumas and Stone had been at the McClellan Committee the prior evening and were on June 28, 1962, in the Committee offices standing by for further interview and to testify when called.

Memo to Mr. Belmont from A. Rosen

On June 29, 1962, Inspector Charles H. Stanley received a telephone call from Don O'Donnell, Committee Counsel of the McClellan Committee, who stated that Senator McClellan had requested the Bureau to hold in abeyance its interview of Biggerstaff, Dumas, and Stone until after they had testified. We learned that these three individuals had been standing by in the committee room and were expected to testify momentarily. On that date, Secretary of Agriculture Orville Freeman was still testifying and being questioned.

On the same date the Committee's liaison representative advised the Washington Field Office that they were of the opinion that any effort to interview these persons prior to their testimony would be highly undesirable and that he would advise the Washington Field Office as soon as he learned that they had been discharged by the Committee.

Section Chief William B. Welte, on receipt of the above request from the McClellan Committee, took into consideration at that time that these individuals were brought to Washington, D. C., by the McClellan Committee under subpoena and had been at the McClellan Committee the entire day on each day that they were in Washington and that any night interviews with them would be undesirable, as well as interviews with these individuals in the committee space at the Capitol. Of concern was the desire to not interview potential witnesses too close to the time that they took the stand before the McClellan Committee in view of the possible undesirable publicity of FBI interfering with Committee witnesses, which could possibly result. The Washington Field Office was, therefore, informed by Welte on June 29, 1962, that inasmuch as the witnesses were momentarily expected to testify they should hold in abeyance at that time efforts to interview the witnesses since they would be made available immediately after testifying. The Committee stated it was anticipated that Stone and Dumas would deny receiving any money from Biggerstaff as they had in the past, both to the McClellan Committee investigators and to our Agents. (While it was not a factor at that time, it is questionable whether or not Dumas and Stone would have broken inasmuch as Snyder and Bare had not pursued logical investigation re cancelled check and voucher reflecting payments to Dumon and Stone from the files of Estes, having only the statements of Biggerstaff concerning Dumas and Stone.)

The Criminal Section prepared [⊛] a memorandum dated June 29, 1962, pointing out the reason why we had been desirous of interviewing the three and that we had been attempting to locate and interview them in Washington, where they were under subpoena by the McClellan Committee and expected to testify

⊛ Prepared by Welte.

Memo to Mr. Belmont from A. Rosen

momentarily. The fact that Senator McClellan requested we withhold our interview was set forth. This memorandum, dated June 29, 1962, was called to my attention by Inspector James R. Malley on June 29, 1962. Inasmuch as I was advised the Committee anticipated that these individuals would testify momentarily, I asked that the results of their testimony be immediately set forth and sent forward.

On July 2, 1962, the Washington Field Office advised that the McClellan Committee had Secretary of Agriculture Orville Freeman on the stand until 6:45 p. m., June 29, 1962, under questioning by the Senators. The Senators had indicated there were further questions to ask of Freeman and the hearing was adjourned until 10:00 a. m., Thursday, July 5, 1962.

Secretary of Agriculture Freeman took the stand on July 5, 1962, and remained on the stand the entire day. On July 6, 1962, Biggerstaff and subsequently Stone and Dumas took the stand.

RECOMMENDATIONS:

Special Agent Darwin B. Bare, assigned to the Albuquerque Office, was on special in this case working in the same group with Special Agent Robert L. Snyder (Minneapolis Office). They were at Pecos, Texas, examining Estes' records. Agent Bare was working in the same office with Agent Snyder. Snyder made a record in this case showing he received the cancelled check and voucher from a representative of the McClellan Committee and that he, in turn, gave this material to Agent Bare for appropriate handling.

Records in the El Paso Office contain no information showing that any action was taken by Agent Bare and when he was contacted, he stated he had no recollection concerning this item. There was no follow-up system utilized by the El Paso Office. It was the Agent's responsibility to follow through on any item given to him for handling, due to the large number of records being processed (approximately 40,000). It is noted that Bare was working in the same office with Agent Snyder. The El Paso Office has stated that it has no possible way of obtaining further information concerning this matter.

SAC Crosby states that in connection with such items, Agent Snyder personally handed these items to the Agents who were responsible for taking further action. Although Bare does not recall receiving the particular item in question, it is observed that it is highly unlikely Snyder, who can account for his actions and who did work with Bare on other matters and who, according to SAC Crosby, personally handed these items which were obtained to the individual Agents, would make notes that are not accurate. It is noted that Bare has advised

Memo to Mr. Belmont from A. Rosen

that records concerning political contributions and/or gifts and favors to political figures and Government employees were either located by him through examination of general ledger expense accounts or in most instances were brought to his attention by other Agents making examinations of cancelled checks and vouchers.

It is noted that Agent Bare is a veteran and has been an Agent since 1949. Previous to that time he was a Fingerprint Classifier from December, 1941 to 1943, and he returned from the Service in January, 1946. It is recommended that he be censured, placed on probation, and suspended for thirty days.

and transfer
Agree - for inadequate handling of documents and failure to follow through.
J.P.M. Transfer to Philadelphia

Special Agent Robert L. Snyder, Minneapolis Office, was assigned to the Estes case on special assignment. He made a record in this case showing he received the cancelled check and voucher from a representative of the McClellan Committee and that he, in turn, gave this material to Agent Bare for appropriate handling. Records in the El Paso Office contain no information showing that any further action was taken by Agent Snyder with reference to this. His records merely show that he handed it to Agent Bare and now Bare states he has no recollection of receiving this item. Although Snyder recalls the item and his records reflect he gave it to Bare, Agent Bare states he has no recollection of this item, nor does he have any documentation to support his position. The El Paso Office has stated it has no possible way of obtaining further information concerning this.

While Agent Snyder has the record to rely upon, there is no proof that he actually followed through and gave the material to Agent Bare. Agent Snyder entered on duty 5/16/32 as a clerk and has been an Agent since June 5, 1939, with the exception of the period 12/13/49 when he resigned, until 9/5/50 when he was reinstated. He is a fully qualified accountant. It is recommended that he be censured, placed on probation, and suspended for 30 days.

Agree for same reasons as to Bare, above.
J.P.M.

I recommend censure and probation only
- 6 -

Memo to Mr. Belmont from A. Rosen

(S.O.G. SUPERVISOR)

Special Agent Fletcher D. Thompson was responsible for handling information received from the McClellan Committee through our liaison and was familiar with the material being submitted on a daily basis. He was aware that information, under date of June 29, 1962, had been received by the Bureau from the Committee that they did not desire the FBI to attempt to further contact Committee witnesses Stone and Dumas inasmuch as they were expected to testify momentarily. At 6:45 p.m., Dumas and Stone had not testified. No further action was taken by SA Thompson to advise the Department of the request of the McClellan Committee. This should have been done. He should have followed through on the initial information furnished on June 29, 1962, concerning this fact as he was also aware that the Director had not been advised of this. He also prepared daily memoranda on July 2, 3, 5 and 6, 1962, and did not incorporate the fact that the McClellan Committee had requested that the Bureau not interview these two individuals in connection with his function of advising of the daily developments in the case. It is recommended SA Thompson be censured, placed on probation, and transferred.

A.R.N.

Transfer to Cincinnati

V. Yes. H.

Section Chief William B. Welte, who received the information concerning the Committee request, prepared a memorandum reflecting the information which he received. He acted properly in doing so. He did, however, fail to follow on this matter inasmuch as Dumas and Stone did not momentarily testify on June 29, 1962, as reported. It is recommended that SA Welte be censured and placed on probation.

W.B.W.

V. Yes. H.

SAC Crosby of the El Paso Office, who was personally supervising this matter, should be censured and placed on probation for his failure to insure that appropriate steps were taken to properly handle matters at Pecos, Texas.

SAC

Yes. H.

No. One Man James R. Malley was aware of the request of the McClellan Committee and of subsequent developments and did not take steps to see that this matter was called to the attention of the Director or that it was taken up with the Department. It is recommended that Malley be censured and placed on probation.

A.R.N.

Yes. H.

Memo to Mr. Belmont from A. Rosen

Assistant Director Rosen was aware of the information received on June 29, 1962, and of the subsequent developments and did not take steps to see that this matter was called to the Director's attention nor that the Department was advised. It is recommended that Rosen be censured and placed on probation.

A.R.

Yes ✓

An appropriate SAC Letter is being prepared reiterating the need for maintaining complete records tracing the handling of any written material coming into the possession of the Bureau. This should be with particular reference to not only the receipt of such material but the final disposition thereof.

A.R.

Yes ✓

ADDENDUM: Inspector ~~Hoxie~~ Hoxie 7/10/62

RECOMMENDATIONS:

1. The Inspector agrees with the recommendations set forth above. The handling of the Estes case will be considered in the report reflecting results of the current inspection of the General Investigative Division.

From Crosby
2. That an inspection be scheduled for the El Paso Office to begin 7/17/62. SAC Crosby, currently at the SOG for conferences, will have returned to El Paso prior to that date.

Br A.R.

Yes
- 8 -

✓

R

Best Copy Available

July 11, 1962

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

JUL 11 5 12 PM '62
REC'D-READING ROOM
FBI

Dear Mr. Rosen:

Your failure to advise me of extremely important information relating to the Bureau's investigation regarding Billie Sol Estes was inexcusable. This same failure on the part of your subordinates in the General Investigative Division also reflects seriously upon you. It should have been evident that the request by the McClellan Committee that we hold in abeyance interviews with certain individuals who were scheduled to testify before this group was of extreme importance and there was no justification whatsoever for your failure to take appropriate action.

Consequently, you are being placed on probation and I shall expect you to take prompt measures in order to prevent any recurrence of a similar situation in the future.

Very truly yours,

J. Edgar Hoover,

John Edgar Hoover
Director

- 1 - Movement
- 1 - Personnel Actions
- 1 - General Investigative Division

Personnel File

HNB:mkb (7)

1 - Mr. Belmont (Personal Attention)

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

expiration of 90 days and at the expiration of this period should be submitted on his performance and as to whether he should be recommended for a special rating should begin the first working day after the date of this letter.

Based on memo, A. Rosen to Mr. Belmont, 7-9-62, AR:mpd

MAIL ROOM ☐ TELETYPE UNIT ☐

LRT

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont

DATE: July 17, 1962

FROM : A. Rosen

SUBJECT: BILLIE SOL ESTES
INTERSTATE TRANSPORTATION OF
STOLEN PROPERTY - BRIBERY

Tolson ☒
Belmont ☒
Mohr ☒
Callahan ☒
Conrad ☒
DeLoach ☒
Evans ☒
Malone ☒
Rosen ☒
Sullivan ☒
Tavel ☒
Trotter ☒
Tele. Room ☒
Holmes ☒
Gandy ☒

Barrett
HUB
JIC
Halt
EXT
b6

Alcy Rosen

This is with further reference to the handling of the Dumas matter in the Oklahoma City Office. Administrative action has already been taken against Special Agent [redacted] of the Oklahoma City Office. This memorandum is with reference to the further responsibility of personnel in the Oklahoma City Office concerning this matter.

The pertinent communications involved are the Dallas teletype June 20, 1962, to Oklahoma City and the Bureau; Oklahoma City teletype to the Bureau on June 22, 1962; and July 9, 1962, teletype received July 10, 1962, at the Bureau from Oklahoma City.

This case was handled as a special in the Oklahoma City Office since May 21, 1962. Special Agent (Accountant) [redacted], Relief Supervisor, was assigned the case and designated coordinator of the over-all investigation. The case was supervised on the desk of Special Agent Travis W. Muirhead, who also supervises cases in 24 classifications, including all accounting matters. His is a volume desk. SAC Teague and ASAC Neagle are assigned to the Oklahoma City Office.

With reference to the Dallas teletype June 20, 1962, this was received at the Oklahoma City Office at 8:18 p.m. Oklahoma City files indicate the teletype was initialed by Special Agent (A [redacted]) and Special Agent Curtis P. Irwin, to whom the lead was assigned. Irwin was to consider reinterview of Louie N. Dumas and Arthur D. Stone. Supervisor Muirhead did not see this teletype. SAC Teague advises he did not see this teletype. ASAC Neagle was on annual leave from June 18, 1962, through June 29, 1962, and, therefore, did not see this teletype or the teletype of June 22, 1962. Oklahoma City also indicates that Special Agent [redacted] was telephonically advised by Special Agent [redacted] to conduct the investigation to locate and review bank accounts of Dumas and Stone. It is noted that Irwin was to cover the lead as directed by [redacted] Special Agent [redacted] reviewed the bank records as instructed.

1 - Mr. Callahan
Enclosures

AR:ige:jlt

(7)

PERMANENT BRIEFS OF SA MUIRHEAD AND SAC TEAGUE ARE ATTACHED.

67-27315-458
JUL 27 1962
17

San of [unclear]
Teague Muirhead to Rosen [unclear]
7-20-62
REC-106
Detached 7/19/62 - JIC

100-100000
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

Memorandum to Mr. Belmont
Re: BILLIE SOL ESTES

b6

Oklahoma City teletype to the Director, Washington Field Office, and Dallas dated June 22, 1962, which was sent at 7:27 p.m., Central Standard Time, according to the Oklahoma City file, which furnished the identity of Dumas and Stone and reported the results of the bank check, was dictated by Special Agent [] and was also approved by him. Supervisor Muirhead states that he did not read this teletype nor was he aware of it. SAC Teague, according to the Number 3 Register, was at Lawton, Oklahoma, delivering a speech. He advises he had no knowledge of this teletype. ASAC Neagle was on leave.

b6

Although it is not pertinent to the instant inquiry, the Oklahoma City Office advises that with reference to the teletype of June 25, 1962, which was sent at 7:31 p.m., Central Standard Time, this wire indicated that Stone and Dumas were possibly in Washington, D. C., at Committee hearing and set forth a lead for Oklahoma City to interview them upon their return. This was in connection with the effort on the part of the Oklahoma City Office to interview Dumas and Stone. For the record, this teletype was dictated by Special Agent Curtis P. Irwin, who had attempted to conduct the interviews, and it was approved for transmittal by Special Agent []. Supervisor Muirhead advises he was not aware of this teletype and did not see it. SAC Teague did not see this teletype but was advised of its content, either late that evening or the following day, June 26, 1962, according to his recollection.

With reference to the June 25, 1962, wire, this does not seem to have any bearing on the delinquency in the Oklahoma City Office or here at the Seat of Government. The June 22, 1962, teletype prepared by Oklahoma City should have set forth the previous file in the Oklahoma City Office; this was inadvertently omitted and administrative action has been taken. The supervisor at the Seat of Government should have checked the files when the wire was received and administrative action has been taken against him.

It is also noted that in connection with [] explanation for not bringing to the Bureau's attention the previous conviction of Dumas as contained in Oklahoma City and Bureau files his explanation basically is he and Agents assigned to conducting interviews were aware of Dumas' prior conviction in the minor fraud violation and considered this information significant only when Oklahoma City received information from the Bureau that the Department considered a misdemeanor violation applicable to Dumas' involvement in the Billie Sol Estes case.

b6

Memorandum to Mr. Belmont
Re: BILLIE SOL ESTES

b6

Supervisor Muirhead, when he became aware of the Department's views and upon determining that the Bureau's attention had not been called to Dumas' prior conviction, instructed [] to so advise the Bureau. This was done by Oklahoma City teletype July 9, 1962, which Special Agent [] prepared.

OKLAHOMA CITY RECOMMENDATIONS

1. In view of the fact that Supervisor Muirhead did not see the teletypes referred to above and issued instructions to Special Agent [] to advise the Bureau of Dumas' prior record when he became aware that this had not been done, Oklahoma City recommends no administrative action be taken against Supervisor Muirhead.

b6

2. Inasmuch as ASAC Neagle was on annual leave from June 18, 1962, through June 29, 1962, and was unaware of the teletype mentioned above, no administrative action was recommended.

b6

3. SAC Teague did not see any of the three teletypes in question. He was told about the Oklahoma City teletype June 25, 1962, by Special Agent (A) [] after the teletype had been transmitted to the Bureau.

RECOMMENDATIONS

1. It would seem that on a matter of such major importance in the Oklahoma City Office that SAC Teague should have been familiar with the most important phases of this investigation, particularly a matter of such importance as this should have been called to his attention, and consequently he apparently did not take sufficient action to insure that such matters would be brought to his attention. He consequently should be censured.

2. Special Agent Muirhead, supervisor, learned of the previous case in the Oklahoma City files, according to his recollection, either July 8 which was Sunday, or Monday, July 9, 1962. He is not clear. However, he does state that when he did learn of this he instructed that [] bring this to the attention of the Bureau. The wire was sent by Oklahoma City on July 9 late in the evening and was received here early morning of July 10 (12:38 a.m.). If this was Muirhead's first knowledge of the case as he indicates, it would appear that he took appropriate action once having been advised of it. No administrative action is recommended for him as to this; however, censure is recommended for his not having made arrangements to be aware of this important development.

b6

Memorandum to Mr. Belmont
Re: BILLIE SOL ESTES

b6

3. Supervisor [] has noted that he handled a teletype from the Dallas Office dated June 20, 1962, setting forth Biggerstaff's allegation that an envelope containing money was given to Dumas. In an effort to identify Dumas, Agent [] properly checked Bureau indices and found there were about 2000 index cards bearing the last name of Dumas. The Dallas teletype did not contain the first name of Dumas; it merely indicated that one Dumas in McIntosh County, Oklahoma, was involved. Agent [] stated it was his opinion that a further review of these references was not warranted inasmuch as the Oklahoma City Office had been instructed by Dallas on June 20, to run down the allegation concerning Dumas and to identify one Dumas of McIntosh County. By teletype dated June 22, 1962, Oklahoma City identified Dumas as being Louie N. Dumas and stated he would be interviewed. The teletype did not mention the prior Fraud Against the Government case. Agent [] did not check the indices again on Louie N. Dumas in view of the Bureau's prior instructions to all offices May 21, 1962, instructing that a review of their indices should be made for any information on past investigations and that the Bureau should be furnished any information which could reasonably be expected to have a bearing on instant case, irrespective of whether or not it was within the Bureau's jurisdiction. When Agent [] was advised by the Oklahoma Office teletype, received in the Bureau July 10, 1962, of the previous case in the Oklahoma City Office, he checked the indices and the Identification records. Administrative action has been taken with reference to Supervisor []

✓
OK.
X

4. Rosen does not recommend any administrative action with reference to this for himself inasmuch as he had a right to rely on the supervisor with reference to this function.

*I don't agree. There are
R too many generals here
& too few top sergeants.
Rosen is to be
separated & placed on
probation. I will await
further action until
inspection is completed.*

SEE ADDENDUM NEXT PAGE

Memo for Mr. Belmont
Re: Billie Sol Estes

b6

ADDENDUM: INSPECTOR HOXIE ^{HC/K} HEH:wmj 7/18/62

This memorandum was prepared in response to the observations of the Director on a memorandum wherein administrative action was taken against Special Agent [redacted], Oklahoma City Office, and Special Agent [redacted] of the General Investigative Division for delinquencies in the Dumas matter. The Director noted: "What about recommendations re action on Teague and Rosen?"

The Inspector concurs with recommendations one, two and three. As to recommendation four, a thorough analysis is being conducted during the current inspection of the handling of the Estes case, including specifically the procedures as to searching of Bureau indices in this case. At the conclusion of this analysis and in conjunction with the inspection report appropriate recommendations will be submitted concerning any additional personnel responsible in this matter including Assistant Director Rosen.

JA
Ber

R

PM

✓

WRC
Rosen

Memorandum

TO : MR. TOLSON

DATE: 7/12/62

FROM : E. R. CLAYTON *ERC*

SUBJECT: BILLIE SOL ESTES

BIL SOL

with SAC Crosby

Yesterday during our discussion of the mishandling of the Billie Sol Estes case in the El Paso Office we learned for the first time that SAC Crosby was not personally in command of the squad of agents brought in from other offices on the day that the check for over \$1600 was reviewed and tabulated. This check reflected payment to two Agriculture Department employees. ASAC Spencer was in command of this squad at Pecos, Texas, on the day the check was discovered. Apparently no one on the squad or ASAC Spencer was aware of the vital nature of this particular check. As it turns out, it was the only good piece of evidence obtained after examining over 40,000 checks and vouchers of Billie Sol Estes.

ASAC Spencer was not considered for administrative action in the over-all memorandum submitted by Mr. Rosen. In view of his responsibility in this matter I think he should be censured and placed on probation also. I have asked for a permanent brief of his file.

ERC:DSS

Yes, I think I want to know why appropriate overall instructions have not been issued to all of our Sacs & ASacs & furthermore why Rosen didn't properly instruct Sac in procedure to be followed in such a sensitive case.

JUL 16 1962

JUL 30 1962

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

Alex O. Rosen

Thompson

W.C.H.
W.C.H.

*Letter of Censure & Probation
7/13/62 HND: KAR*

SAC letter prepared under date 7/11/62 & sent thru R

EX 100

NICE

REC-22

29-28704-3285

JUL 15 1962

67-21215-459

Searched

Numbered

19

XEROX

JUL 30 1962

PERS. REC. UNIT

XEROX

for Mr. Ponder

*1 autostat made for Mr. Hope
7-16-62*

REC-126

July 20, 1962

PLAIN

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I have reviewed the information you have submitted regarding the failure of the Oklahoma City Division and the General Investigative Division to set out information regarding a previous investigation and conviction of Louis H. Dumas and, in addition to the shortcoming on the part of your division, it is obvious that you were most culpable in failing to properly brief the Special Agent in Charge of the Oklahoma City Division concerning the importance of the Willie Sol Estes case and the absolute necessity of insuring that the Bureau's responsibilities were completely covered.

In view of this inexcusable failure on your part, you are being continued on probation and it will be incumbent upon you to make certain that similar delinquencies are prevented in the future.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

- 1 - General Investigative Division
Personnel File
1 - Movement
1 - Personnel Actions
JIC: mkb (1)
1 - Mr. Belmont (Personal Attention)

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

NOTE: Mr. Rosen is presently on probation, having been placed in that status by letter of 7-11-62, which was based on his failure to take action to advise the Director of the request received from the McClellan Committee to hold in abeyance interviews of Dumas and Stone.

Based on memo, A. Rosen to Mr. Belmont, 7-17-62, AR:ige:jlt

MAIL ROOM ☐ TELETYPE UNIT ☐

Jul 20 5 09 PM '62
FBI
REC'D-READING ROOM

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Rosen

DATE: July 19, 1962

FROM : Inspector H. E. Hoxie

SUBJECT: TELETYPES - BREACH OF SECURITY

Tolson _____
 Belmont _____
 Mohr _____
 Callahan _____
 Conrad _____
 DeLoach _____
 Evans _____
 Malone _____
 Rosen _____
 Sullivan _____
 Tavel _____
 Trotter _____
 Tele. Room _____
 Holmes _____
 Gandy _____

SAC Letters 62-21 (H) and 62-29 (C) relate to the mandate of affording complete protection to our highly confidential informants and techniques at all times. These letters, dated 4/10/62 and 5/22/62, respectively, instructed that the importance of properly recording information received from our informants must be brought to the attention of responsible personnel to insure our confidential sources are adequately protected at all times and that every message sent by teletype must be carefully evaluated and that any which contain highly confidential data are properly encoded to insure their complete security.

El Paso teletype dated July 7, 1962, captioned "Bilsol - Payments to Dumas and Stone," sent to the attention of Mr. William Welte, General Investigative Division, contains on page two, the following last paragraph:

"In addition, in order that Bureau would not be caught short or we might miss something or something might be abstracted, a confidential source on material copied by McClellan Committee was established. I thought this was a prudent check."

EXPLANATIONS REQUESTED

Issue is not being taken here with the prudence of this check, however, explanations are requested from Messrs. Rosen and Welte as to what action they took to secure, from El Paso, explanations for this obvious breach of instructions in the light of the SAC Letters cited above.

- 1 - Mr. Belmont
- 1 - Mr. Rosen
- 1 - Mr. Malone

JVC:ejw
 (6)

REC-138

67-27215-460

SEARCHED _____ INDEXED _____

JUL 31 1962

7/23/62

HEH:ejw

1962
 AUG 6 1962

1962
 AUG 7 1962

3/110

SECTION CHIEF W. B. WELTE: The teletype from the El Paso Office was read by me early on the morning of July 9, 1962. I was aware of the fact that McClellan Committee investigators had been in the space of Estes Enterprises and that our own Agents were in there and that both groups were reviewing Estes' files at the same time. The El Paso Office in this teletype was setting forth explanations as to why it had not located pertinent information in the files of Estes Enterprises which had been located by the McClellan Committee. I did not think at that time that the language of the teletype clearly revealed the nature of the source or that the information was such that it had to be encoded. I, therefore, did not request any explanation from the El Paso Office.

ASSISTANT DIRECTOR A. ROSEN: When this teletype in question was brought to my attention, I inquired as to the meaning of the phrase in question because I was not certain as to just what the source was. When I was advised of the source it was rather evident to me that the teletype had not disclosed the source in such a manner as to be a breach within the security regulations in force and therefore concurred in the action taken by the El Paso Office. in the manner in which they set forth the information.

R

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont

DATE: July 13, 1962

FROM : A. Rosen

SUBJECT: BILLIE SOL ESTES
INTERSTATE TRANSPORTATION OF
STOLEN PROPERTY - BRIBERY

Tolson _____
DeLoach _____
Mohr _____
Wick _____
Casper _____
Callahan _____
Conrad _____
Felt _____
Gale _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

This is to advise the Director of the action taken by the Oklahoma City Office with reference to Louie N. Dumas in connection with the results of a prior Fraud Against the Government investigation which it failed to bring to the Bureau's attention prior to July 9, 1962. The action of the Bureau supervisor is also outlined. Appropriate administrative action is recommended.

Louie N. Dumas is the subject of a prior investigation by the Bureau. In 1953 Dumas leased restricted Indian land in Okmulgee County, Oklahoma. He forged the signature of an acquaintance on a false affidavit for a surety bond. Dumas was indicted in 1956 on a charge of Fraud Against the Government and received in 1957 a fine for \$250 in court in Muskogee, Oklahoma. Reports reflecting this investigation were furnished to the Department in 1957.

In connection with the present status of Dumas, it should be noted that he was not an employee of the Federal Government in 1953 nor was he an employee of the Federal Government, according to the Department of Agriculture, when as a county office manager in McIntosh County, Oklahoma in 1961, he accepted money from Parnell Biggerstaff, a former "finder" for Estes.

In this connection, Mr. John P. Haughey, Director, Personnel Management Division, Agriculture Stabilization and Conservation Service (ASCS), stated that Dumas and Arthur D. Stone were suspended and that actually the suspension of these two individuals was made by the Oklahoma State Committee at the request of Secretary Freeman. They were not employees of the Department of Agriculture. They originally submitted their resignations to the Secretary. These resignations were not accepted and then Freeman announced

- 1 - Mr. Callahan
- 1 - Mr. DeLoach
- 1 - Mr. Evans

AR:mpd (9)

XEROX

7 AUG 1 1962

Memo to Mr. Belmont from A. Rosen

that Dumas and Stone had been suspended. Freeman then requested the Oklahoma State Committee to suspend Dumas and Stone and a press release of the Secretary on July 6, 1962, stated, in part: "Orville Freeman today announced the suspension, at his request, of two ASCS employees by the Oklahoma State Committee." The Department has been fully advised concerning the foregoing.

The Oklahoma City Office did not bring to the attention of the Bureau the fact that Dumas had been convicted of a prior Fraud Against the Government violation. The Fraud Against the Government file on Dumas was reviewed by Special Agent [redacted], of the Oklahoma City Office on June 20, 1962. He noted the information contained therein but did not bring it to the Bureau's attention. The teletype from the Oklahoma City Office dated July 9, 1962, reflecting the reinterview of Dumas on July 9, 1962, contained for the first time the reference to the previous Fraud Against the Government case on Dumas. b6

When Special Agent [redacted], of Oklahoma City prepared a teletype for the Bureau on June 22, 1962, the teletype indicated that Dumas would be interviewed by Agents concerning [redacted] information which was received from Parnell Biggerstaff that he, Biggerstaff, had passed money to Dumas and Stone. The Oklahoma City Office did not, in accordance with Bureau instructions of May 21, 1962, bring to the Bureau's attention the fact that Louie N. Dumas was the subject of a prior Fraud Against the Government case, inasmuch as Bureau file no. was reflected in the Oklahoma City file and he did not feel it was necessary to call it to Bureau's attention. b6

Agent [redacted] is an approved relief supervisor and is also the supervisor coordinating the Billie Sol Estes case in Oklahoma. He prepared and approved the teletype which was sent to the Bureau containing the insufficient information on the evening of June 22, 1962. He handled the entire above action in the Oklahoma City Office, according to ASAC Neagle.

With reference to Supervisor [redacted] who handled this matter at the Seat of Government, it is noted he handled a teletype from the Dallas Office dated June 20, 1962, setting forth Biggerstaff's allegation that an envelope containing money was given to Dumas. In an effort to identify Dumas, Agent [redacted] properly checked Bureau indices and found there were about 2000 index cards bearing the last name of Dumas. The Dallas b6

Memo to Mr. Belmont from A. Rosen

b6

teletype did not contain the first name of Dumas; it merely indicated that one Dumas in McIntosh County, Oklahoma, was involved. Agent [] stated it was his opinion that a further review of these references was not warranted inasmuch as the Oklahoma City Office had been instructed by Dallas on June 20, 1962, to run down the allegation concerning Dumas and to identify one Dumas of McIntosh County. By teletype dated June 22, 1962, Oklahoma City identified Dumas as being one Louie N. Dumas and stated he would be interviewed. The teletype did not mention the prior Fraud Against the Government case. Agent [] did not check indices again on Louie N. Dumas in view of the Bureau's prior instructions to all offices May 21, 1962, instructing that a review of their indices should be made for any information as to past investigations and that the Bureau should be furnished any information which could reasonably be expected to have a bearing on instant case, irrespective of whether or not it was within the Bureau's jurisdiction.

In addition to the special instructions above, there is the present standing procedure that all potential subjects should be searched through the indices of an office.

RECOMMENDED ACTION:

b6

(1) Special Agent [], of the Oklahoma City Office, on checking indices on Louie N. Dumas and learning of the prior Fraud Against the Government violation, should have advised the Bureau in his teletype of June 22, 1962, of this case in view of the special instructions of May 21, 1962, issued in this case. He knew of the previous case as he reviewed the file, and he knew of Biggerstaff's admissions.

It is recommended that he receive a letter of censure and that he be placed on probation for failure to advise the Bureau.

b6

(2) Supervisor [] while he did check indices on the basis of the June 20, 1962, teletype in an effort to assist in identifying Dumas, did not check indices after receiving the teletype from Oklahoma City on June 22, 1962, having relied upon the instructions issued to Oklahoma City on May 21, 1962, to bring this case to the Bureau's attention. Irrespective of this, he should have made a recheck of our indices on Louie N. Dumas.

It is recommended that [] receive a letter of censure.

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Mohr

DATE: July 23, 1962

FROM : J. F. Malone

SUBJECT: TELETYPE - BREACH OF SECURITY
RECOMMENDATIONS FOR ADMINISTRATIVE ACTION

Tolson _____
 Belmont _____
 Mohr _____
 Callahan _____
 Conrad _____
 DeLoach _____
 Evans _____
 Malone _____
 Rosen _____
 Sullivan _____
 Tavel _____
 Trotter _____
 Tele. Room _____
 Holmes _____
 Gandy _____

Rosen Alex

BACKGROUND: By El Paso teletype dated July 7, 1962, captioned "Bilsol - Payments to Dumas and Stone," sent to the attention of Mr. William B. Welte, General Investigative Division, contains on page two, the following last paragraph:

"In addition, in order that Bureau would not be caught short or we might miss something or something might be abstracted, a confidential source on material copied by McClellan Committee was established. I thought this was a prudent check."

SAC Letters 62-21 (H) and 62-29 (C) instruct that every message sent by teletype must be carefully evaluated and any which contain highly confidential data or techniques must be properly encoded to insure their complete security. The above teletype was not encoded.

By memo dated 7/19/62 explanations were requested from Messrs. Alex Rosen and William B. Welte as to the action they took to secure explanations from El Paso regarding an apparent breach of instructions. Mr. Rosen and Mr. Welte feel that the above phraseology is not such to reveal the source.

OBSERVATIONS: This material was obtained from the McClellan Committee in connection with record checks being made in the Estes Case. It is felt that a discerning reader outside the Bureau would have little doubt that a confidential technique had been utilized in this case. Additionally, it would be most embarrassing were it known outside the Bureau that the FBI placed a confidential source on the McClellan Committee.

RECOMMENDATIONS:

(1) That Mr. Rosen and Mr. Welte be censured for failing to obtain appropriate recommendations when El Paso teletype of 7/7/62 was received.

(2) El Paso responsibility being handled separately.

1 - Mr. Callahan

1 - Personnel file of Assistant Director Alex Rosen

1 - Personnel file of SA William B. Welte

1 - Division VI Inspection File

HEH:ejw (9)

*Letters of Censure
7-27-62, HN B. K. K.*

NGH

NGH

77 52 10 01 11 53

Yes

(SEE ADDENDUM, PAGE TWO)

3/12

7/24

Mr. Mohr

|| *At night our agents would check the material retained by McClellan Committee people to see what they considered important.

ADDENDUM (7/25/62):

I feel this is a question of judgment, rather than something that is clearly right or wrong. The quoted material is preceded by a paragraph in the teletype which shows that our agents were working openly and closely with the McClellan Committee people. I have definite reservations as to whether the language used could be construed as revealing our technique. * Indeed, one may raise the question whether the content of the paragraph is any more confidential than the information in the preceding paragraph showing our check system with the McClellan Committee, or the controls established, or the "miss" by the agent in handling the "Dumon and Stone" memorandum, or the administrative action recommended.

In other words, it is a question of judgment as to whether any or all of this teletype should have been coded, and I question that the matter is sufficiently clearcut to warrant the action recommended by the Inspector.

A. H. BELMONT

I think inspector's recommendation is warranted.

*I agree
ERE*

*JFM ↑
jw.
H*

I agree

July 25, 1962

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

I am taking this opportunity to commend, through you, the responsible personnel in the General Investigative Division for the splendid work done by all which resulted in substantial statistical increases in three categories during the past fiscal year.

The efficiency, thoroughness and resourcefulness displayed by all are noteworthy and the accomplishments achieved are indicative of diligent application to duty and dedication to the FBI. Please convey my sincere appreciation to those who contributed to this outstanding record.

Sincerely yours,

J. Edgar Hoover

1 - [redacted] (Sent Direct)

CMT:afh
(41)

REC-133

Based on memo Rosen-Beimont, 7-23-62, re "ACCOMPLISHMENTS, GENERAL INVESTIGATIVE DIVISION, 1962 FISCAL YEAR."

Copies prepared and attached for files of: (OVER)

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

REC'D FBI

XEROX

MAIL ROOM ☐ TELETYPE UNIT ☐

AUG 6 1962

Alex Rosen

[Redacted]

R. J. Gallagher

[Redacted]

J. H. Gamble

T. W. Curran

[Redacted]

J. R. Faulkner

[Redacted]

W. G. Campbell

[Redacted]

A. J. McGrath

R. A. Garvey

C. T. Gillespie

[Redacted]

C. Bolz

H. S. Ursic

K. V. Hetherington

[Redacted]

D. J. Green

V. G. McCarthy

[Redacted]

E. J. Hayes

[Redacted]

W. J. Hurley

July 27, 1962

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

Dear Mr. Rosen:

It has been noted that the El Paso Division submitted a teletype under date of July 7, 1962, in the Billie Sol Estes case which contained confidential information, but this communication was not properly encoded in accordance with existing regulations governing such matters. Upon review of this material, you took no action to fix responsibility for this dereliction and while your explanation has been carefully considered, it is apparent that in this instance you were remiss.

Hereafter I will expect you to be more alert to your responsibilities so that no further cause will arise to direct criticism of this nature to you.

Very truly yours,

L. Edgar Hoover

John Edgar Hoover
Director

- 1 - Mr. Belmont (Personal Attention)
1 - General Investigative Division Personnel File

HNB:kar

(5)

Based on memo J. F. Malone to Mr. Mohr, 7-23-62, HEB:ejw

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

MAIL ROOM ☐ TELETYPE UNIT ☐

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Belmont

DATE: July 22, 1962

FROM : A. Rosen *R*SUBJECT: ;

ET AL.

INTERSTATE TRANSPORTATION OF STOLEN PROPERTY

Alex. Rosen

Tolson	✓
Belmont	✓
Mohr	✓
Callahan	✓
Conrad	✓
DeLoach	✓
Evans	✓
Malone	✓
Rosen	✓
Sullivan	✓
Tavel	✓
Trotter	✓
Tele. Room	✓
Holmes	✓
Gandy	✓

*DE LASH**Schmieg*

With reference to the New York Office interviewing employees of Bache and Company in this case, the New York Office has advised that employee interviews were commenced on July 11, 1962, (this is the date on which was arrested in Denver, Colorado, in connection with his attempt to negotiate the sale of Bache bonds in Colorado through a Denver firm). The New York Office states that on July 11, 1962, and on subsequent dates through July 19, 1962, they interviewed the following employees, all of whom have had continuous access to Bache and Company vault:

It is noted that twenty-five employees are listed. (In the communication SAC Foster states that the initial comment that 250 employees had access to the vault is an incorrect statement.)

Among the above interviewed is

of Bache and Company, who allegedly is the key man in this theft. He was interviewed by the New York Office on July 17, 1962, at Bache and Company and then he was invited to the New York Office where he was interviewed for an extensive period of approximately five to six hours. HE ADMITTED NOTHING OF IMPORTANCE,

* PRIOR TO HIS ARREST

Background information was obtained concerning him, including previous employments with stock companies. He admitted to a prior arrest. New York determined he had a substantial amount of money in his possession during June of 1962, and claimed this money was received from loan companies and from an associate as a loan.

ENCLOSURE

- 1 - Mr. Evans
- 1 - Mr. DeLoach

AR:lmc

(8) *lmc*

AUG 10 1962

THREE

Memorandum to Mr. Belmont b6

RE: [redacted]

Simultaneous interviews were also conducted on July 17, 1962, with [redacted] his estranged wife, and with [redacted] his girl friend, who partially corroborated his statements. b6

[redacted] was fingerprinted and photographed and his prints were flown to the Bureau for a search of the Identification files while the interview was in progress. At the Bureau we located a criminal record on a name search on the 17th and when the prints arrived a positive identification was made and the New York Office was telephonically advised of this immediately. b6

New York states that since their interview of [redacted] they ^{a former Bache employee} ascertained that the District Attorney's Office came into receipt of information from a confidential source that [redacted] one of the subjects, was in possession of information concerning the instant stock theft. The interview of [redacted] by the District Attorney's Office resulted in admissions that implicated [redacted] ^{not Bache employee} and [redacted] the Bache employee. After confessions by [redacted] and [redacted], [redacted] admitted taking stock issues from Bache and Company. b6 b7D

With reference to the New York Office obtaining a list of the stocks, and the dates upon which such lists were obtained, New York has advised that on July 11, 1962, New York received the first partial list from Bache and Company. SAC Foster states that this list was subsequently revised from day to day on the basis of continued search by Bache and Company to determine the extent and identity of the stocks which were missing. Foster states that they still have not completed this search and as of July 20, 1962, the most recent list was obtained. b6

New York was also requested to furnish the liaison arrangements they had with either the insurance company or the securities office of the police concerning this matter. In this connection, SAC Foster stated that the case was reported to the New York Office by [redacted] Legal Counsel, Bache and Company, on the late afternoon of July 10, 1962. This would have been on the basis of an inquiry from the Denver brokerage firm inquiring of the attempted sale at that point of certain securities. In this connection it is noted that on July 11, 1962, [redacted] was arrested in Denver. *Over 10 days after notifying Hogan. X*

So far as the liaison is concerned, New York has maintained on a continuing basis with the District Attorney's Office and the New York City Police Department, major insurance companies and other sources who

Memorandum to Mr. Belmont
RE:

b6

are in a position to report losses involving possible Interstate Transportation of Stolen Property violations. When this theft was brought to the attention of the New York Office, liaison was immediately established with Bache and Company and the District Attorney's Office. It is noted that Bache and Company told the District Attorney's Office on June 29, 1962, of the theft of \$60,000 worth of stock issues, property of the Bache and Company and it appears the only reason the District Attorney's Office was advised of this by Bache and Company was due to the fact that another brokerage house in New York came up with \$60,000 which an individual was attempting to pass in New York City. There was no interstate transportation.

You are merely assuming it. X
Police were notified and was subsequently arrested by the New York City Police Department. Foster states that this matter was held tight by the Bache and Company and by the District Attorney's Office and that no press release was made. Foster states that experience has proven that brokerage agencies are very reluctant to announce a loss without first having conducted a meticulous and lengthy check of their records since they feel that this reflects on their reputation and customer relations.

b6

Obviously our liaison relations with Bache & Co were nil. X
New York states it is attempting to educate the legal advisors of these firms to the benefits of prompt reporting. This is particularly indicated by the activity in this case. Apparently the losses are completely covered by insurance and there is this reluctance to report these matters.

Have you thought of having regional conferences with the legal advisors? X
In discussing the above matters with SAC Foster, it was agreed that based upon this case, there is a very definite need to educate the brokerage companies and the insurance companies to the need of prompt reporting of such matters to the Bureau.

According to news reports, if the Bache and Company had closely checked their records when a report came to that firm that certain listed stocks were missing, they probably would have determined that a sizeable loss was in existence. Rather than make such a close check, the thief and key man to the entire theft, checked the list and picked one stock which he could account for and stated that apparently the list was incorrect because the particular stock which he selected was not missing.

b6

Memorandum to Mr. Belmont

b6

RE:

In addition to promptly reporting the matters to the FBI and local authorities, it would appear that the companies should set up a more effective security control of the stock issues. As it now stands, there appears to be little or no control.

If the above loose handling of these issues by a reputable firm such as Bache and Company is the standard operating procedure in such firms, it would appear that sizeable issues may be missing from other brokerage firms.

With reference to the handling of this case at the Seat of Government, the New York Office advised by teletype dated July 11, 1962, that a preliminary inventory of the vault of Bache and Company was being taken in an effort to determine whether any other certificates other than the certificates reported by the Denver Office as a result of the arrest of Fisher, were missing. They indicated that approximately twenty to thirty million dollars worth of securities were on hand in "street Name" and that possibly between one and three million dollars worth of securities were missing. New York also advised that on July 11, 1962, Bache put out a warning notice to the Stock Exchange listing the securities and certificate numbers of stocks missing from the vault and considered stolen. This was based upon their preliminary check. This list was revised as their search continued and, as indicated above, we received a revised list as late as July 20, 1962. * IN THE NAME OF THE BROKERAGE FIRM

By teletype dated July 13, 1962, New York also advised that the inventory was under way by Bache and that the loss could amount to as much as three million dollars. On July 17, 1962, the New York Office again advised the inventory was under way to determine the exact amount.

With reference to the interview of personnel, the New York Office, on July 17, 1962, also advised that approximately 250 employees (revised to 25) have access to the vault and the investigation has revealed likely suspects who are heavily in debt through gambling with bookies. New York states that it deemed this pertinent as a means by which loan sharks and bookmakers could induce dishonest employees to steal stock certificates. It was also on this date, July 17, 1962, that the New York Office advised the Bureau they had interviewed an employee of Bache and Company previously referred to.

In view of the afore-mentioned information, no specific instructions were issued to the New York Office to check the list of stocks nor to interview all of the employees.

ACTION TAKEN

yes & also the Section
Chief & Supervisor here on
the case. ✓

I gather Belmont
+ Rosen feel
everything
necessary, was
done here and
at New York so
far as
supervision
of this case
was concerned

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:
~~returned~~

"LABOR RACKETEERING" - Section II - Chief Industries Affected - 60 F
Copy 9

FILE

3-M

Very truly yours,

PER *[Signature]*

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY. 2 1962

(Written
Signature) *[Signature]*

(Typed
Signature) A. Rosen

67-

[Handwritten initials]

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:
~~returned~~

"LABOR RACKETEERING" - Section 1 - Extent and History - 60 E - Copy 9 ✓

FILE

3-M

Very truly yours,

PER *[Signature]*

(Written
Signature)

[Signature]

(Typed
Signature)

A. Rosen

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY.

9 SEP 4 1962

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:

~~returned-~~

"LABOR RACKETEERING" - Section III - Corrupt Practices - 61 F - Copy 9 ✓

FILE

3-M

Very truly yours,

PER MAN

(Written
Signature)

AR

(Typed
Signature)

A. Rosen

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY

SEP 4 1962

ah

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:
returned

"ORGANIZED CRIMINAL GROUPS" - 59 C - Copy 4 ✓

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY

3 SEP 4 1962

FILE
3-M

Very truly yours,

(Written
Signature)

(Typed
Signature)

[Handwritten Signature]

A. Rosen

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:

returned

"THE SMEAR CAMPAIGN AGAINST THE FBI" - "The Nation, October 18, 1958" ✓

59 D - Copy 4

FILE

3-M

Very truly yours,

PER cmr

(Written

Signature) cmr

(Typed

Signature) A. Rosen

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN

NOT RECORDED

8 SEP 4 1962

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:

returned

"THE FBI AND CIVIL RIGHTS" - 55 00 - Copy 5 ✓

FILE

3-M

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY

NOT RECORDED

8 SEP 4 1962

Very truly yours,

PER *[Signature]*

(Written
Signature)

[Signature]

(Typed
Signature)

A. Rosen

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:
~~returned~~

"FUNDS AND FOUNDATIONS" - 59 N - Copy 6 ✓

FILE

3-M

Very truly yours,

PER *[Signature]*

READ
The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.
DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN

(Written
Signature)

A. Rosen

(Typed
Signature)

A. Rosen

ANYWAY
67-NOT RECORDED

[Signature] 8 SEP 4 1962

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:
returned

"MAFIA" - Section I - Sicily - 58 I - Copy 6 ✓

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.
DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY.

Very truly yours,

(Written
Signature)

(Typed
Signature)

A. Rosen
A. Rosen

FILE
3-4

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:

~~returned-~~

"MAFIA" - Section II - United States - 58 J - Copy 6 ✓

FILE

3-M

READ

Very truly yours *[Signature]*

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

8 SEP 4 1962

(Written
Signature)

(Typed
Signature)

A. Rosen
A. Rosen

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:

~~returned~~

"UNUSUAL INVESTIGATIVE TECHNIQUES" - 61 E - Copy 9 ✓

FILE

Very truly yours, 3-M

PER *[Signature]*

(Written
Signature)

[Signature]

(Typed
Signature)

A. Rosen

READ

The Government property which you hereby acknowledge
is charged to you and you are responsible for taking care
of it and returning it when its use has been completed.

DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN
ANY WAY

8 SEP 4 1962

RECEIPT FOR GOVERNMENT PROPERTY
FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE

8/10/62

I certify that I have received the following Government property for official use:

~~returned-~~

"LABOR RACKETEERING" - Section IV - Causes and Cures - 62 B - Copy 9 ✓

READ

The Government property which you hereby acknowledge is charged to you and you are responsible for taking care of it and returning it when its use has been completed. DO NOT MARK OR WRITE ON IT OR MUTILATE IT IN ANY WAY.

FILE

Very truly yours, 3-M

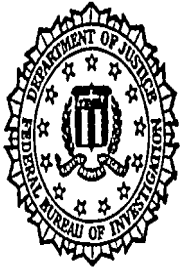
PER mm

(Written
Signature)

(Typed
Signature)

A. Rosen

67-NOT RECORDED
8 SEP 4 1962



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

WASHINGTON 25, D. C.

JUL 3 1962

Director
Federal Bureau of Investigation
United States Department of Justice
Washington, D. C.

RE: SA Alex Rosen
(Type or print plainly)

Dear Sir:

For inclusion in the fund to be paid to the designated beneficiary of any Special Agent of the FBI who has previously contributed to this fund and who dies from any cause except self-destruction while employed as a Special Agent, I am forwarding herewith (by CHECK - MONEY ORDER) the sum of \$10, payable to the Assistant Director, Administrative Division, FBI, to be included in said fund. Payment will be made for death by self-destruction after the Agent has been a member of the fund for a continuous period of two years. It is understood and agreed that the sum tendered herewith is a voluntary, gratuitous contribution to said fund which I understand is to be administered in the following manner.

The Director of the FBI will appoint a committee which shall consider all matters pertaining to the acquisition, safe keeping and expending of said fund, which committee will recommend appropriate action to the Director in pertinent matters. The Assistant Director of the Administrative Division of the FBI shall receive all contributions and account for same to the Director. Upon the death of any Special Agent who is a member of said fund the appointed committee will consider the case and submit a recommendation to the Director as to its conclusions. Appropriate instructions will then be issued to the Assistant Director of the Administrative Division, directing him to pay to the designated beneficiary the sum of \$10,000. The liability of the fund shall not under any circumstances exceed the amount of monies in the fund at the time any liability shall occur. The following person is designated as my beneficiary for FBI Agents' Insurance Fund:

Name	Mrs. Lena Rosen	Relationship	mother	Date	7/2/62
Address					
2859 Hampton Road, SE, Shaker Heights, Ohio					

The following person is designated as my beneficiary under the Chas. S. Ross Fund providing \$1500 death benefit to beneficiary of agents killed in the line of duty, other than travel accidents.

Name	Mrs. Lena Rosen	Relationship	mother	Date	7/2/62
Address					
2859 Hampton Rd. , SE, Shaker Heights, Ohio					

Very truly yours,

Alex Rosen
Special Agent Assistant Director

167 23 JUL 28 1962

MR. MOHR

July 25, 1962

J. F. MALONE

INSPECTION - GENERAL INVESTIGATIVE DIVISION
HERBERT E. HOXIE, INSPECTION STAFF

JULY 2 - 24, 1962

SYNOPSIS

Officials: Mr. Alex Rosen designated Assistant Director, Investigative Division, 2/13/42, and Assistant Director, General Investigative Division, 2/10/61. Mr. James R. Malley designated Inspector, Investigative Division, 1/27/57, and Inspector, General Investigative Division 2/10/61.

Physical Condition and Maintenance - Very Good. (Last inspection - good) Space secure and maintained orderly fashion. Name Check Section located in Identification Building. Remainder of Division on second and fifth floors of Justice Building. Individual sections conveniently arranged for operational requirements. Minor house-keeping delinquencies ordered corrected. Personal property satisfactory.

Specific Division Operations - Unsatisfactory. (Last Inspection - very good) Delinquencies in handling Estes and Bache cases reflect adversely on Specific Division Operations. (Covered in detail under Administrative Operations.) Increases present in work loads of all sections since last inspection. Antitrust matters, labor cases, and civil rights and related matters being closely followed. Statistical accomplishments up in all categories 1962 fiscal year. Division primarily responsible for supervision of Convictions; Fines, Savings and Recoveries, and Automobiles recovered. Contributed to increases in Fugitives apprehended. Assistant Director instructed to re-evaluate on continuing basis existing surveys and intensification programs. Suggestion submitted to evaluate four pending cases wherein no clear-cut FBI jurisdiction lies.

Administrative Operations - Unsatisfactory. (Last inspection - good) Thorough analysis conducted of administrative handling Estes case at Seat of Government. Following deficiencies noted: (1) Failure to keep Director advised pertinent developments - (a) Prior Estes case; (b) Request by McClellan Committee to hold abeyance interviews Dumas and Stone; (c) Lack sufficient guidelines by Mr. Rosen and breakdown communications with subordinates as to what to forward to Director. (2) Failure to establish any special guidelines for search Bureau indices re witnesses and others prominently involved. (3) Failure to sufficiently impress on SAC Crosby, El Paso, absolute need for thorough investigation and proper supervisory checks. (El Paso phase being handled separately.) (4) Failure to request explanations from El Paso for security breach when uncoded teletype revealed confidential technique and data re source on McClellan Committee. In Bache securities case, inability of Mr. Rosen to answer basic questions by Director re facts and investigation indicates lax supervision. (New York phase being handled separately.)

1 - Mr. Callahan (Attn: Mr. C.R. Davidson) (sent separately)

RMH:ejw (6) Enclosures

MAILED ROOM 1 10 1962 TELETYPE UNIT

1 AUG 14 1962

ORIGINAL FILED IN

Tolson
Belmont
Mohr
Callahan
Conrad
DeLoach
Evans
Malone
Rosen
Sullivan
Tavel
Trotter
Tele. Room
Holloman
Gandy

Memo for Mr. Mohr
Re: Gen. Inv. Division Inspection

Division consists of Front Office and four Sections, each of which is supervised by Section Chief and Number One Man. Administrative review letters to field delinquent in Criminal Section. Stenographic production high. Percentage of ratypes also high. Instructions issued to use ink corrections where possible. Accounting and Fraud Section to follow preparation of monograph on fraudulent practices. Civil Rights Section instructed to destroy reference memoranda. Reduction made in long distance calls since last inspection. Spot checks registers, leave records, inventory and forms satisfactory. Even flow of mail stressed.

Personnel Matters - Fair (Last inspection - very good) Training programs satisfactory. Personnel adequate but not excessive. Approval granted for three temporary Agent supervisors for duration of Estes special. Five supervisors on probation for deficiencies in handling of Estes Case. Morale good. Voluntary overtime substantial, productive and essential. One Agent on limited duty - justified. All Agents available. Two names added to Promotional Availability List as result of inspection inquiry. Division funds maintained properly.

Contacts - Very Good (Last inspection - good) Effective participation in contact program. Appropriate action initiated on legislative matters. Five speeches given before banking and Government groups. Liaison with Departmental Attorneys effective.

RECOMMENDATIONS: 1. Assistant Director Alex Rosen, GS-18 @ \$18,500, non-veteran, on probation since 7/11/62 for failure to advise the Director of request of McClellan Committee to hold in abeyance interviews of witnesses in Billie Sol Estes Case. He was censured 7/20/62, and continued on probation for failure of the Oklahoma City Office and the General Investigative Division to set out information regarding a previous investigation and conviction of Louie N. Dumas. Mr. Rosen makes an excellent appearance and is an experienced employee. In view of the delinquencies in connection with the Estes and Bache Cases, it is recommended that he be continued on probation and a recheck inspection scheduled in 90 days. Attached letter will serve to summarize inspection findings.

2. Inspector James R. Malley, No. 1 Man, GS-17 @ \$16,790, non-veteran, on probation since 7/11/62 for poor judgment in failing to insure Director was advised of pertinent developments in the Estes Case. Mr. Malley makes an excellent, mature appearance. He has served capably in absence of Mr. Rosen. Recommend continuation on probation. Attached letter to Mr. Rosen will serve to advise Malley also of the inspection findings.

3. Recommendations re other personnel handled separately.

ATTACHED ARE PERMANENT BRIEFS OF ASSISTANT DIRECTOR ALEX ROSEN AND
INSPECTOR JAMES R. MALLEY

Memo to Mr. Mohr
Re: Inspection - Gen. Inv. Division

DETAILS

PHYSICAL CONDITION AND MAINTENANCE VERY GOOD

Space secure and contiguous within sections with adequate privacy. Maintained in orderly fashion. Minor housekeeping delinquencies ordered corrected. Name Check Section occupies space in Identification Building. Remainder of Division space located on second and fifth floors of Justice Building. Temporary realignment of Criminal Section space made to accommodate handling of Estes special. Separation of various sections and remote location of Name Check Section not most desirable arrangement from over-all Division standpoint but individual sections are conveniently arranged for operational requirements. Immediate access to files at Identification Building makes location of Name Check Section there desirable. Improvement of offices of Section Chief and Number One Man recommended for Name Check Section. Personal property satisfactory.

SPECIFIC DIVISION OPERATIONS UNSATISFACTORY

Ten most important cases plus representative sampling of other cases reviewed. Delinquencies in handling Estes and Bache cases reflect adversely on Specific Division Operations. (Covered in detail under Administrative Operations.) Following increases in work load noted since last inspection: Accounting and Fraud Section 9.2%; Criminal Section 2.5%; Civil Rights Section (Civil Rights Unit 10% and Organizations Unit 19%); Name Check Section 12%. Continued emphasis has been placed on Hoffa Labor Matter cases. Department and Division also continue to follow closely Antitrust matters, general labor cases and civil rights and related matters. One substantive error and two form errors detected in 101 files reviewed. Field delinquency in cases supervised by Division 6.5%, May 31, 1962.

Statistical accomplishments for Bureau for 1962 Fiscal Year up in all categories as follows: Convictions 2%; Fines, Savings and Recoveries 4%; Fugitives 12%; and Automobiles recovered 3%. General Investigative Division primarily responsible for supervision of all these categories except Fugitives and Division contributes to accomplishments of this latter category.

Review of surveys and Intensification Programs initiated by Division indicates currently justified. Instructed to continually evaluate the need for these and any other surveys initiated. Suggestion submitted to evaluate four major cases pending wherein no clear-cut investigative jurisdiction lies with view toward reducing investigative activity. Based on suggestion during inspection, Division to study feasibility of conducting regional conferences or specialized In-Service Training on White Slave Traffic Act matters.

Memo to Mr. Mohr

Re: Inspection - Gen'l Investigative Div. Insp.

ADMINISTRATIVE OPERATIONS. UNSATISFACTORY

Thorough analysis conducted during inspection of administrative handling of Billie Sol Estes case. Following deficiencies noted:

- (1) Failure to keep Director advised of pertinent developments.
 - (a) Re prior investigation of Estes - Messrs. Rosen, Malley, Welte, et al, — censured.
 - (b) Re request of McClellan Committee to hold in abeyance interviews of witnesses Louie N. Dumas and Authur D. Stone. Messrs. Rosen, Malley, Welte, and SA Fletcher D. Thompson censured and placed on probation.
 - (c) Lack of sufficient guidelines by Mr. Rosen and breakdown in communications with subordinates as evidenced by failure to advise SA Thompson of reason why Mr. Rosen cut off memorandum prepared 6/29/62 advising of request from McClellan Committee in Dumas matter.
- (2) Failure to establish any special guidelines for search of indices re witnesses or others prominently involved in Estes case -- Bureau supervisor [redacted] has been censured and placed on probation for failure to search Bureau indices when Oklahoma City teletype of 6/22/62 advised identity of Louie N. Dumas as possible recipient of payment from Estes representative. No special guidelines were issued by Messrs. Rosen, Malley, and Welte for case of this magnitude. Such guidelines essential and follow-up procedures necessary to assure pertinent information in Bufiles known. Mr. Rosen censured and continued on probation 7/20/62 for derelictions in this respect plus failure to properly brief SAC, Oklahoma City.
- (3) Failure to sufficiently impress upon SAC Crosby, El Paso, absolute need for thorough investigation and establishment of proper supervisory checks. Although numerous teletypes, airtels, letters and memoranda recording oral conversations reveal detailed instructions were issued to field, fact remains there was definite breakdown in procedures at El Paso in Dumas and Stone matter. SSG supervision did not sufficiently impress SAC, El Paso. (El Paso aspect being handled separately.) No specific administrative action taken against Mr. Rosen for this dereliction.
- (4) Failure to request explanations from El Paso for uncoded teletype which contained confidential techniques and confidential data re confidential source placed on McClellan Committee. Separate administrative action has been recommended concerning this matter.

New York Office involved in major case re theft of securities from Eache and Company. Director made inquiries of basic facts of case and investigation conducted. Assistant Director Rosen unable to appropriately advise Director of these facts. This clearly indicates lax supervision by Mr. Rosen and failure to keep himself appropriately advised. Separate inquiry being conducted of New York handling of case.

Memo to Mr. Mohr
Re: Inspection - Gen. Inv. Division

Division consists of Front Office with Assistant Director Rosen and Inspector James R. Malley, and following four Sections; namely, Criminal, Civil Rights, Accounting and Fraud, and Name Check. Each Section supervised by Section Chief and Number One Man. Various units headed by Supervisors-in-charge. Organizational structure appears adequate. Departmental and Congressional interest requires that many of the categories supervised receive close supervision with short deadlines. Close administrative control essential in civil rights field and many of labor and accounting and fraud cases. Administrative review letters to field delinquent in Criminal Section due to Estes case. Should be followed closely.

Stenographic production of 3.6 pages per hour considered excellent in view of number of new employees included in compilations. The 5% figure of retypes is high. Division instructed to use ink corrections for minor corrections on memoranda to be retained within Bureau.

Suggestion submitted during last inspection that monograph be prepared re fraudulent practices and investigative techniques to uncover frauds. Central Research Section unable to prepare monograph due to other urgent project. Accounting and Fraud Section to continue to gather data and follow preparation. Civil Rights Section instructed to destroy certain memoranda concerning confidential informant coverage and to destroy extra copies of Civil Rights Brief - 1961.

Spot checks of registers and leave records revealed no delinquencies. Odd-hour shifts justified. Inventory satisfactory. No unauthorized forms detected. Tickler copies of special inquiry memoranda dated January and February, 1961, being maintained and used on Estes Case. Should be destroyed in January, 1963.

No unnecessary records being created. Personnel aware of Executive Order 10501 re classification of data. Decrease in long-distance calls recorded February-April, 1962, compared with same period previous year. Ten suggestions submitted by employees affecting economy adopted since last inspection. Inquiries from outside sources handled satisfactorily. Quality of submissions to Law Enforcement Bulletin considered outstanding. Even flow of signature mail and adherence to deadlines stressed.

Memo to Mr. Mohr
Re: Inspection - Gen'l Investigative Div.

PERSONNEL MATTERS. FAIR

Training programs satisfactory. Conferences monitored were constructive, informative and well-directed. Personnel complement of 64 Agents and 92 clerical employees adequate but not excessive. Assignment of 4 of 5 temporary Agent supervisors for Criminal Section work for remainder of Yates special. One clerk on loan from Records Section for this case. Five Agent supervisors (Assistant Director, Inspector, 3 Agents) on probation for deficiencies in handling of Yates case. Morale good. Voluntary overtime substantial, productive and essential. (March, 1962 - 2'32"; April, 1962 - 2'20"; May, 1962 - 2'32") No Agents rated below Very Good on dictation since last inspection. 100% membership in FIAA except for one employee just entered on duty. Sixteen items submitted to The Investigator since last inspection. No delinquent physical examinations. One Agent on limited duty - justified. Unannounced availability checks at staggered intervals revealed all Agents available.

Employees aware of benefits regarding Employee Compensation matters. Also aware of non-discriminatory Government policies. Since last inspection 28 employees (10 Agents, 18 clerks) have received incentive awards and 102 commendations have been issued (81 Agents, 21 clerks). All Agents qualified to drive Bureau cars. Position classification audits revealed no inaccuracies. Two additional names added to Promotional Availability List as result of inspection inquiry. Spot checks reveal employees aware of beneficial services. Division Funds are maintained in accordance with regulations and appropriate records kept.

CONTACTS. VERY GOOD

Division personnel effectively participating in contact program. Items of legislative interest reviewed by substantive supervisor upon referral by Administrative Division and, where necessary, steps taken to insure Bureau's interests. SA William J. Hurley has been utilized on 5 occasions for speeches before banking and Government groups since last inspection. Extensive material furnished Crime Records Division for use in research on speeches. Effective liaison maintained with Departmental Attorneys on working level which has served to keep Bureau alerted to proposed action and other items of interest.

July 25, 1962

PERSONAL

Mr. Alex Rosen
Federal Bureau of Investigation
Washington, D. C.

JUL 25 1 14 PM '62
REC'D READING ROOM
FBI

Dear Mr. Rosen:

The very unsatisfactory manner in which the investigation concerning the theft of securities from Eads and Company in New York City has been handled is undoubtedly due in no small measure to your failure to give the close personal attention to this case which it deserved. You have advised me that no specific instructions were issued to the New York Division to check the list of stolen securities or to interview all of the employees of the victim firm who had access to them and when I inquired of you whether these things had been done, you did not know. This clearly revealed that you were not on top of this very important investigation and that you had left the supervision of it to your subordinates, which was inexcusable.

Your performance of your duties in this instance has certainly been most inadequate and you should insure that you are not similarly at fault in the future.

Very truly yours,

J. Edgar Hoover

John Edgar Hoover
Director

Tolson _____
Belmont _____
Mohr _____
Callahan _____
Conrad _____
DeLoach _____
Evans _____
Malone _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

1 - Mr. Belmont (Personal Attention)

1 - General Investigative Division Personnel File

JIC:mkb (5)

Based on memo, A. Rosen to Mr. Belmont 7-22-62, *per mkb*

MAIL ROOM ☐ TELETYPE UNIT ☐